

**Femtosecond Stimulated Resonance Raman  
Spectroscopy:  
Towards Mapping the Primary Steps in Biological  
Photoreceptors**

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*Für meine Eltern und für Alexandra.*





„Es gibt ein großes und doch ganz alltägliches Geheimnis.  
Alle Menschen haben daran teil, jeder kennt es, aber die  
wenigsten denken je darüber nach. Die meisten Leute nehmen  
es einfach so hin und wundern sich kein bißchen darüber.  
Dieses Geheimnis ist die Zeit.“

(*Momo*, Michael Ende)



## Abstract

Femtosecond Stimulated Raman Spectroscopy is a powerful tool that allows to study the vibrational evolution of an excited chromophore directly in time. One perspective of this method is the elucidation of structural relaxation in biological photoreceptors. In this work the technique was built up and advanced towards applications to flavin-based proteins.

**Tunable Raman pulses** were generated in a newly developed narrowband optical parametric amplifier (*nb*-OPA). These pulses provided the basis for transient Raman measurements with  $\sim 10\text{ cm}^{-1}$  spectral and 50–100 fs temporal resolution. The signal/noise ratio in these experiments allows to increase the number of transient spectra collected, by more than a factor of 10 compared to previous work.

**Resonance conditions** strongly affect the appearance of the stimulated Raman spectra from an electronically excited state. The tunable Raman source was used to explore this effect to find optimal conditions. The characteristic spectral shape under typical resonance conditions was reproduced by simulations.

Excited-state dynamics were first investigated for the model photoswitch **stilbene**, starting from both the *cis* and the *trans* isomers. Decay, spectral shift, and narrowing of individual bands provided insight into the vibrational relaxation of the excited chromophore. Wavepacket motion was seen as oscillations of the Raman bands, and evidence for anharmonic coupling between different modes was found.

Another chromophore that undergoes isomerization upon light excitation is the “**parent**” **cyanine**, 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC). For this molecule the isomerization reaction could be followed to the ground state. From a global data analysis Raman spectra were obtained for the Franck-Condon region, the intermediately populated hot ground state, and the isomerization products.

As a basis for experiments on flavoproteins the excited-state properties of the **pure flavin chromophore** were studied in solution. Transient absorption and fluorescence experiments suggest an influence of dynamic polar solvation on the electronic properties of the excited state, and solvent-controlled  $\pi\pi^*$ - $n\pi^*$  coupling is offered as an explanation. Raman spectra from the flavin excited state were recorded and the vibrational bands assigned. Population depletion by the Raman pulse was identified as a potential artefact in time-dependent measurements, but the effect was also used to mark wavepacket motion in the excited state.

The application of the developed femtosecond stimulated Raman spectrometer to biological samples is demonstrated in a first FSRS experiment on **glucose oxidase** as a model flavoprotein. Spectra from the excited state were recorded, and the spectral evolution was followed in time. With transient absorption spectroscopy the BlrB-L66F and Slr1694-Y8F **BLUF** (Blue Light Using FAD) photoreceptor mutants were studied; signaling state formation and flavin reduction by a semiconserved tryptophan were seen, respectively.



## Zusammenfassung

Femtosekundenaufgelöste Stimulierte Raman-Spektroskopie (FSRS) ist ein leistungsfähiges Werkzeug, das es erlaubt, die Schwingungsentwicklung eines angeregten Chromophors in Echtzeit zu studieren. Eine Perspektive dieser Methode ist die Aufklärung struktureller Relaxation in biologischen Photorezeptoren. In dieser Arbeit wurde die Technik aufgebaut und weiterentwickelt, hin zu einer Anwendung auf flavinbasierte Photorezeptoren.

**Durchstimmbare Ramanimpulse** wurden in einem neu entwickelten schmalbandigen optisch-parametrischen Verstärker erzeugt und bildeten die Grundlage für transiente Ramanmessungen mit einer spektralen Auflösung von  $\sim 10 \text{ cm}^{-1}$  und einer zeitlichen Auflösung von 50–100 fs. Das Signal/Rausch-Verhältnis in diesen Experimenten erlaubt es, die Anzahl von Spektren in einer zeitaufgelösten Messung um mehr als einen Faktor zehn gegenüber vorherigen Arbeiten zu erhöhen.

Die **Resonanzbedingungen** beeinflussen stark das Erscheinungsbild von stimulierten Ramanspektren aus einem elektronisch angeregten Zustand. Die durchstimmbare Ramanquelle wurde dazu genutzt, diesen Effekt zu erforschen, um optimale Bedingungen zu finden. Die charakteristische spektrale Linienform wurde für typische Resonanzbedingungen mit Simulationen reproduziert.

Angeregte-Zustandsdynamik wurde zuerst für den Modellphotoschalter **Stilben** untersucht, ausgehend sowohl vom *cis*-, als auch vom *trans*-Isomer. Anhand der Intensitätsabnahme des Signals sowie der spektralen Verschiebung und Bandenverschmälerung konnten Einblicke in die Schwingungsrelaxation des angeregten Chromophors erhalten werden. Wellenpaketbewegung wurde als Oszillation der Ramanbanden beobachtet, und Anzeichen für die anharmonische Kopplung zwischen Moden wurden gefunden.

Ein weiterer Chromophor, der unter Lichteinwirkung isomerisiert, ist das „**Mutter**“-**Cyanin** 1,1'-Diethyl-2,2'-pyridocyaniniodid (PC). Für dieses Molekül konnte die Isomerisierungsreaktion in den Grundzustand hinein verfolgt werden. Aus einer globalen Datenanalyse wurden Ramanspektren des Franck-Condon-Zustandes, des intermediär bevölkerten heißen Grundzustandes und der Isomerisierungsprodukte erhalten.

Als Grundlage für Experimente an Flavoproteinen wurden die Eigenschaften des angeregten Zustandes des **reinen Flavinchromophors** in Lösung studiert. Transiente Absorptions- und Fluoreszenzexperimente weisen auf den Einfluss von dynamischer polarer Solvation auf die elektronischen Eigenschaften des angeregten Zustandes hin. Lösungsmittelkontrollierte  $\pi\pi^*$ - $n\pi^*$ -Kopplung wird als Erklärung vorgeschlagen. Es wurden Ramanspektren des angeregten Zustandes von Flavin aufgenommen und die Schwingungsbanden zugeordnet. Populationsverminderung durch den Ramanimpuls wurde als potentiell Artefakt in zeitaufgelösten Messungen identifiziert. Der Effekt wurde aber auch genutzt, um Wellenpaketbewegung im angeregten Zustand zu markieren.

Die Anwendung des entwickelten femtosekundenaufgelösten stimulierten Ramanspektrometers auf biologische Proben wurde in einem ersten FSRS-Experiment an

**Glucose Oxidase** als Modell-Flavoprotein demonstriert. Spektren vom angeregten Zustand wurden aufgenommen und die spektrale Entwicklung in der Zeit verfolgt. Die **BLUF** (*Blue Light Using Flavin*) Photorezeptor-Mutanten BlrB-L66F und Slr1694-Y8F wurden mit transienten Absorptionsmessungen untersucht. Dabei wurde die Bildung des Signalzustandes bzw. eine intermediäre Reduktion des Flavins durch ein nahegelegenes Tryptophan beobachtet

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Nonlinear Spectroscopy</b>	<b>7</b>
2.1	Theoretical Background . . . . .	8
2.1.1	The Density Matrix . . . . .	8
2.1.2	Description of Mixed Systems . . . . .	8
2.1.3	Time Evolution of a System . . . . .	10
2.1.4	The Induced Polarization . . . . .	12
2.1.5	Relaxation . . . . .	13
2.1.6	Propagation of the Electric Field through a Medium . . . . .	15
2.1.7	Homodyne and Heterodyne Detection . . . . .	16
2.1.8	Absorption of a Weak Probe . . . . .	17
2.2	Linear Spectroscopy . . . . .	18
2.2.1	Absorption . . . . .	18
2.2.2	Stimulated and Spontaneous Transitions . . . . .	19
2.3	Transient Absorption . . . . .	21
2.4	Femtosecond Stimulated Resonance Raman Spectroscopy . . . . .	26
2.4.1	Theoretical Description of FSRS Bandshapes . . . . .	26
	Formalism . . . . .	26
	A compact form for the bandshape . . . . .	30
2.4.2	Simulation of FSRS Bandshapes for Typical Resonance Conditions	31
	$\mathbf{f} \leftarrow \mathbf{e}$ Resonance . . . . .	32
	$\mathbf{e} \rightarrow \mathbf{g}$ resonance . . . . .	33
	Simultaneous $f \leftarrow e$ and $e \rightarrow g$ resonance . . . . .	34
	$\mathbf{e} \leftarrow \mathbf{g}$ resonance. . . . .	34
	Summary of expected Raman contributions . . . . .	34
	Comparison to Spontaneous Raman Spectroscopy . . . . .	35
<b>3</b>	<b>Experimental Section</b>	<b>37</b>
3.1	Linear Absorption and Fluorescence . . . . .	37
3.2	Generation of Ultrashort Laser Pulses . . . . .	37
3.2.1	Titanium-sapphire based laser systems . . . . .	37
3.2.2	Nonlinear light conversion . . . . .	38
3.3	Fluorescence Upconversion . . . . .	39
3.4	Single-Shot Referencing in Transient Absorption and Raman Spectroscopy	40

3.5	The Femtosecond Stimulated Raman Spectrometer . . . . .	44
3.5.1	The Narrow-band Optical Parametric Amplifier . . . . .	44
3.5.2	Measurement Details . . . . .	47
3.5.3	Spectral Calibration . . . . .	48
3.5.4	Subtraction of Solvent Features . . . . .	49
3.5.5	Background Correction . . . . .	50
	Generation of a Spectral Basis to the Raman Signal . . . . .	50
	Generation of the Background Spectrum . . . . .	54
	Noise Reduction for Small Signals . . . . .	56
	Characteristics of the Background Correction Algorithm . . . . .	56
3.6	Data Analysis . . . . .	57
3.6.1	Multiexponential Fits . . . . .	57
3.6.2	Global Analysis and Kinetic Models . . . . .	58
3.6.3	Maximum Entropy Analysis . . . . .	60
<b>4</b>	<b>Excited Stilbene: IVR and Solvation Studied by FSRR Spectroscopy</b>	<b>61</b>
4.1	Introduction . . . . .	61
4.2	Experimental Details . . . . .	63
4.2.1	Transient absorption Spectroscopy . . . . .	63
4.2.2	Transient Raman Spectroscopy . . . . .	63
4.2.3	Chemicals . . . . .	63
4.3	Results . . . . .	63
4.3.1	Resonance Conditions . . . . .	63
4.3.2	<i>trans</i> -Stilbene . . . . .	64
4.3.3	<i>cis</i> -Stilbene . . . . .	67
4.4	Discussion . . . . .	69
4.4.1	<i>trans</i> -Stilbene . . . . .	69
	Transient Spectra and Band Assignment . . . . .	69
	Raman Intensities . . . . .	72
	Evolution of the Inverted Raman Signals . . . . .	76
	Band Shifts and Frequency Oscillations . . . . .	78
	Band Widths . . . . .	82
4.4.2	<i>cis</i> -Stilbene . . . . .	83
	Spectral Evolution . . . . .	83
	Raman Band Shapes and Resonance Conditions . . . . .	84
	Changes within the first 0.15 ps . . . . .	85
	Intensity Oscillations . . . . .	87
4.5	Conclusions . . . . .	87
<b>5</b>	<b>Structural Changes upon Internal Conversion of a “Parent Cyanine”</b>	<b>91</b>
5.1	Introduction . . . . .	91
5.2	Materials and Methods . . . . .	91
5.2.1	Synthesis and Characterization . . . . .	91
5.2.2	Transient Absorption . . . . .	92



5.2.3	Fluorescence Upconversion . . . . .	93
5.2.4	Femtosecond Stimulated Raman Scattering (FSRS) . . . . .	93
5.2.5	Quantum-chemical Calculations . . . . .	93
5.3	Results and Discussion . . . . .	94
5.3.1	Transient Absorption . . . . .	94
5.3.2	Transient Fluorescence Upconversion . . . . .	96
5.3.3	Femtosecond Stimulated Raman Spectroscopy . . . . .	97
	Resonance Conditions . . . . .	97
	Decomposition of the Raman Signal . . . . .	98
	Spectral Evolution . . . . .	100
	Global Analysis and Raman Spectra of the involved Species . . . .	100
5.3.4	Mechanistic Conclusions from Quantum-chemical Calculations . .	100
	Resonance Raman Spectra . . . . .	100
	Potential Energy Surfaces and Model . . . . .	101
5.4	Conclusions . . . . .	103
<b>6</b>	<b>Excited Flavin: A Femtosecond Stimulated Raman Study</b>	<b>105</b>
6.1	Introduction . . . . .	105
6.2	Experimental Details . . . . .	108
6.2.1	Transient Absorption Spectroscopy . . . . .	108
6.2.2	Transient Fluorescence Spectroscopy . . . . .	108
6.2.3	Transient Raman spectroscopy . . . . .	109
6.2.4	Other Experimental Details. . . . .	109
6.2.5	Spectral Fits . . . . .	109
6.2.6	Chemicals . . . . .	110
6.3	Quantum Chemical Calculations . . . . .	110
6.3.1	Methods and Models . . . . .	110
6.3.2	Vibrational Assignment . . . . .	111
6.4	Experimental Results . . . . .	112
6.4.1	Resonance Conditions. . . . .	112
6.4.2	Evolution of Induced Absorption Spectra . . . . .	114
6.4.3	Evolution of Fluorescence Spectra . . . . .	116
6.4.4	Femtosecond Stimulated Raman Spectra . . . . .	117
6.5	Discussion . . . . .	122
6.5.1	Assignment of FSRS Bands Based on Quantum Chemistry . . . .	122
	Ground state vibrations . . . . .	122
	Excited state vibrations . . . . .	129
6.5.2	Raman Band Shapes . . . . .	130
6.5.3	Vibrational Spectroscopy in Time . . . . .	131
6.5.4	Relaxation of Excited Flavin Seen by Transient Absorption and Fluorescence . . . . .	137
6.5.5	Decomposition of Transient Absorption Spectra . . . . .	144
6.6	Outlook . . . . .	148

6.7	Supporting Material . . . . .	149
6.7.1	Transient Absorption . . . . .	149
6.7.2	Fluorescence Upconversion . . . . .	152
6.7.3	Excited State Absorption Spectra . . . . .	153
6.7.4	Femtosecond Stimulated Resonance Raman Spectroscopy . . . . .	153
<b>7</b>	<b>Light-Induced Changes in BLUF Photoreceptors</b>	<b>157</b>
7.1	Introduction . . . . .	157
7.2	Experimental Details . . . . .	161
7.2.1	Transient Absorption . . . . .	161
7.2.2	Biological Samples . . . . .	161
7.2.3	Resonance Conditions . . . . .	161
7.3	BlrB-L66F: Formation of the Signaling State without Detectable Inter- mediates . . . . .	162
7.3.1	Results . . . . .	162
7.3.2	Discussion . . . . .	164
	Temporal Characterization . . . . .	164
	Mechanism of the BlrB-L66F Photocycle . . . . .	166
7.4	Slr1694-Y8F: Reduction of Flavin by a Tryptophan Residue . . . . .	168
7.4.1	Results . . . . .	168
7.4.2	Discussion . . . . .	169
	Temporal Characterization . . . . .	170
	Global Analysis and Mechanism . . . . .	171
7.5	Conclusions . . . . .	176
<b>8</b>	<b>Outlook: Femtosecond Stimulated Raman Spectroscopy of Glucose Oxidase</b>	<b>179</b>
8.1	Introduction . . . . .	179
8.2	Experimental Details . . . . .	180
8.3	Results . . . . .	180
<b>9</b>	<b>Conclusions</b>	<b>183</b>

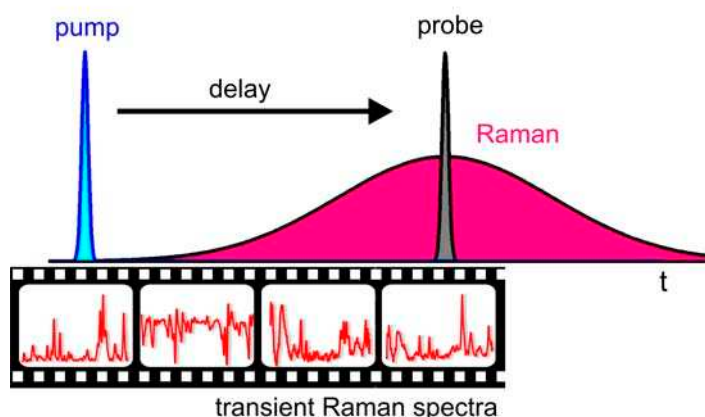
# 1 Introduction

Light serves in nature not only as the essential energy source, but can also be harmful. Many organisms have developed complex receptor systems that allow to adequately react to small changes of the incident light. In plants, for example, the absorption spectra of blue- and red-light receptors overlap with those of the photosynthesis pigments so that a control of the bioproduction is possible. Sensing of the stimulus generally involves the photoexcitation of a chromophore, which then induces a chain of reactions that lead to the formation of the signaling state. Initial steps involve processes like conformational change, for example *cis/trans* isomerization, electron and proton transfer, or the formation or breaking of bonds. To trace such reactions in real time one has to achieve a temporal resolution on the time scale of atomic motion, *i.e.* femtoseconds to picoseconds.

Within the last decades femtosecond spectroscopy has become an indispensable tool to study early reaction dynamics of photoexcited molecules in the gas phase,<sup>[1]</sup> solution,<sup>[2]</sup> and in biological environments.<sup>[3]</sup> One of the most employed techniques is to measure time-dependent absorption changes induced by an optical pump pulse.<sup>[4]</sup> This method is particularly useful to explore electronic changes that occur along the relaxation pathway. A major challenge in protein spectroscopy is the elucidation of conformational change upon light activation. Absorption spectra in solution are generally broad and do not provide enough information to reveal structural details. In BLUF photoreceptors, for example, the formation of the signaling state leads only to a 10–15 nm red-shift of the absorption spectrum (see Chapter 7), which is explained by changes of the hydrogen-bond network. To reveal the underlying conformational evolution, techniques are required that are more sensitive to structural changes.

X-ray crystallography is the ultimate tool to obtain precise structural information, but the temporal resolution of bright sources (synchrotrons) is still limited to 100 ps.<sup>[5]</sup> Higher time resolution can be obtained in laboratory-scale X-ray and electron diffraction experiments, but at cost of decreasing the photon flux by several orders of magnitude. Taken together with difficulties in sample preparation, X-ray crystallography is still far from solving structures of a reacting protein in real-time. It should also be kept in mind that the geometrical confinement in the crystal may impede conformational changes, which are essential for the formation of the signaling state in solution.

Vibrational spectroscopy is currently the most promising approach to capture structural changes in biological samples on the femtosecond to picosecond time scale. By studying the time-dependent behavior of individual vibrational bands, the molecular



**Figure 1.1:** Scheme of a femtosecond stimulated Raman experiment. The delay between pump and Raman/probe is scanned, and a transient Raman spectrum is recorded at each delay time. The temporal resolution is determined by the pump-probe cross-correlation, but the spectral resolution is limited by the spectral width of the Raman pulse.

evolution is mapped along the normal coordinates. The recent availability of femtosecond infrared pulses has stimulated intense research in the field of ultrafast infrared spectroscopy.<sup>[6]</sup> However, the generation and detection of infrared pulses is demanding, and the temporal resolution is typically restricted to above 200 fs. Moreover, the applied probe pulses have only a bandwidth of less than  $300\text{ cm}^{-1}$ , and frequencies below  $1000\text{ cm}^{-1}$  are not accessed by the nonlinear processes used for pulse generation. The strongest drawback of ultrafast infrared spectroscopy is the overwhelming absorption of the water bending mode around  $1645\text{ cm}^{-1}$ , which usually prohibits the measurement of proteins under physiological conditions.

Raman spectroscopy can circumvent many of the pitfalls of infrared experiments.<sup>[7]</sup> The Raman beam can be applied in the visible, and the detection window can span in a single measurement the full vibrational spectrum down to lowest frequencies. Raman scattering in resonance with electronic transitions is enhanced by several orders of magnitude. This allows to discriminate the vibrational signature of the chromophore against the scattering from a large excess of solvent molecules. Another advantage is that water is a weak Raman scatterer and does not interfere with measurements.

High spectral resolution in Raman spectroscopy requires narrowband Raman pulses. Due to the time-bandwidth relationship, such pulses inherently have picosecond duration. Therefore, spontaneous Raman experiments in a pump-probe arrangement can not achieve femtosecond time resolution. This fundamental limitation can be overcome by applying a third, ultrashort probe pulse that stimulates the Raman transition, see Figure 1.1.<sup>[7]</sup> Now the spectral resolution is still determined by the bandwidth of the Raman pulse, but the temporal resolution depends—as in a transient absorption experiment—on the cross-correlation of the short pump and probe pulses. In this way detailed vibra-

**Table 1.1:** Overview of recent femtosecond stimulated Raman experiments and comparison to this work.<sup>a</sup>

year	sample	$\epsilon^b$	$\lambda_R^c$	resolution <sup>d</sup>	N <sup>e</sup>
<i>previous publications</i>					
1999	DCM <sup>[8]</sup>	42500 <sup>[9]</sup>	794.7	25	4
2003	$\beta$ -carotene <sup>[10]</sup>	134400 <sup>[11]</sup>	793	17	50
2005	rhodopsin <sup>[12]</sup>	41200 <sup>[13]</sup>	805	< 15	11
2005	bacteriorhodopsin <sup>[14]</sup>	58276 <sup>[15]</sup>	809	8	35
2006	<i>o</i> -nitrobenzaldehyde <sup>[16]</sup>	17750 <sup>[17]</sup>	387.5	12	9
2006	pure CDCl <sub>3</sub> <sup>[18]</sup>		800	< 15	8
2008	$\beta$ -carotene <sup>[19]</sup>	134400 <sup>[11]</sup>	560/590*	34	30
2008	rhodamine 6G <sup>[20]</sup>	105000 <sup>[9]</sup>	540–580*	34	8
2008	phytochrome <sup>[21]</sup>	85000 <sup>[22]</sup>	792	18	20
2009	GFP <sup>[23]</sup>	55000 <sup>[24]</sup>	790–795	15	13
2010	<i>o</i> -nitrotoluene <sup>[25]</sup>	5500 <sup>[25]</sup>	388	30	40
<i>this work</i>					
	<i>trans</i> -stilbene	24000 <sup>[26]</sup>	580/620*	12/7.5	660
	<i>cis</i> -stilbene	9350 <sup>[26]</sup>	620*	7.5	660
	PC	31000 <sup>[27]</sup>	520*	11	660
	FAD in water	11300 <sup>[28]</sup>	500/523/776*	12/20/5.5	660
	FAD in glucose oxidase	12590 <sup>[29]</sup>	521*	12	660

<sup>a</sup> abbreviations:

DCM – 4-dicyanomethylene-2-methyl-6-*p*-dimethylaminostyryl-4H-Pyran, GFP – Green Fluorescent Protein, PC – 1,1'-diethyl-2,2'-pyrido cyanine iodide, FAD – flavin adenine dinucleotide.

<sup>b</sup> extinction coefficient in cm<sup>-1</sup>M<sup>-1</sup> for the lowest absorption band, or the absorption band that was excited in the experiment.

<sup>c</sup> wavelength of the Raman pulses in nm. Stars mark measurements with tunable Raman pulses.

<sup>d</sup> in cm<sup>-1</sup>

<sup>e</sup> approximate number of points in time, for which Raman spectra were collected in an individual measurement.

tional spectra can be recorded with femtosecond resolution. An additional feature of this technique is that Raman scattering is only detected in the direction of the stimulating probe pulse, so that background from spontaneous fluorescence is suppressed.

The development of femtosecond stimulated Raman spectroscopy is sketched by the representative experiments in Table 1.1. The principle was first demonstrated in 1999 in

## 1 Introduction

measurements on the laser dye DCM by Yoshizawa and Kurosawa.<sup>[8]</sup> The technique was mainly developed thereafter in the group of R. Mathies, and applied to a number of chromophores.<sup>[10,12,14,18–21,23]</sup> Great attention has been paid to the investigation of the internal conversion in  $\beta$ -carotene,<sup>[10,19]</sup>. More recently also the dynamics of the proteins rhodopsin, bacteriorhodopsin, phytochrome, and GFP were studied.<sup>[12,14,21,23]</sup> The current work develops femtosecond stimulated Raman spectroscopy for the application to flavoproteins, for example BLUF domains.

The intensity of the scattered Raman signal depends on various parameters. To give an idea about the electronic properties of the measured samples, the molar extinction coefficient  $\epsilon$  for the lowest band, or the transition that is excited in the experiment, is given in Table 1.1 as well. A comparison of the values suggests already that the detection of flavin (here flavin adenine dinucleotide, FAD) is challenging.

Resonance enhancement can greatly improve the signal, but to explore the optimum conditions, a tunable Raman pulse is required. In the majority of the previous experiments Raman pulses were obtained by filtering part of the laser system output. Thus, the resulting pulses were limited to a narrow spectral region around the 800 nm fundametal. The group of P. Gilch also demonstrated narrowband second harmonic generation, yielding Raman pulses around 400 nm.<sup>[16]</sup> Up to now only few experiments were reported with wavelength-tunable Raman pulses (tunability is marked in Table 1.1 by an asterisk).<sup>[19,20]</sup> These experiments were based on a narrowband optical parametric amplifier (*nb*-OPA). However, the conversion efficiency of this device was low, and the bandwidth of the pulses limited the spectral resolution to  $34\text{ cm}^{-1}$ . To study the details of protein relaxation, higher resolution is required. Therefore, in the current work a *nb*-OPA is developed which efficiently provides tunable Raman pulses with spectral widths around  $10\text{ cm}^{-1}$ .

Femtosecond resolution is the prerequisite to study ultrafast reactions in real time. The underlying processes, however, are only captured with precision if a sufficient number of spectra,  $N$ , is collected at different delay times. A comparison of the values in Table 1.1 shows that due to limitations by signal/noise previous experiments typically recorded less than 50 spectra in time. In this work, the number transient spectra was increased more than 10-fold, thus coming closer to the idea of “making a molecular movie” in Raman spectroscopy.

*The work is arranged as follows:*

In **Chapter 2** the theoretical background for transient absorption, fluorescence, and Raman experiments is described. Depending on the resonance conditions, stimulated Raman spectra from the excited state differ in shape from sponaneous Raman spectra. Simulations for typical conditions are shown, and the third-order contributions are discussed.

Experimental details are given in **Chapter 3**; the main focus is set to the Raman spectrometer and the analysis of the measured spectra. The transient evolution of the Raman

signal is only revealed, if the sequential background is subtracted in each spectrum consistently. A suitable algorithm is presented.

As a model compound for photoisomerization, stilbene is studied in **Chapter 4**, and relaxation processes on the excited-state potential energy surface are elucidated.

1,1'-diethyl-2,2'-pyrido cyanine iodide (PC) can be seen as a "parent cyanine", and it undergoes photoinduced *cis/trans* isomerization as well. Time-resolved optical and vibrational spectroscopy on this dye is shown in **Chapter 5**. In contrast to stilbene, the resonance conditions allow here to follow the internal conversion of the excited molecule to the ground state where the isomerization occurs.

Flavin is the chromophore in a number of photoreceptors. In **Chapter 6** the properties of unbound flavin in solution are studied by a combination of transient absorption, fluorescence, and Raman spectroscopies. Vibrational bands are assigned on the basis of quantum-chemical calculations. To prepare for future Raman experiments on flavoproteins, the effect of resonance conditions is explored, and artefacts by population depletion are discussed. As an alternative to frequency-domain Raman spectroscopy, the identification of excited-state vibrations in time domain is shown.

As an outlook, the application of femtosecond stimulated Raman spectroscopy to flavoproteins is demonstrated in **Chapter 8**. Glucose oxidase is used as a model compound.

Finally the main conclusions are summarized in **Chapter 9**.





## 2 Nonlinear Spectroscopy

The regime of femtosecond time resolution is not achieved by common electronic detection techniques. Thus, most experimental approaches translate ultrashort time spans into differences in the optical pathlengths of two or more short light pulses. Typically, a femtosecond pump pulse induces a perturbation of the system at a well defined point in time; in the visible, for example, it drives an electronic transition. A pulse or pulse sequence then captures the evolution of the induced spectral changes as a function of delay time. In such scheme, the detected signal inherently depends to higher order on the electric field.

A theoretical description of the nonlinear signal is achieved by time-domain perturbation theory. A response function formalism was developed by Mukamel *et al.* and applied to a variety of spectroscopic techniques, including transient absorption and fluorescence spectroscopy.<sup>[30–34]</sup> These concepts were further refined to achieve a unified description of third-order sequential and coherent contributions to broadband transient absorption spectroscopy.<sup>[35–40]</sup> The femtosecond stimulated resonance Raman (FSRR) signal has been treated in semiclassical and quantum-mechanical terms.<sup>[14,20,41–45]</sup> Previous simulations simplified the system and included only limited resonance to other electronic states. Experimentally, however, the shape of FSRR bands may be complicated and depends strongly on measurement parameters and resonance conditions.<sup>[14,20,46]</sup> Interpretation of the complex spectral and temporal characteristics of FSRR measurements requires further understanding of the underlying processes.

Here transient absorption and Raman signals are treated to third order. The reader is introduced into the response function method<sup>[30]</sup> and its application to linear and transient absorption spectroscopy is presented.<sup>[35–40]</sup> With the support of A. Dobryakov<sup>1</sup> the formalism was extended to describe FSRR spectroscopy under simultaneous resonance with multiple electronic states. The transient Raman signal is simulated for typical conditions, and the band shapes are discussed.

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<sup>1</sup>Third-order Raman signals were simulated by A. Dobryakov; Chemical Department, Humboldt-Universität zu Berlin, Brook-Taylor Str. 2, 12489 Berlin.

## 2.1 Theoretical Background

### 2.1.1 The Density Matrix

A quantum system, for which the complete information is known, is determined by its wave function  $\Psi(x, t) = \langle x | \Psi \rangle$ . Its evolution in time obeys the *Schrödinger equation*,

$$\frac{d}{dt}|\Psi\rangle = -\frac{i}{\hbar}\mathcal{H}|\Psi\rangle, \quad (2.1)$$

where  $\mathcal{H}$  is the quantum-mechanical Hamiltonian of the system. In a physical experiment the wavefunction is not measured directly, but a quantity which depends its square. The expectation value of an observable  $O$  is given by

$$\langle O \rangle = \langle \Psi | O | \Psi \rangle. \quad (2.2)$$

Similarly, the probability  $p(a)$  that the system is in specific state  $|a\rangle$  is

$$p(a) = \langle a | \Psi \rangle \langle \Psi | a \rangle. \quad (2.3)$$

This suggests the introduction of a new operator, the density operator  $\rho$ , as

$$\rho = |\Psi\rangle\langle\Psi| \quad (2.4)$$

In the matrix representation, the diagonal elements  $\rho_{aa} = \langle a | \Psi \rangle \langle \Psi | a \rangle$  directly give the probability for the system to be in state  $|a\rangle$ . Off-diagonal elements  $\rho_{ab}$  exist only for a coherent superposition of states  $|a\rangle$  and  $|b\rangle$ . The expectation value  $\langle O \rangle$  depends linearly on the density matrix via

$$\langle O \rangle = \text{Tr}(O\rho), \quad (2.5)$$

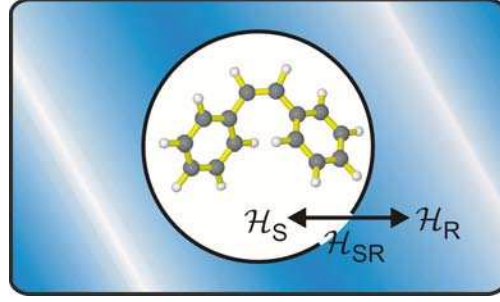
where  $\text{Tr}(\dots) = \sum_i \langle i | \dots | i \rangle$  denotes the trace operation. Starting from the Schrödinger equation (2.1), an equation of motion can also be derived for the density operator, the *Quantum Liouville Equation*:

$$\frac{d}{dt}\rho = -\frac{i}{\hbar}[\mathcal{H}, \rho] = -\frac{i}{\hbar}(\mathcal{H}\rho - \rho\mathcal{H}). \quad (2.6)$$

This equation is formally isomorph to the Schrödinger equation. Note, however, that the Hamiltonian acts on both sides of the density operator in equation (2.6).

### 2.1.2 Description of Mixed Systems

The density operator provides a direct connection between quantum mechanics and classical concepts. Despite significant advances in single-molecule spectroscopy,<sup>[47–50]</sup> most physical experiments still investigate ensemble properties. In general, the system can be represented by a mixture of pure states  $|\Psi_1\rangle, |\Psi_2\rangle \dots, |\Psi_n\rangle$ , which are populated with the probabilities  $P(1), P(2), \dots, P(n)$ ; the expectation of an observable  $O$  is then



**Figure 2.1:** Typical situation in condensed-phase chemistry. The system (Hamiltonian  $\mathcal{H}_S$ ) is surrounded by a reservoir, described by  $\mathcal{H}_R$ . System-reservoir coupling is included via  $\mathcal{H}_{SR}$

obtained from the non-coherent superposition

$$\langle O \rangle = \sum_m P(m) \langle \Psi_m | O | \Psi_m \rangle = \sum_m P(m) \text{Tr}(O \rho_m) \quad (2.7)$$

Therefore, in contrast to the wave function, the density operator  $\rho$  of a mixture can be described as the weighted statistical average over the individual density operators  $\rho_m$ ,

$$\rho = \sum_m P(m) \rho_m \quad (2.8)$$

The diagonal elements  $\rho_{aa} = \sum_m P(m) p_m(a)$  are the probabilities that the pure state  $|a\rangle$  is contained in the statistical mixture described by  $\rho$ , and are also termed the *population* of  $|i\rangle$ .

In condensed phase, figure 2.1, the investigated molecules are surrounded by solvent bulk. It is usually not necessary to describe the complete solvent environment in detail, but one will attempt to reduce the system to the chromophor and maybe the first solvent shell, and seek the maximum extent of information only for this reduced system. It appears natural to divide the Hamiltonian into a system part  $\mathcal{H}_S$ , a reservoir part  $\mathcal{H}_R$  which describes the pure solvent, and a part  $\mathcal{H}_{SR}$  describing the interaction between the two,

$$\mathcal{H} = \mathcal{H}_S + \mathcal{H}_R + \mathcal{H}_{SR} \quad (2.9)$$

When, for example, a chromophor solution is irradiated with visible light, the solvent reservoir is typically transparent. The corresponding operator  $O_S$  thus only acts on the system. While such separation is possible for the Hamiltonian, the eigenvectors of the total Hamiltonian generally do not factorize into a system eigenvector  $|a\rangle$  and a reservoir eigenvector  $|\alpha\rangle$ . Nonetheless, the vectors  $|\alpha a\rangle$  may serve as a complete basis for the joint system-reservoir wavevector  $|\Psi_m\rangle$ . The expectation value of the operator

## 2 Nonlinear Spectroscopy

$O_S$  is then given by

$$\langle O_S \rangle = \text{Tr}(\rho O_S) = \sum_{\alpha, a} \langle a\alpha | \rho O_S | \alpha a \rangle. \quad (2.10)$$

Since  $O_S$  does not act on  $|\alpha\rangle$ , equation 2.10 can be rearranged to

$$\begin{aligned} \langle O_S \rangle &= \sum_a \langle a | \sum_{\alpha} \langle \alpha | \rho | \alpha \rangle O_S | a \rangle, \\ &= \sum_a \langle a | \rho_r O_S | a \rangle = \text{Tr}_S(\rho_r O_S), \end{aligned} \quad (2.11)$$

with the *reduced density operator*  $\rho_r = \text{Tr}_R(\rho)$ .  $\text{Tr}_S$  and  $\text{Tr}_R$  denote the partial traces over the system and reservoir coordinates, respectively. The last equation strongly simplifies the problem, as now knowledge of the reduced density operator  $\rho_r$  is sufficient to calculate the expectation value of any operator that exclusively acts on the system degrees of freedom.

### 2.1.3 Time Evolution of a System

For solving the equations of motion (2.1) and (2.6), we seek expressions for the time-dependent wavefunction  $\Psi(t)$  and density operator  $\rho(t)$ . Let us recall the time-dependent Schrödinger equation 2.1:

$$i\hbar \frac{d}{dt} |\Psi(t)\rangle = \mathcal{H} |\Psi(t)\rangle. \quad (2.1)$$

This differential equation suggests that there exists a linear operator  $\mathcal{U}(t, t_0)$ , which allows to propagate the system in time and transforms the state vector  $|\Psi(t_0)\rangle$  at an arbitrary time  $t_0$  to the state vector  $|\Psi(t)\rangle$  at a later time  $t$ ,

$$|\Psi(t)\rangle = \mathcal{U}(t, t_0) |\Psi(t_0)\rangle. \quad (2.12)$$

This operator is called the *time evolution operator*. Only in this case, which is conservative, *i.e.* whose Hamiltonian is time-independent, the previous equation is solved by<sup>2</sup>

$$\mathcal{U}_0(t, t_0) = e^{-\frac{i}{\hbar} \mathcal{H} \cdot (t - t_0)}. \quad (2.13)$$

In spectroscopy, the free evolution of the chromophore is perturbed by an incoming light field. This interaction is usually weak enough that perturbation theory is valid. The full Hamiltonian  $\mathcal{H}(t)$  may then be divided into a time-independent part  $\mathcal{H}_0$  and a

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<sup>2</sup>To describe a physical experiment, the time-evolution operator  $\mathcal{U}_0(t, t_0)$  should be replaced by the Green function  $\mathcal{G}(t, t_0) = \Theta(t - t_0) \mathcal{U}_0(t, t_0)$ . The Heaviside step function  $\Theta(t - t_0)$  sets the evolution for  $t < t_0$  to zero, thus preventing a physically meaningless backwards evolution in time.

time-dependent part  $\mathcal{V}(t)$ ,

$$\mathcal{H}(t) = \mathcal{H}_0 + \mathcal{V}(t). \quad (2.14)$$

The interaction Hamiltonian  $\mathcal{V}(t)$  is commonly described in the *dipole approximation*, thereby neglecting magnetic and higher-order multipole contributions,<sup>[51]</sup>

$$\mathcal{V}(t) = -\mu E(t), \quad (2.15)$$

where  $\mu$  is the electric dipole operator, and  $E$  is the electric field.

Within this framework, the treatment of the time-dependent wavefunction is facilitated by a transformation to the interaction picture:<sup>[30,51]</sup>

$$|\Psi_I\rangle \equiv \mathcal{U}_0^\dagger(t, t_0)|\Psi\rangle, \quad (2.16)$$

where  $\mathcal{U}_0^\dagger(t, t_0)$  is the Hermitian conjugate of the time evolution operator for the conservative system. The density matrix in the interaction picture is defined as

$$\rho_I = \mathcal{U}_0^\dagger(t, t_0)\rho\mathcal{U}_0(t, t_0). \quad (2.17)$$

The transformation should not affect the expectation value of a physical observable  $O$ . As a consequence, also the operator  $O$  is changed in the interaction picture and becomes time-dependent,

$$O_I = \mathcal{U}_0^\dagger(t, t_0)O\mathcal{U}_0(t, t_0). \quad (2.18)$$

In the interaction picture, the Schrödinger equation simplifies to

$$\frac{d}{dt}|\Psi_I\rangle = -\frac{i}{\hbar}\mathcal{V}_I|\Psi_I\rangle. \quad (2.19)$$

Similarly the Quantum Liouville equation can be written as

$$\frac{d}{dt}\rho_I = -\frac{i}{\hbar}[\mathcal{V}_I, \rho]. \quad (2.20)$$

These equations of motion do not depend any more on the full Hamiltonian  $\mathcal{H}$ , but only on the weak perturbation  $\mathcal{V}_I$ . Therefore, expansion into a series and suitable truncation becomes feasible. Time integration of equation (2.20) gives

$$\rho_I(t) = \rho_I(0) - \frac{i}{\hbar} \int_0^t d\tau [\mathcal{V}_I(\tau), \rho(\tau)]. \quad (2.21)$$

Upon iterative insertion of the density matrix one finally obtains

$$\begin{aligned} \rho_I(t) = \rho_I^{(0)}(t) + \sum_{n=1}^{\infty} \left(-\frac{i}{\hbar}\right)^n \int_0^t d\tau_n \int_0^{\tau_n} d\tau_{n-1} \dots \int_0^{\tau_2} d\tau_2 \\ \times [\mathcal{V}_I(\tau_n), [\mathcal{V}_I(\tau_{n-1}), \dots [\mathcal{V}_I(\tau_1), \rho_I(-\infty)] \dots]], \end{aligned} \quad (2.22)$$

## 2 Nonlinear Spectroscopy

where  $\rho(-\infty)$  is the equilibrium density matrix before the interaction with the light field. The density operator may be transformed back to the Schrödinger picture. By further changing the integration variables from time points  $\tau_i$  to time spans  $t_i$  with  $\tau_i = t_i - t_{i-1}$ , equation 2.22 can be rewritten as

$$\begin{aligned} \rho(t) = \rho^{(0)}(t) + \sum_{n=1}^{\infty} \left(-\frac{i}{\hbar}\right)^n \int_0^t \int_0^t \dots \int_0^t dt_n dt_{n-1} \dots dt_1 \\ \times \mathcal{U}_0(t, t_0) [\mathcal{V}_I(t_n), [\mathcal{V}_I(t_{n-1}), \dots [\mathcal{V}_I(t_1), \rho(-\infty)] \dots]] \mathcal{U}^\dagger(t, t_0). \end{aligned} \quad (2.23)$$

### 2.1.4 The Induced Polarization

The incoming electric fields induce a time-dependent polarization  $P(t)$ , which is to first order the expectation value of the dipole operator,

$$P(t) = \text{Tr}(\mu \rho(t)). \quad (2.24)$$

Equation (2.23) suggests that the polarization may be expanded into a series,

$$P(t) = P^{(1)} + P^{(2)} + \dots \quad (2.25)$$

The  $n^{\text{th}}$  order polarization can then be expressed by

$$\begin{aligned} P^{(n)} = \int_0^\infty \int_0^\infty \dots \int_0^\infty dt_n dt_{n-1} \dots dt_1 \\ \times R^{(n)}(t_n, t_{n-1}, \dots, t_1) E^{(n)}(t, t_n, t_{n-1}, \dots, t_1). \end{aligned} \quad (2.26)$$

Here the interaction Hamiltonian  $\mathcal{V}_I(t) = -\mu_I(t)E(t)$  was inserted, and the time-ordered product of electric fields was collected in the term  $E^{(n)}(t, t_n, t_{n-1}, \dots, t_1)$ ,

$$E^{(n)}(t, t_n, t_{n-1}, \dots, t_1) = E(t - t_n)E(t - t_n - t_{n-1}) \dots E(t - t_n - t_{n-1} - \dots - t_1). \quad (2.27)$$

The action of the dipole operators  $\mu_I(t_i)$  on the equilibrium density matrix is contained in the  $n^{\text{th}}$  response function  $R^{(n)}(t_n, t_{n-1}, \dots, t_1)$ ,

$$\begin{aligned} R^{(n)}(t_n, t_{n-1}, \dots, t_1) = \\ \left(\frac{i}{\hbar}\right)^n \text{Tr}(\mu_I(t) [\mu_I(t_n), [\mu_I(t_{n-1}), \dots [\mu_I(t_1), \rho(-\infty)] \dots]]). \end{aligned} \quad (2.28)$$

Evaluation of the nested commutators in the last equation produces  $2^n$  terms, each corresponding to a different interaction pathway.

As an example, consider the third-order response function  $R^{(3)}(t_3, t_2, t_1)$ . It may be written as the sum of eight dipole correlation functions  $R_{[k]}^{(3)}$ , which are pairwise complex

conjugate to each other,

$$R^{(n)}(t_3, t_2, t_1) = \sum_{[k]=1}^8 R_{[k]}^{(3)}, \quad (2.29)$$

where

$$R_1^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{dc}(t_3) I_{db}(t_2) I_{da}(t_1), \quad (2.30a)$$

$$R_2^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{dc}(t_3) I_{db}(t_2) I_{ab}(t_1), \quad (2.30b)$$

$$R_3^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{dc}(t_3) I_{ac}(t_2) I_{ab}(t_1), \quad (2.30c)$$

$$R_4^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{ba}(t_3) I_{ca}(t_2) I_{da}(t_1), \quad (2.30d)$$

$$R_5^{(3)}(t_3, t_2, t_1) = \frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{cb}(t_3) I_{db}(t_2) I_{ab}(t_1), \quad (2.30e)$$

$$R_6^{(3)}(t_3, t_2, t_1) = \frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{cb}(t_3) I_{db}(t_2) I_{da}(t_1), \quad (2.30f)$$

$$R_7^{(3)}(t_3, t_2, t_1) = \frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{cb}(t_3) I_{ca}(t_2) I_{da}(t_1), \quad (2.30g)$$

$$R_8^{(3)}(t_3, t_2, t_1) = \frac{i}{\hbar^3} \sum_{a,b,c,d} p(a) \mu_{ab} \mu_{bc} \mu_{cd} \mu_{da} I_{ad}(t_3) I_{ac}(t_2) I_{ab}(t_1). \quad (2.30h)$$

The auxiliary function  $I_{ij}(t)$  describes the evolution of the system upon dipole interaction. In the formalism developed so far, a system prepared in the superposition state  $|i\rangle\langle j|$  evolves according to

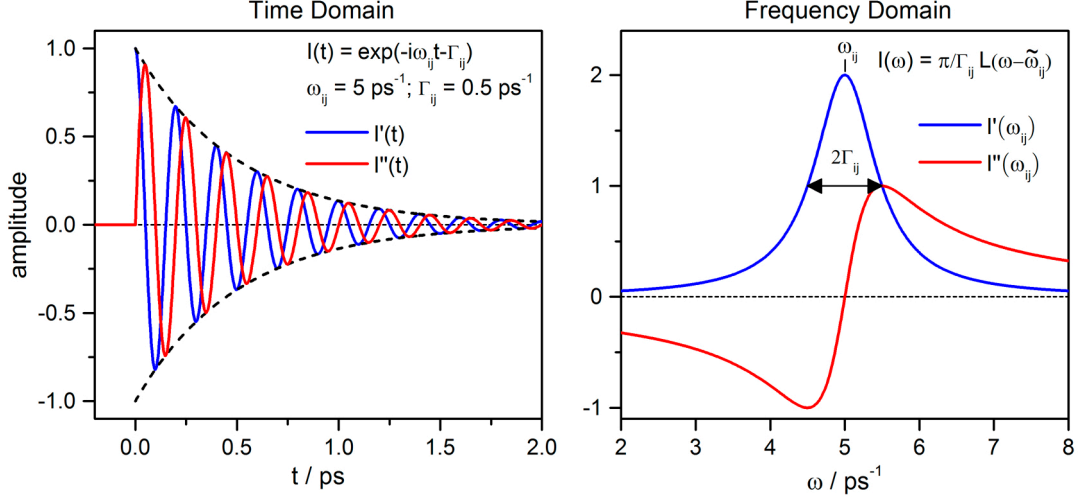
$$I_{ij}(t) = \exp[-i\omega_{ij}t]. \quad (2.31)$$

Here  $\omega_{ij}$  is the transition frequency between states  $|i\rangle$  and  $|j\rangle$ .

### 2.1.5 Relaxation

The previous treatment did not consider relaxation effects. They are included here by the dephasing rate  $\Gamma_{ij}$ . The auxiliary function  $I_{ij}(t)$  can then be expressed in terms of the complex frequency  $\tilde{\omega}_{ij} = \omega_{ij} - i\Gamma_{ij}$ :

$$I_{ij} = \exp[-i\tilde{\omega}_{ij}t]. \quad (2.32)$$



**Figure 2.2:** Time domain (left) and frequency domain representations of the Green function  $I_{ij}$  for the model parameters  $\omega_{ij}$  and  $\Gamma_{ij}$  given in the inset. Real parts are shown in blue, imaginary parts in red.

In the *Bloch* approximation, the dephasing rate  $\Gamma_{ij}$  may be written as<sup>[30]</sup>

$$\Gamma_{ij} = \frac{1}{2}(\gamma_i + \gamma_j) + \tilde{\Gamma}_{ij}. \quad (2.33)$$

Here population decay of the states  $|i\rangle$  and  $|j\rangle$  is described by the rates  $\gamma_i$  and  $\gamma_j$ , corresponding to the inverse lifetimes of the states. The environment is considered in the homogeneous limit, *i.e.* it is assumed that it affects the chromophore by rapid fluctuations. These fluctuations induce a dephasing of the coherence  $|i\rangle\langle j|$ , characterized by the pure dephasing rate  $\tilde{\Gamma}_{ij}$ . When performing the Fourier transform of the damped oscillation  $I_{ij}(t)$  in positive time direction, one obtains

$$\int_{-\infty}^{\infty} dt I_{ij}(t) e^{i\omega t} = (\pi/\Gamma_{ij}) L(\omega - \tilde{\omega}_{ij}), \quad (2.34)$$

$$L(\omega - \tilde{\omega}_{ij}) = i\Gamma_{ij}/\pi(\omega - \tilde{\omega}_{ij}).$$

The function  $L(\omega - \tilde{\omega}_{ij})$  is a dimensionless complex Lorentzian, with the real and imaginary parts

$$L'(\omega - \tilde{\omega}_{ij}) = \text{Re}(L(\omega - \tilde{\omega}_{ij})) = \frac{\Gamma_{ij}^2/\pi}{(\omega - \omega_{ij})^2 + \Gamma_{ij}^2}, \quad (2.35a)$$

$$L''(\omega - \tilde{\omega}_{ij}) = \text{Im}(L(\omega - \tilde{\omega}_{ij})) = \frac{(\omega - \omega_{ij})\Gamma_{ij}/\pi}{(\omega - \omega_{ij})^2 + \Gamma_{ij}^2}. \quad (2.35b)$$



As shown in Figure 2.2,  $L'(\omega - \tilde{\omega}_{ij})$  is purely positive and peaks at the resonance frequency  $\omega_{ij}$  and has a width  $2\Gamma_{ij}$ , whereas  $L''(\omega - \tilde{\omega}_{ij})$  changes sign and goes through zero at  $\omega_{ij}$ .

Additional broadening may be introduced by static inhomogeneities of the solvent shell. This effect may be incorporated by convolution of the homogeneous polarization with a (Gaussian) distribution function,<sup>[30]</sup>

$$\langle P^{(n)}(\omega_{ij}) \rangle = (1/\sqrt{2\pi}\Delta) \int_{-\infty}^{\infty} d\omega' P^{(n)}(\omega_{ij} + \omega') \exp \left[ -(\omega'/\Delta)^2/2 \right]. \quad (2.36)$$

More generally, solvent fluctuations may occur on a time scale which is in between the homogeneous (ultrafast) and the heterogeneous (static) limit. The solvent will then have a time-dependent effect on the evolution of the system. Theoretically such situation can be treated by performing the *Cumulant expansion* of the response function, and describing solvent motion in the *Brownian oscillator* model.<sup>[30]</sup> The randomization of solvent perturbations induced by dipole transitions is in this context described by the complex solvation correlation function. Its real part describes dephasing, whereas the imaginary part introduces a frequency shift that corresponds to the solvent-induced Stokes shift.

### 2.1.6 Propagation of the Electric Field through a Medium

In a non-magnetic medium without electric charges the polarization  $P(\mathbf{r}, t)$  is connected to the electric field  $E(\mathbf{r}, t)$  by the Maxwell wave equation:<sup>3</sup>

$$\left( \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) E(\mathbf{r}, t) = \frac{1}{\epsilon_0 c^2} \frac{\partial^2}{\partial t^2} P(\mathbf{r}, t). \quad (2.37)$$

This second-order differential equation may be solved by expressing the electric field and the polarization as plane waves. Under phase-matching conditions the electric field and polarization can be written in the complex notation

$$E(\mathbf{r}, t) = \tilde{E}(\mathbf{r}, t) \exp(-i\omega t + i\mathbf{k}\mathbf{r}) + cc. = \mathcal{E}(\mathbf{r}, t) + \mathcal{E}^*(\mathbf{r}, t), \quad (2.38a)$$

$$P(\mathbf{r}, t) = \tilde{P}(\mathbf{r}, t) \exp(-i\omega t + i\mathbf{k}\mathbf{r}) + cc. = \mathcal{P}(\mathbf{r}, t) + \mathcal{P}^*(\mathbf{r}, t), \quad (2.38b)$$

where the complex amplitudes carry a phase factor  $\phi$ ,

$$\tilde{E}(\mathbf{r}, t) = E_0(\mathbf{r}, t) e^{i\phi}, \quad (2.39)$$

$$\tilde{P}(\mathbf{r}, t) = P_0(\mathbf{r}, t) e^{i\phi}. \quad (2.40)$$

The notation of the electric field terms is not uniform in literature. To describe the complex amplitudes some authors introduce an additional factor of  $1/2$ , which then has to be taken into account in the subsequent equations. Here the following conventions

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<sup>3</sup>All equations are given in SI units.

## 2 Nonlinear Spectroscopy

are made: the complex electric field amplitudes are denoted by  $\tilde{E}$  and  $\tilde{E}^*$ ; their absolute value is  $E_0$ , and it is assumed that  $E_0(t)$  has its maximum at  $t = 0$ . The electric field is written in equation (2.38a) as the sum of two complex conjugate terms, each describing an oscillation in the complex plane. These complex field terms are represented by the caligraphic letters  $\mathcal{E}$  and  $\mathcal{E}^*$ . The same notation is used for the polarization. The Fourier transform operation  $FT(\dots)$  interconverts expressions between time and frequency, and also between coordinate and momentum space. The actual space is indicated by the arguments  $t/\omega$  and  $\mathbf{r}/\mathbf{k}$ .

Oriental effects are omitted here for simplicity. Typically, the field and polarization envelopes  $E_0(\mathbf{r}, t)$  and  $P_0(\mathbf{r}, t)$  change only slowly on the time scale of an oscillation period, and the temporal and spatial second derivative of the envelopes is set to zero; this is the *slowly varying envelope approximation*. For the component propagating in  $z$  direction equation (2.37) simplifies under phase-matching conditions to

$$\frac{\partial}{\partial z} \tilde{E}(z, t) = \frac{ik_z}{2\epsilon_0} \tilde{P}(z, t). \quad (2.41)$$

### 2.1.7 Homodyne and Heterodyne Detection

Optical detectors are usually square-law devices, which means that not the electric field wave itself but the time-integrated intensity  $I(\mathbf{k}, \omega)$  is measured. Two different approaches exist for the detection of the induced optical changes. In *homodyne* detection, the intensity of the generated electric signal field  $E(\mathbf{k}, \omega)$  is measured directly as

$$I(\mathbf{k}, \omega) = 2c\epsilon_0 |\tilde{E}(\mathbf{k}, \omega)|^2. \quad (2.42)$$

In a higher-order experiment with non-collinear arrangement, the signal electric field may be separated from the incoming beams by its propagation direction. Phase matching requires that the wave vector of the signal field  $\mathbf{k}_s$  is the sum of the wave vectors of the incoming fields  $\mathbf{k}_i$  involved in the nonlinear process,

$$\mathbf{k} = \sum_i \mathbf{k}_i. \quad (2.43)$$

Homodyne detection has the advantage that under appropriate conditions background-free measurements are possible. It has been successfully applied, for example, in four-wave mixing and photon-echo spectroscopy. The recorded intensity depends on the square of the signal electric field.

In another approach, *heterodyne* detection, the signal field  $E$  is mixed with a weak probe field  $E_{LO}$ , which is also denoted as the local-oscillator field, referring to the terminology in radio technology. The measured intensity is then

$$\begin{aligned} I(\mathbf{k}, \omega) &= 2c\epsilon_0 |\tilde{E}_{LO}(\mathbf{k}_{LO}, \omega_{LO}) + \tilde{E}(\mathbf{k}, \omega)|^2 \\ &= I_{LO}(\mathbf{k}_{LO}, \omega_{LO}) + I(\mathbf{k}, \omega) + 4c\epsilon_0 \text{Re} \left[ \tilde{E}_{LO}^*(\mathbf{k}_{LO}, \omega_{LO}) \tilde{E}(\mathbf{k}, \omega) \right]. \end{aligned} \quad (2.44)$$

Since the signal field is usually small, the contribution of  $I(\mathbf{k}, \omega)$  can be neglected, so that the intensity change in a heterodyne experiment depends linearly on the signal field. In linear absorption, pump-probe and stimulated Raman spectroscopy the signal field is generated along the direction of the incoming probe field, so that interference takes place. Therefore these experiments are intrinsically heterodyne.

### 2.1.8 Absorption of a Weak Probe

When light passes through a sample, its intensity and oscillation phase may change. Propagation in  $z$  direction is in the slowly varying amplitude approximation by quantified by Equation (2.41). Multiplication with the complex conjugate field amplitude  $\tilde{E}^*(z, t) = E_0(z, t)e^{-i\phi}$  yields

$$E_0 \frac{\partial}{\partial z} E_0 + iE_0^2 \frac{\partial}{\partial z} \phi = \frac{i\omega}{2c\epsilon_0} \tilde{E}^* \tilde{P}, \quad (2.45)$$

where  $k_z = \omega/c$  was used, thereby neglecting the refractive index dependence. With  $I(z, t) = 2\epsilon_0 c E_0(z, t)^2$ , the changes of intensity and phase for a single absorbing system can be expressed via the real and imaginary part of the last equation:

$$\frac{\partial I}{\partial z} = -2\omega \operatorname{Im} \left( \tilde{E}^*(z, t) \tilde{P}(z, t) \right), \quad (2.46a)$$

$$\frac{\partial \phi}{\partial z} = \frac{\omega}{I(z, t)} \operatorname{Re} \left( \tilde{E}^*(z, t) \tilde{P}(z, t) \right). \quad (2.46b)$$

When light with an initial intensity spectrum  $I(0, \omega)$  passes a sample, its intensity  $I(z, t)$  changes exponentially with the pathlength  $z$ , according to the *Beer-Lambert* law:

$$I(z, \omega) = I(0, \omega) e^{-\sigma_A(\omega) \mathcal{N} z}, \quad (2.47)$$

where  $\mathcal{N} = N/V$  is the number density of the chromophore, and  $\sigma_A(\omega)$  is its absorption cross section. Experimental results are usually discussed in terms of the extinction or optical density

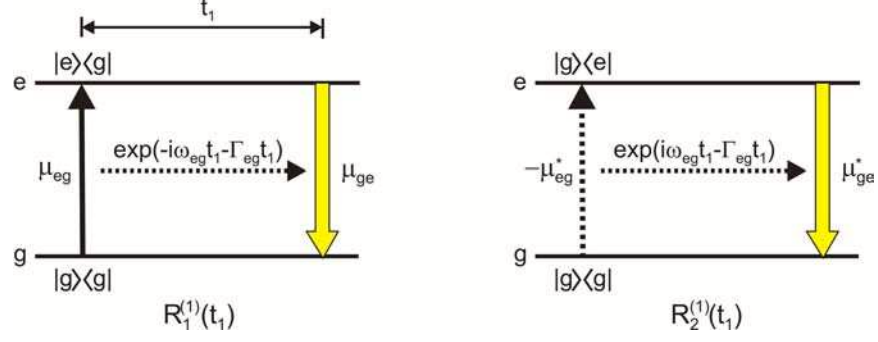
$$OD(z, \omega) = \lg \frac{I(0, \omega)}{I(z, \omega)} = \lg(e) \sigma_A \mathcal{N} z. \quad (2.48)$$

Since

$$OD(z, \omega) = -\lg(e) \frac{z}{I} \frac{\partial I}{\partial z}, \quad (2.49)$$

the measured optical density spectrum is related to the induced polarization via

$$OD(z, \omega) = \lg(e) z \mathcal{N} \frac{2\omega}{I(z, \omega)} \operatorname{Im} \left( \tilde{E}^*(z, \omega) \tilde{P}(z, \omega) \right). \quad (2.50)$$



**Figure 2.3:** Energy ladder diagrams of the pathways  $R_{[k]}^{(1)}$  that contribute to linear absorption in a two-level system. Dipole action on the ket (dashed arrow) or bra (full arrow) side prepares the system in the electronic coherence  $|e\rangle\langle g|$  or  $|g\rangle\langle e|$ . It then evolves with  $I_{eg}(t_1) = \exp[-i\omega_{eg}t_1 - \Gamma_{eg}t_1]$ ,  $I_{ge}(t_1) = \exp[i\omega_{eg}t_1 - \Gamma_{eg}t_1]$ . The last arrow corresponds to the dipole transition needed to evaluate the signal polarization.

## 2.2 Linear Spectroscopy

### 2.2.1 Absorption

In linear absorption, interaction with the electric field  $E(\mathbf{r}, t - t_1)$  induces at time  $t - t_1$  an electronic coherence between the ground state  $|g\rangle$  and the excited state  $|e\rangle$ . The induced polarization at time  $t$  is given by the convolution of the electric field with the first-order response function  $R^{(1)}(t_1)$ ,

$$P^{(1)}(\mathbf{r}, t) = \int_0^\infty dt_1 R^{(1)}(\mathbf{r}, t_1) E^{(1)}(\mathbf{r}, t - t_1) = \sum_{[k]} \int_0^\infty dt_1 R_{[k]}^{(1)}(\mathbf{r}, t_1) E(\mathbf{r}, t - t_1). \quad (2.51)$$

The pathways  $R_{[k]}^{(n)}$  that contribute to an  $n^{th}$  order signal can be presented pictorially by energy ladder diagrams. To describe linear absorption, consider a two level system, which consists of the ground state  $g$  and an excited state  $e$ , Figure 2.3. In the diagram, time moves from left to right, and interactions with the dipole operator  $\mu_{eg}$  are represented by vertical arrows. Full arrows correspond to action of the dipole operator on the ket side, dashed arrows indicate action on the bra side; the last arrow corresponds to the dipole transition needed to evaluate the signal polarization. As seen in Figure 2.3, the response to a first-order perturbation is described by the two complex-conjugate pathways

$$R_1^{(1)}(t_1) = \frac{i}{\hbar} p(g) |\mu_{eg}|^2 I_{eg}(t_1), \quad (2.52a)$$

$$R_2^{(1)}(t_1) = -\frac{i}{\hbar} p(g) |\mu_{eg}|^2 I_{ge}(t_1), \quad (2.52b)$$

where the factor  $p(g)$  denotes the population of the ground state. In the Bloch approximation

$$I_{eg} = I_{ge}^* = \exp[-i\omega_{eg}t_1 - \Gamma_{eg}t_1]. \quad (2.53)$$

The electric field term  $E^{(1)}(t - t_1)$  in  $\mathbf{k}$  direction is equal to the light field of the probe beam,

$$E^{(1)}(\mathbf{k}, t - t_1) = \tilde{E}(t - t_1) \exp[-i\Omega(t - t_1) + \mathbf{k}\mathbf{r}] + cc. \quad (2.54)$$

For the calculation of the measured signal, Equation (2.50), only the complex amplitude  $\tilde{P}(k, \omega)$  of the induced polarization needs to be known. Hence, it is sufficient to evaluate the polarization with the complex part  $\mathcal{E}(\mathbf{k}, t - t_1)$  of the electric field. Multiplication of  $\mathcal{E}^{(1)}(\mathbf{k}, t - t_1)$  with the dipole correlation function  $R_1^{(1)}(t_1)$  yields a term, which oscillates slowly in  $t_1$  with the difference frequency  $\Omega - \omega_{eg}$ , whereas multiplication with  $R_2^{(1)}(t_1)$  leads to a term, which oscillates rapidly with the sum frequency  $\Omega + \omega_{eg}$ . When performing the time integration in Equation (2.51), under near-resonance conditions only the slowly varying term from the pathway  $R_1^{(1)}(t_1)$  will give a significant contribution. Upon Fourier transformation of the polarization wave  $\mathcal{P}(\mathbf{k}, t)$ , the convolution can be written as a product,

$$\mathcal{P}(\mathbf{k}, \omega) = R_1^{(1)}(\omega) \mathcal{E}(\mathbf{k}, \omega). \quad (2.55)$$

The linear absorption signal is finally obtained by inserting this result into Equation (2.50) and multiplying by the number  $N$  of absorbing molecules:

$$OD^{(1)}(z, \omega) = \lg(e) z \mathcal{N} \frac{\omega p(g) \pi}{\epsilon_0 c \hbar \Gamma_{eg}} |\mu_{eg}|^2 L'(\omega - \tilde{\omega}_{eg}). \quad (2.56)$$

Its profile is given by the real part of the Lorentzian  $L(\omega - \tilde{\omega}_{eg})$ , as defined in Equation (2.35a).

### 2.2.2 Stimulated and Spontaneous Transitions

Absorption, the transition from a state  $g$  to a higher electronic state  $e$  under consumption of a photon, is stimulated by the incoming light field and can thus be understood as a first-order reaction with respect to the photon density of the incoming beam. The probability  $w_{eg}$  that a single molecule absorbs a photon is proportional to the energy density  $\rho(\nu) = I/c$  of the incoming light at frequency  $\nu = \omega/2\pi$  via

$$w_{eg} = B_{eg} \rho(\nu). \quad (2.57)$$

## 2 Nonlinear Spectroscopy

The proportionality constant  $B_{eg}$  is the *Einstein coefficient for absorption*. It is related to the absorption cross section  $\sigma_A(\nu)$  by<sup>[52]</sup>

$$B_{eg} = \frac{c}{h} \int \frac{\sigma_A}{\nu} d\nu. \quad (2.58)$$

The incoming radiation can also induce a transition to a lower-lying electronic state, *i.e.* in this case the  $e \rightarrow g$  transition. The probability  $w_{ge}$  for unit population of the state  $e$  is

$$w_{ge} = B_{ge}\rho(\nu), \quad (2.59)$$

where  $B_{ge}$  is the *Einstein coefficient for stimulated emission*. It can be shown<sup>[51]</sup> that the coefficients  $B_{eg}$  and  $B_{ge}$  are equal,

$$B_{ge} = B_{eg} = B. \quad (2.60)$$

Experimentally observed absorption or emission bands can be analyzed by forming the band integral

$$BI = \int \frac{\sigma(\nu)}{\nu} d\nu = \int \frac{\sigma(\lambda)}{\lambda} d\lambda. \quad (2.61)$$

This quantity is proportional to the Einstein coefficient  $B$  that characterizes the transition. Furthermore, the band integral is insensitive to thermal relaxation of the molecule in a pure electronic state, when integrating over the full vibronic band envelope.<sup>[52,53]</sup> A spectral comparison of absorption and stimulated emission bands is achieved by plotting the band shape functions  $\sigma(\nu)/\nu$ .

In addition to stimulated emission, a chromophore in the excited state may also be de-excited by the spontaneous emission of a photon; this process is independent of the incident light. Nonetheless, it may be understood as emission which is stimulated by fluctuations of the vacuum field.<sup>4</sup> The probability for the spontaneous emission of a photon,  $w_{ge}^{sp}$  is given by the *Einstein coefficient*  $A$ ,

$$w_{ge}^{sp} = A. \quad (2.62)$$

A rigorous treatment requires a quantum-mechanical description of the electric field. A single mode of  $E(\mathbf{r}, t)$  may be represented in the second quantization formalism via the creation and annihilation operators  $a_2^\dagger$  and  $a_2$ ,

$$E(\mathbf{r}, t) = i\sqrt{\frac{2\pi\hbar\omega_2}{v}} \left( a_2 \exp[-i(\omega_2 t - \mathbf{k}\mathbf{r})] - a_2^\dagger \exp[i(\omega_2 t - \mathbf{k}\mathbf{r})] \right), \quad (2.63)$$

with the quantization volume  $v$ . It can be shown<sup>[51]</sup> that the coefficient  $A$  for spontaneous

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<sup>4</sup>Note that the vacuum field can not induce transitions to higher-lying states, since these processes require the absorption of a photon.

emission is, is related to that of stimulated emission,  $B$ , via

$$A = \frac{8\pi h\nu^3}{c^3} B. \quad (2.64)$$

In contrast to absorption, spontaneous fluorescence is usually measured directly with a detector that is sensitive to the number of emitted photons. The recorded photon distribution  $F(\nu)$  can be converted into (relative) cross section spectra for stimulated emission,  $\sigma_{SE}$ , by multiplication with  $1/\nu^2$ .

The formalism presented above is not limited to optical absorption and fluorescence, but is valid also for other processes like stimulated and spontaneous Raman scattering.

## 2.3 Transient Absorption

In a transient absorption experiment, a pump pulse is applied and the induced absorption of a probe pulse is measured at a specific delay time  $t_d$ . A chopper extinguishes every second pump pulse, and the transient absorption signal is the induced difference spectrum. In an isotropic sample, the lowest-order signal contribution is of third-order,

$$\Delta OD(\omega_2, t_d) = \lg(e) z \mathcal{N} \frac{2\omega_2}{I} \text{Im} \left( \tilde{E}_2^*(\omega_2) \tilde{P}^{(3)}(\omega_2, t_d) \right). \quad (2.65)$$

The third-order polarization spectrum  $\mathcal{P}^{(3)}(\omega_2, t_d)$  is taken in the probe direction  $\mathbf{k}_2 = -\mathbf{k}_1 + \mathbf{k}_1 + \mathbf{k}_2$ . It may be expressed by its Fourier transform as

$$\mathcal{P}^{(3)}(\omega_2, t_d) = \text{FT} \left( \mathcal{P}^{(3)}(\mathbf{k}_2, t, t_d) \right) = \int_{-\infty}^{\infty} dt \mathcal{P}^{(3)}(\mathbf{k}_2, t, t_d) \exp[i\omega_2 t], \quad (2.66)$$

In the dipole approximation the nonlinear polarization  $P^{(3)}(\mathbf{r}, t, t_d)$  is given by

$$P^{(3)}(\mathbf{r}, t, t_d) = \int_0^\infty dt_3 \int_0^\infty dt_2 \int_0^\infty dt_1 R^{(3)}(t_3, t_2, t_1) E^{(3)}(\mathbf{r}, t, t_3, t_2, t_1), \quad (2.67)$$

where  $R^{(3)}(t_3, t_2, t_1)$  is the third-order nonlinear response function and  $E^{(3)}(t, t_3, t_2, t_1)$  is the three-fold electric field product

$$E^{(3)}(\mathbf{r}, t, t_3, t_2, t_1) = E(\mathbf{r}, t - t_3 - t_2 - t_1) E(\mathbf{r}, t - t_3 - t_2) E(\mathbf{r}, t - t_3). \quad (2.68)$$

The total electric field at time  $t$  is given by the sum of the pump and probe pulse fields  $E_1(\mathbf{r}, t + t_d)$  and  $E_2(\mathbf{r}, t)$ ,

$$E(\mathbf{r}, t) = E_1(\mathbf{r}, t + t_d) + E_2(\mathbf{r}, t). \quad (2.69)$$

The effective field product  $\mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1)$  in  $\mathbf{k}_2$  direction is obtained by insertion into equation (2.68) and collecting the terms with  $\exp(i\mathbf{k}_2 \mathbf{r})$ . It is the sum of six time-ordered

## 2 Nonlinear Spectroscopy

terms,

$$\begin{aligned}\mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1) &= \mathcal{E}_S^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1) + \mathcal{E}_C^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1) + \mathcal{E}_D^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1), \\ \mathcal{E}_{S,C,D}^{(3)} &= \mathcal{E}_{S_A,C_A,D_A}^{(3)} + \mathcal{E}_{S_B,C_B,D_B}^{(3)},\end{aligned}\quad (2.70)$$

where

$$\mathcal{E}_{S_A}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_2(t - t_3)\mathcal{E}_1^*(t - t_3 - t_2 + t_d)\mathcal{E}_1(t - t_3 - t_2 - t_1 + t_d), \quad (2.71a)$$

$$\mathcal{E}_{S_B}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_2(t - t_3)\mathcal{E}_1(t - t_3 - t_2 + t_d)\mathcal{E}_1^*(t - t_3 - t_2 - t_1 + t_d), \quad (2.71b)$$

$$\mathcal{E}_{C_A}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_1(t - t_3 + t_d)\mathcal{E}_2(t - t_3 - t_2)\mathcal{E}_1^*(t - t_3 - t_2 - t_1 + t_d), \quad (2.71c)$$

$$\mathcal{E}_{C_B}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_1(t - t_3 + t_d)\mathcal{E}_1^*(t - t_3 - t_2 + t_d)\mathcal{E}_2(t - t_3 - t_2 - t_1), \quad (2.71d)$$

$$\mathcal{E}_{D_A}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_1^*(t - t_3 + t_d)\mathcal{E}_2(t - t_3 - t_2)\mathcal{E}_1(t - t_3 - t_2 - t_1 + t_d), \quad (2.71e)$$

$$\mathcal{E}_{D_B}^{(3)}(t, t_3, t_2, t_1) = \mathcal{E}_1^*(t - t_3 + t_d)\mathcal{E}_1(t - t_3 - t_2 + t_d)\mathcal{E}_2(t - t_3 - t_2 - t_1), \quad (2.71f)$$

The term  $\mathcal{E}_S^{(3)}$  in equation (2.70) describes the sequential contribution, where the sample interacts first twice with the pump and then with the probe field. The terms  $\mathcal{E}_C^{(3)}$  and  $\mathcal{E}_D^{(3)}$  correspond to the coherent contribution, where the sample interacts with the interference of pump and probe fields. Note that in the description of ref. 30, the double coherent term  $\mathcal{E}_D^{(3)}$  is omitted. By inserting the previous result into equations (2.65) and (2.66), also a transient absorption spectrum  $\Delta OD(\omega_2, t_d)$  may be separated into sequential and coherent spectra,

$$\Delta OD(\omega_2, t_d) = \Delta OD_S(\omega_2, t_d) + \Delta OD_C(\omega_2, t_d) + \Delta OD_D(\omega_2, t_d). \quad (2.72)$$

$\Delta OD_C(\omega_2, t_d)$  and  $\Delta OD_D(\omega_2, t_d)$  contain contributions from stimulated Raman scattering, two-photon absorption, and perturbed free induction decay.<sup>[35,36,39,54,55]</sup> If the electronic coherence time is short compared to the pulse duration, these signals appear only during the cross-correlation time of pump and probe pulses, giving rise to a 'coherent spike' around zero delay time. Such coherent contributions will be considered in the context of femtosecond stimulated resonance Raman (FSRR) spectroscopy. Here the discussion is focused on the sequential part  $\Delta OD_S(\omega_2, t_d)$ , which dominates the signal for temporally well-separated pump and probe pulses. The first two interactions with the pump field generate electronic population, whose evolution is queried by interaction with the delayed probe field. The signal  $\Delta OD_S(\omega_2, t_d)$  is determined by the third-order polarization spectra  $\mathcal{P}_S^{(3)}(\omega_2, t_d)$ . The relevant nonlinear response function  $R^{(3)}(t_3, t_2, t_1)$  is expressed by the sum over all third-order pathways  $R_{[k]}^{(3)}(t_3, t_2, t_1)$ :

$$\mathcal{P}_S^{(3)}(\omega_2, t_d) = \sum_{[k]} \int_0^\infty dt_3 \int_0^\infty dt_2 \int_0^\infty dt_1 R_{[k]}^{(3)}(t_3, t_2, t_1) \text{FT} \left[ \mathcal{E}_S^{(3)}(t, t_3, t_2, t_1) \right]. \quad (2.73)$$



A pathway  $[k]$  is specified by subscripts  $[mn, kl, pq]$  and corresponds to a third-order sequence of the general form

$$|g\rangle\langle g| \xrightarrow{\mu_{ab}} |m\rangle\langle n| \xrightarrow{\mu_{cd}} |k\rangle\langle l| \xrightarrow{\mu_{ef}} |p\rangle\langle q|. \quad (2.74)$$

In the Bloch approximation the third order response function of the  $[k]$ th pathway is given by

$$R_{S[k]}^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} p(g) \hat{\mu}_{[k]} \exp[-i\tilde{\omega}_{mn}t_3 - i\tilde{\omega}_{kl}t_2 - i\tilde{\omega}_{pq}t_1]. \quad (2.75)$$

Here the product of the dipole matrix elements,  $\mu_{fe}\mu_{ef}\mu_{cd}\mu_{ab}$ , is collected in the operator  $\hat{\mu}$ .

For well separated pulses of negligible duration, the sequential contribution to transient absorption may be factorized into a term that describes the time-dependent population  $p(j, t_d)$  change of state  $|j\rangle$  and the associated first-order spectrum  $\Delta D_j^{(1)}(\omega_2, 0)$ ,

$$\Delta OD_S^{(3)}(\omega_2, t_d) = \sum_j p(j, t_d) \Delta D_j^{(1)}(\omega_2, 0), \quad (2.76)$$

with

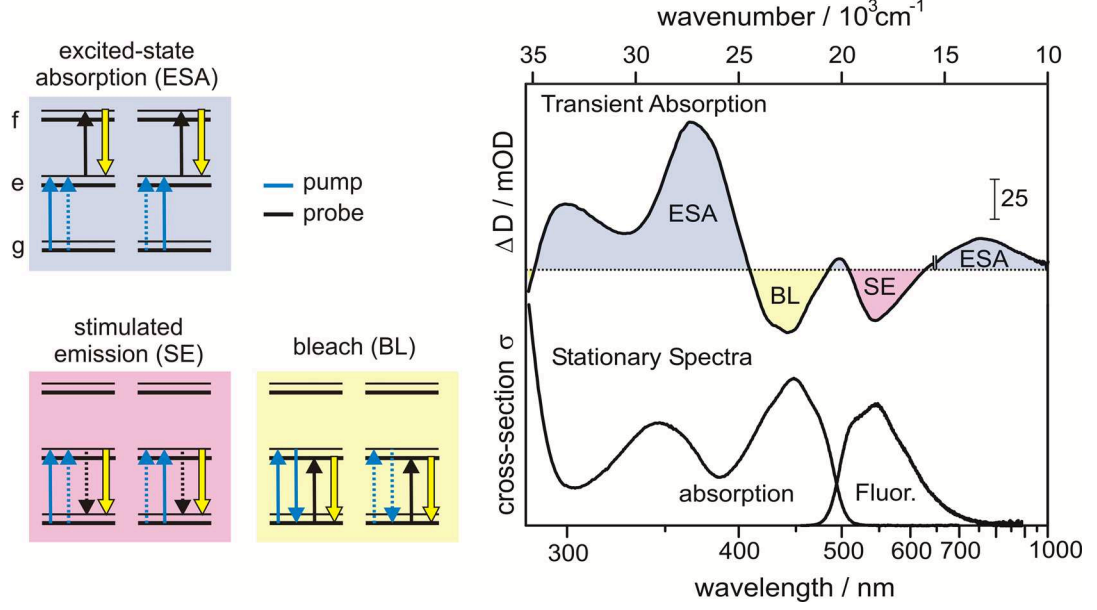
$$\Delta D_j^{(1)}(\omega_2, 0) = \sum_i \lg(e) z_i \mathcal{N} \frac{\omega \pi}{\epsilon_0 c \hbar \Gamma_{ij}} |\mu_{ij}|^2 L'(\omega - \tilde{\omega}_{ij}). \quad (2.77)$$

Thus by analyzing the temporal evolution of the induced absorption spectrum, one may draw conclusions about the flow of the intermediately created populations  $p(j, t_d)$ . The time-dependence of  $p(j, t_d)$  depends on the mechanism of excited-state relaxation. In Section 3.6 it will be shown that the evolution of transient populations can be described in most cases by the sum of exponential terms, weighted by the amplitudes  $a_i$ ,

$$p(j, t_d) = \sum_n a_n \exp(-t/\tau_n). \quad (2.78)$$

A typical transient absorption experiment may be modelled by considering a system of three electronic states  $g$ ,  $e$ , and  $f$ , each with vibrational structure distinguished by primes ( $g'$ ,  $e'$ ,  $f'$ ). Pathways that contribute to the sequential transient-absorption signal are illustrated as energy-ladder diagrams in figure 2.4. For simplicity only sequences are shown that start from the lowest vibrational level in the ground state. The pathways may be classified according to the way in which system is prepared. In the bleaching process (BL) the pump pulse modifies the population in the ground electronic state. The probe induces the  $e \leftarrow g$  coherence, resulting in an emissive polarization wave. Other processes involve the transfer of population to the excited state. The probe field may then drive the  $f \leftarrow e$  or the  $e \rightarrow g'$  transition, corresponding to excited-state absorption (ESA) or stimulated emission (SE).

As an example, the transient-absorption spectrum of riboflavin in DMSO at delay  $t_d = 0.4$  ps is shown in figure 2.4 and compared to the stationary absorption and emis-



**Figure 2.4:** The transient absorption signal. Left: Energy ladder diagrams representing the sequential pathways that contribute to the transient absorption signal. Right: Typical transient absorption signal of riboflavin in DMSO upon excitation with 440 nm at a delay of  $t_d = 0.4$  ps, compared to stationary absorption and emission cross-section spectra.

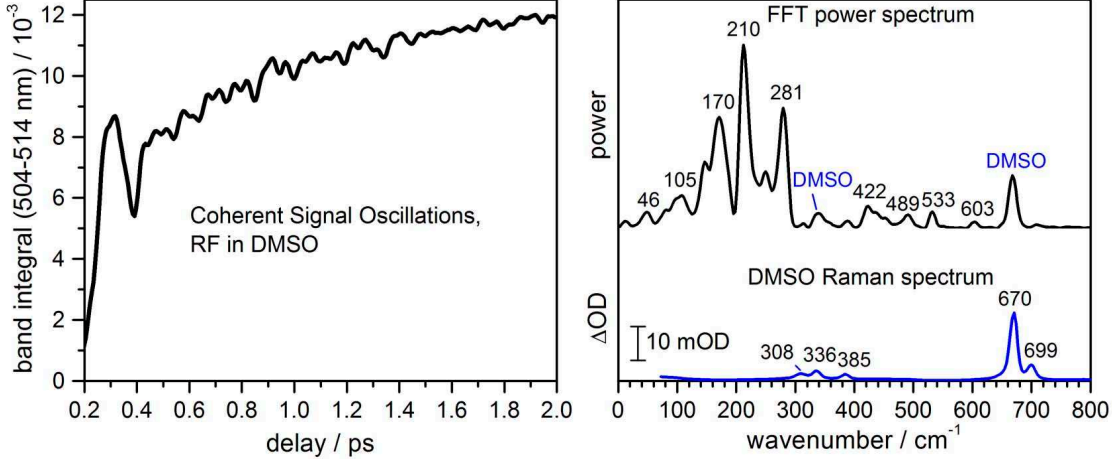
sion cross-section spectra. The excited-state absorption (ESA) gives rise to positive bands, which are overlayed with negative signals from bleach (BL) and stimulated emission (SE). Note that the contributions monitor evolution of the excited molecule from different viewpoints: ESA and SE report on the population and spectroscopic properties of excited states. On the other hand, BL reflects a lack of population in the fully equilibrated electronic ground state and has the shape of the negative ground-state absorption spectrum.

At early delay times, the pulse duration should be taken into account for a description of the sequential contribution. The pulse envelope is in many cases well described by a Gaussian of width  $\tau_{1,2}$ . The pump and probe electric field terms are then

$$\mathcal{E}_1(\mathbf{k}_1, t + t_d) = \exp \left[ -(t + t_d)^2 / 2\tau_1^2 - i\Omega_1(t + t_d) + i\mathbf{k}_1 \mathbf{r} \right], \quad (2.79a)$$

$$\mathcal{E}_2(\mathbf{k}_2, t) = \exp \left[ t^2 / 2\tau_2^2 - i\Omega_2(t) + i\mathbf{k}_2 \mathbf{r} \right]. \quad (2.79b)$$

After performing the Fourier transform, equation (2.73) can be solved analytically.<sup>[35,38,39]</sup> If electronic dephasing is fast compared to the pulse durations, i.e.  $\Gamma_{pq} \rightarrow \infty$ , the sequential transient absorption signal from population transfer may be written as the convolution of the impulsively generated signal, equation (2.76), with the temporal



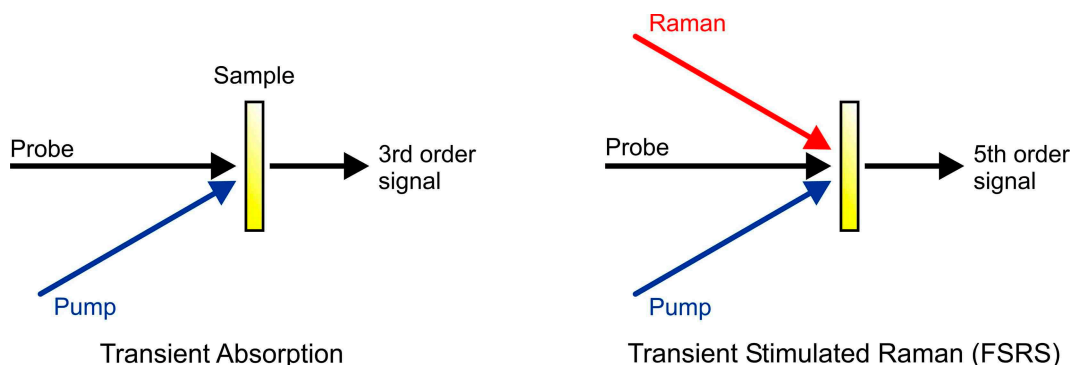
**Figure 2.5:** Oscillatory modulation of the transient absorption signal of riboflavin in DMSO by impulsive stimulated Raman scattering (SR). The sample was excited with 400 nm pump pulses. Left: Transient absorption band integral over the region 504–514 nm. Right: Fourier power spectrum of the oscillations (top) and stimulated Raman spectrum of DMSO (bottom).

apparatus function  $F_{cc}(t)$ ,

$$\Delta OD_S^{(3)}(\omega_2, t_d) = \sum_j \left[ \int_{-\infty}^{\infty} dt' F_{cc}(t') p(j, t_d - t') \right] \Delta D_j^{(1)}(\omega_2, 0). \quad (2.80)$$

Impulsive stimulated Raman scattering (SR) gives additional contributions to the sequential signal. It arises from the intrinsic spectral width of the femtosecond pump pulses, which allows the first two electric field interactions ( $mn, kl$ ) to occur with different transition frequencies. This generates a vibrational coherence in the ground or excited state, off which the probe field is scattered. Motion of the prepared vibrational wavepacket around a potential minimum induces oscillatory modulation of the transient absorption signal with the vibrational frequency  $\omega_{vib} = \omega_{kl}$ . The accessible frequency range is limited by the spectral width of the pump pulse. For the time-resolved experiments presented in this work, typically vibrations along low-frequency modes up to  $\sim 700 \text{ cm}^{-1}$  are excited. The spectral amplitude of coherent oscillations is determined by a combination of real and imaginary parts of the complex Lorentzians that characterize the involved transitions.<sup>[39]</sup> Therefore, the oscillation phase typically varies across a transient-absorption band, so that not only the signal amplitude, but also its spectral position is modulated.

Experimentally observed oscillations of the transient absorption signal are shown in figure 2.5 for riboflavin in DMSO. The continuous rise is clearly modulated by oscillations. Fourier transform reveals the spectrum of contributing low-frequency vibrations. Hence, transient absorption spectroscopy allows to perform vibrational spectroscopy in



**Figure 2.6:** Comparison of femtosecond-resolved transient absorption and stimulated Raman spectroscopy (FSRS).

time domain. The previous discussion focussed on resonance transitions, but also under non-resonant conditions impulsive stimulated Raman scattering may prepare wave packets in the electronic ground state. Whereas this contribution is negligible for the chromophore, it plays a role for solvent, which is present in large excess. Comparison of the Fourier power spectrum in 2.5 with the Raman spectrum of DMSO identifies bands around  $670\text{ cm}^{-1}$  and  $336\text{ cm}^{-1}$  as originating from solvent oscillations. In the discussion of femtosecond stimulated resonance Raman spectroscopy on the flavin chromophore, it will be shown that the Fourier power spectrum of Raman background oscillations is inherently free from solvent contributions.

## 2.4 Femtosecond Stimulated Resonance Raman Spectroscopy

To achieve femtosecond resolution in Raman spectroscopy, the limitations imposed by the time-bandwidth relation of the Raman pulse must be overcome. The problem can be solved by stimulating the Raman scattering with an ultrashort probe pulse.<sup>[8,16,18,19]</sup> The detection scheme of an actinic pump pulse and a delayed probe pulse is already realized in a transient absorption experiment. This setup can be converted into a transient stimulated Raman spectrometer by applying an additional Raman pulse, which temporally coincides with the probe pulse, see figure 2.6. When scanning the delay time  $t_d$ , now the vibrational evolution of the system can be studied by acquiring stimulated Raman spectra. Both Raman and pump beam are chopped in an appropriate sequence (see Chapter 3), so that the lowest contribution to the calculated signal is of fifth order.

### 2.4.1 Theoretical Description of FSRS Bandshapes

#### Formalism

The actinic pump pulse prepares the system with populations  $p(j, t)$  in vibronic states  $j$ . For simplicity let us assume that the subsequent scattering events are well separated in time by the delay  $t_d$ . The quantity of interest is the transient Raman spectrum

## 2.4 Femtosecond Stimulated Resonance Raman Spectroscopy

$R(\omega_2, t_d) = -\Delta OD^{(5)}(\omega_2, t_d)$ , induced by the actinic pump and measured at  $t_d$  by the coinciding Raman and probe pulses as function of probe frequency  $\omega_2$ . Let us concentrate on coherence only, *i.e.* after a background due to population transfer among states  $a$  has been subtracted. The stimulated Raman signal can then be written as

$$R(\omega_2, t_d) = - \sum_a p(j, t_d) \Delta D^{(3)}(\omega_2, 0), \quad (2.81)$$

with

$$\Delta D^{(3)}(\omega_2, 0) = \lg(e) z \mathcal{N} \frac{2\omega_2}{I} \text{Im} \left( \tilde{E}_2^*(\omega_2) \tilde{P}^{(3)}(\omega_2) \right). \quad (2.82)$$

The 0 in  $\Delta^{(3)}(\omega_2, 0)$  indicates that the probe pulse has zero delay with respect to the Raman pulse, *i.e.* that both pulses are coincident. Similar to transient absorption, the third-order induced polarization can be written in the form

$$P^{(3)}(\mathbf{r}, t, t_d) = \int_0^\infty dt_3 \int_0^\infty dt_2 \int_0^\infty dt_1 R^{(3)}(t_3, t_2, t_1) E^{(3)}(\mathbf{r}, t, t_3, t_2, t_1), \quad (2.83)$$

where  $R^{(3)}(t_3, t_2, t_1)$  is the third order nonlinear response function, but without the population factor, and  $E^{(3)}(\mathbf{r}, t, t_3, t_2, t_1)$  is the three-fold electric field product. The Raman experiment focuses on the coherent contribution to the third-order polarization, *i.e.* terms, in which the last interaction takes place with the Raman electric field. The effective field product  $\mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1)$  in probe direction  $\mathbf{k}_2$  can be written in the form

$$\mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1) = \mathcal{E}_A(t, t_3, t_2, t_1) + \mathcal{E}_B(t, t_3, t_2, t_1), \quad (2.84)$$

where

$$\begin{aligned} \mathcal{E}_A(t, t_3, t_2, t_1) &= \mathcal{E}_1(t - t_3) \mathcal{E}_2(t - t_3 - t_2) \mathcal{E}_1^*(t - t_3 - t_2 - t_1), \\ \mathcal{E}_B(t, t_3, t_2, t_1) &= \mathcal{E}_1(t - t_3) \mathcal{E}_1^*(t - t_3 - t_2) \mathcal{E}_2(t - t_3 - t_2 - t_1). \end{aligned}$$

Here  $\mathcal{E}_1(t)$  and  $\mathcal{E}_2(t)$  in (2.84) represent the Raman and probe pulses,

$$\mathcal{E}_{1,2}(\mathbf{r}, t) = \tilde{E}_{1,2}(\mathbf{r}, t) \exp[-i\Omega_{1,2}t + i\mathbf{k}_{1,2}\mathbf{r}]. \quad (2.85)$$

The third-order signal  $\Delta D^{(3)}(\omega_2, 0)$  depends on the polarization spectra  $\mathcal{P}(\omega_2, 0)$ . It is expressed here in terms of the sum over all resonant third-order pathways  $R_{[k]}^{(3)}(t_3, t_2, t_1)$ :

$$\mathcal{P}^{(3)}(\omega_2, 0) = \sum_{[k]} \int_0^\infty dt_3 \int_0^\infty dt_2 \int_0^\infty dt_1 R_{[k]}^{(3)}(t_3, t_2, t_1) FT \left( \mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1) \right) \quad (2.86)$$

## 2 Nonlinear Spectroscopy

Performing the Fourier transform  $FT\left(\mathcal{E}^{(3)}(\mathbf{k}_2, t, t_3, t_2, t_1)\right) = I_{A,B}(\mathbf{k}_2, t_3, t_2, t_1)$  of the 3-fold field products, results in

$$I_A(\mathbf{k}_2, t_3, t_2, t_1) = \exp[i\omega_2(t_3 + t_2) - i\Omega_1(t_2 + t_1) + i\mathbf{k}_2\mathbf{r}] \times \int_{-\infty}^{\infty} dt \tilde{E}_1(t + t_2) \tilde{E}_2(t) \tilde{E}_1^*(t - t_1) \exp[i(\omega_2 - \Omega_2)t], \quad (2.87)$$

$$I_B(\mathbf{k}_2, t_3, t_2, t_1) = \exp[i\omega_2(t_3 + t_2 + t_1) - i\Omega_1 t_2 + i\mathbf{k}_2\mathbf{r}] \times \int_{-\infty}^{\infty} dt \tilde{E}_1(t + t_2 + t_1) \tilde{E}_1^*(t + t_1) \tilde{E}_2(t) \exp[i(\omega_2 - \Omega_2)t]. \quad (2.88)$$

Exact forms for  $FT\left(\mathcal{E}^{(3)}(t, t_3, t_2, t_1)\right)$  were given in refs. 35–40, and the reader is referred to these papers for details. Here the coherent Raman signal is calculated using two-sided exponentials for the Raman pulse envelope,  $\mathcal{E}_1(\mathbf{r}, t) = \exp(-|t|/\tau_1 + i\mathbf{k}_1\mathbf{r})$ . This form is preferred over a Gaussian since it allows to find the simplest analytic expression. When the probe is a  $\delta$  pulse,  $\mathcal{E}_2(\mathbf{r}, t) = \delta(t) \exp(i\mathbf{k}_2\mathbf{r})$ , equations (2.87) and (2.88) may be written as

$$I_A(\mathbf{k}_2, t_3, t_2, t_1) = \exp[i\omega_2 t_3 + i(\omega_2 - \Omega_1 + i/\tau_1)t_2 - i(\Omega_1 - i/\tau_1)t_1 + i\mathbf{k}_2\mathbf{r}], \quad (2.89)$$

$$I_B(\mathbf{k}_2, t_3, t_2, t_1) = \exp[i\omega_2 t_3 + i(\omega_2 - \Omega_1 + i/\tau_1)t_2 + i(\omega_2 + 2i/\tau_1)t_1 + i\mathbf{k}_2\mathbf{r}]. \quad (2.90)$$

Having considered the applied electric fields, we turn to the molecular response functions  $R_{[k]}^{(3)}$  in equation (2.86). As before, the Bloch approximation may be applied, so that<sup>[35–40]</sup>

$$R_{[k]}^{(3)}(t_3, t_2, t_1) = -\frac{i}{\hbar^3} \hat{\mu}_{[k]} \exp[-i\tilde{\omega}_{mn}t_3 - i\tilde{\omega}_{kl}t_2 - i\tilde{\omega}_{pq}t_1], \quad (2.91)$$

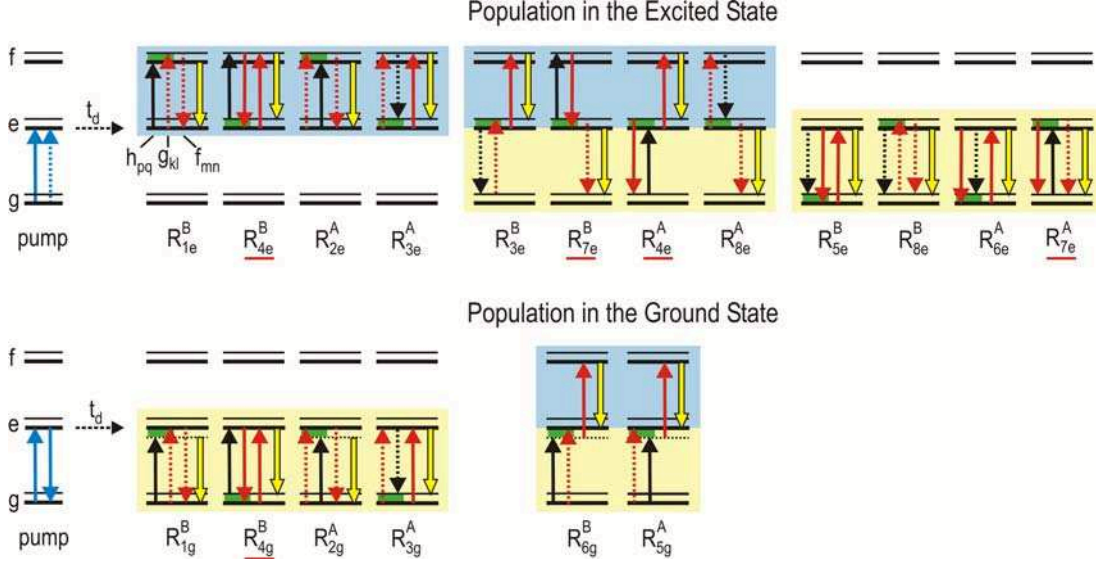
with complex frequency  $\tilde{\omega}_{ab} = \omega_{ab} - i\Gamma_{ab}$  and the transition frequency  $\omega_{ab}$ .

Time integration is carried out analytically and the induced signal is expressed by auxiliary functions  $F_{A,B}(mn, kl, pq)$ ,

$$\Delta D^{(3)}(\omega_2, 0) = \lg(e) z \mathcal{N} \frac{2\omega}{I\hbar^3} \sum_{[k]} (\hat{\mu}_A F_A(mn, kl, pq) + \hat{\mu}_B F_B(mn, kl, pq)), \quad (2.92)$$

with

$$F_{A,B}(mn, kl, pq) = -\pi^3 / \left( \tilde{\Gamma}_{mn} \tilde{\Gamma}_{kl} \tilde{\Gamma}_{pq}^{A,B} \right) \text{Im} \left( f_{mn} \times g_{kl} \times h_{pq}^{A,B} \right), \quad (2.93)$$



**Figure 2.7:** Ladder diagrams representing the main interaction pathways for femtosecond stimulated Raman scattering of a model system with three electronic states. Sequences are named according to ref. 30; superscripts A (Raman first) and B (probe first) denote the interaction order. Pathways that need an excited vibrational level for resonance are underlined red. Dashed arrows represent bra-side, and full arrows ket-side interaction. The actinic pump pulse (blue) prepares population either in the ground state  $g$  or electronic excited state  $e$ . After a time delay  $t_d$ , interaction of the sample with the Raman (red) and probe (black) electric fields involves transitions to a higher excited state  $f$  (blue shaded) and/or to the ground state  $g$  (yellow shaded). The intermediate vibrational coherence is indicated by a green shaded area.

$$L_{mn} = \frac{\tilde{\Gamma}_{mn}/\pi}{\omega_2 - \omega_{mn} + i\tilde{\Gamma}_{mn}}, \quad L_{kl} = \frac{\tilde{\Gamma}_{kl}/\pi}{\omega_2 - \Omega_1 - \omega_{kl} + i\tilde{\Gamma}_{kl}},$$

$$L_{pq}^A = \frac{\tilde{\Gamma}_{pq}^A/\pi}{\Omega_1 + \omega_{pq} + i\tilde{\Gamma}_{pq}^A}, \quad L_{pq}^B = \frac{\tilde{\Gamma}_{pq}^B/\pi}{\omega_2 - \omega_{pq} + i\tilde{\Gamma}_{pq}^B},$$

and

$$\tilde{\Gamma}_{mn} = \Gamma_{mn}, \quad \tilde{\Gamma}_{kl} = \Gamma_{kl} + 1/\tau_1, \quad \tilde{\Gamma}_{pq}^A = -\Gamma_{pq} - 1/\tau_1, \quad \tilde{\Gamma}_{pq}^B = \Gamma_{pq} + 2/\tau_1.$$

A pictorial representation of the pathways that contribute to the signal is provided by the ladder diagrams of 2.7. Consider a system of three electronic states  $g$ ,  $e$ , and  $f$  with vibrational structure. Two interactions with the actinic pump pulse (blue) prepare the system with transient population in the ground state  $g$  or in the first excited state  $e$ . For the generation of the stimulated Raman signal, the detection geometry requires two conjugate interactions with the Raman electric field (red) and one with the probe field (black). The superscripts A and B distinguish whether the first interaction takes place

with the Raman or probe pulse.

### A compact form for the bandshape

The induced polarization which causes the transient signal is the sum over the possible vibronic pathways  $[k]$  (see (2.86)) where all vibrational manifolds  $g(\nu_g, \nu'_g, \dots)$ ,  $e(\nu_e, \nu'_e, \dots)$ ,  $f(\nu_f, \nu'_f, \dots)$  are included. Let us focus on the Raman pathways in 2.7. For a discussion of the signal shape we calculate the auxiliary functions  $F_{A,B}(mn, kl, pq)$  in equation (2.93) for temporally long Raman pulses, i.e. in the limit  $\tau_1 \rightarrow \infty$ .

The third-order transitions may be classified according to the density matrix elements that are prepared. The first and third electric field interactions, characterized by subscripts  $mn$  and  $pq$ , generate electronic coherence. We introduce the detuning of the Raman frequency from the electronic transitions involved ,

$$\begin{aligned}\delta_{pq}^A &= \Omega_1 + \omega_{pq}, & \delta_{pq}^B &= \Omega_1 - \omega_{pq}, \\ \delta_{mn}^A &= \delta_{mn}^B = \Omega_1 - \omega_{mn} = \delta_{mn}.\end{aligned}\tag{2.94}$$

Dephasing of electronic coherence typically takes place in 10 fs at room temperature. We assume here that the electronic dephasing rates are equal,

$$\Gamma_{mn} = \Gamma_{pq} = \Gamma_{el}.\tag{2.95}$$

The second electric-field interaction, characterized by the subscripts  $kl$ , prepares a vibrational coherence, indicated by the green-shaded area in 2.7. The frequency  $\omega_{vib}$  of the vibration is given by

$$\omega_{vib} = -\omega_{kl}.\tag{2.96}$$

We further introduce the vibrational dephasing rate

$$\Gamma_{vib} = \Gamma_{kl}.\tag{2.97}$$

Vibrational dephasing is typically much slower than electronic dephasing and may take picoseconds if dominated by pure dephasing.

The Raman signal can now be calculated by taking the imaginary part in (2.93). In the limit  $\tau_1 \rightarrow \infty$  the auxiliary functions can be presented as the product of the real parts of the three Lorentzians  $L_{ab}$ , multiplied by a second-order polynomial:

$$F_{A,B}(mn, kl, pq) = \frac{\pi^3}{\Gamma_{el}^4 \Gamma_{vib}^2} \left( a_{A,B} x^2 + b_{A,B} x + c_{A,B} \right) L'_{mn} L'_{kl} L'^{A,B}_{pq},\tag{2.98}$$

where  $x = \omega_2 - \Omega_1$  is the Raman detuning. The Lorentzians  $L'_{mn}$  and  $L'^{A,B}_{pq}$  are deter-



mined by the electronic resonance conditions:

$$\begin{aligned} L'_{mn} &= \frac{\Gamma_{el}^2/\pi}{(x + \delta_{mn})^2 + \Gamma_{el}^2}, \\ L'_{pq} &= \frac{\Gamma_{el}^2/\pi}{\delta_{pq}^2 + \Gamma_{el}^2}, \quad L'^B_{pq} = \frac{\Gamma_{el}^2/\pi}{(x + \delta_{pq}^B)^2 + \Gamma_{el}^2}. \end{aligned} \quad (2.99)$$

The vibrational coherence gives rise to the Lorentzian  $L_{kl}$ ,

$$L_{kl} = \frac{\Gamma_{vib}^2/\pi}{(x + \omega_{vib})^2 + \Gamma_{vib}^2}. \quad (2.100)$$

Since usually  $\Gamma_{vib} \ll \Gamma_{el}$ , the position and width of a Raman band is given by  $L'_{kl}$ , whereas  $L'_{mn}$  and  $L'^{A,B}_{pq}$  modify its amplitude. The shape of the Raman band may change upon multiplication with the second-order polynomial. The parameters are

$$\begin{aligned} a_A &= \Gamma_{el}, \quad a_B = 2\Gamma_{el} + \Gamma_{vib}, \\ b_A &= \Gamma_{el} (\omega_{vib} + \delta_{mn} - \delta_{pq}^A) - \Gamma_{vib} \delta_{pq}^A, \\ b_B &= \Gamma_{el} (2\omega_{vib} + \delta_{mn} + \delta_{pq}^B) + \Gamma_{vib} (\delta_{mn} + \delta_{pq}^B), \\ c_A &= -\Gamma_{el}^2 \Gamma_{vib} + \Gamma_{el} \omega_{vib} (\delta_{mn} - \delta_{pq}^A) - \Gamma_{vib} \delta_{mn} \delta_{pq}^A, \\ c_B &= -\Gamma_{el}^2 \Gamma_{vib} + \Gamma_{el} \omega_{vib} (\delta_{mn} + \delta_{pq}^B) + \Gamma_{vib} \delta_{mn} \delta_{pq}^B. \end{aligned} \quad (2.101)$$

Depending on the roots of the polynomial, the Raman signal may change sign. If the polynomial passes through zero at the vibrational resonance frequency  $\omega_{vib}$ , the band has dispersive appearance with a negative and positive lobe. For given system parameters the polynomial depends on the resonance conditions, expressed by the detunings  $\delta_{mn}$  and  $\delta_{pq}^{A,B}$ . Note that for pathways  $R^A$  the detuning difference  $\delta_{mn} - \delta_{pq}^A$  enters, but for pathways  $R^B$  the sum of the detunings  $\delta_{mn} + \delta_{pq}^B$  is formed in (2.101). If the Raman pulse is resonant only with a single electronic transition, for example  $f \leftarrow e$  or  $e \rightarrow g$  (yellow or blue shaded in 2.7), detunings  $\delta_{mn}$  and  $\delta_{pq}^A$  are equal for  $R^A$ . In the limit  $\Gamma_{vib} \rightarrow 0$  the shape and direction of the Raman bands do not depend on the resonance conditions for these pathways. In contrast, all pathways  $R^B$  are sensitive to resonance detuning.

## 2.4.2 Simulation of FSRs Bandshapes for Typical Resonance Conditions

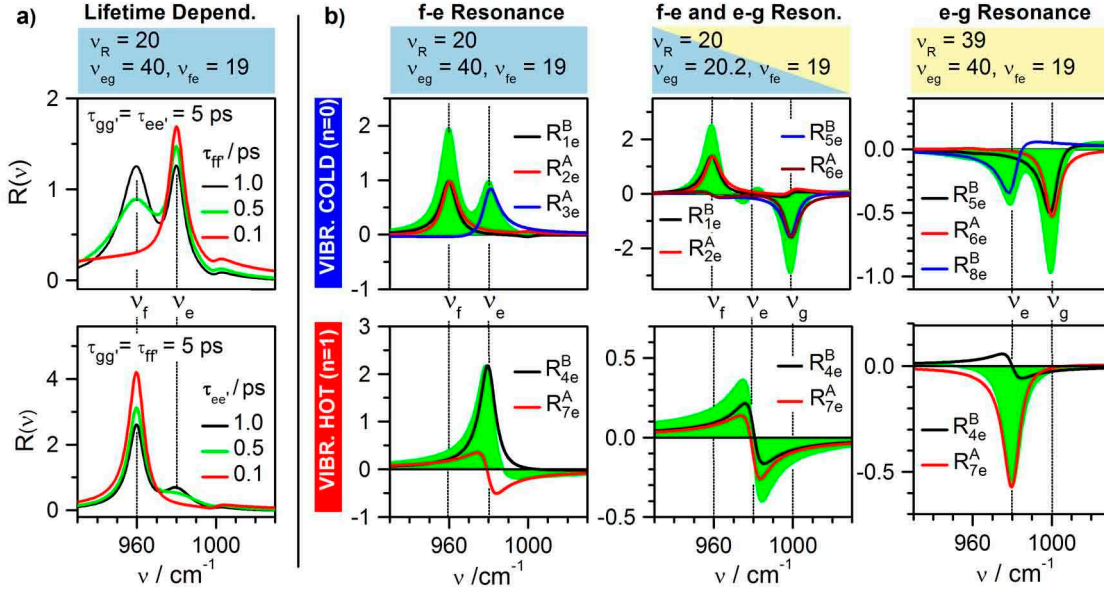
For a discussion of the major trends, the Raman signal  $R(\nu)$  is now simulated according to (2.81) and (2.92) for a system of displaced harmonic oscillators; results are shown in 2.8. For simplicity we use a single vibrational mode which has the frequencies  $\nu_g = \omega_{vib,g}/2\pi = 1000 \text{ cm}^{-1}$ ,  $\nu_e = 980 \text{ cm}^{-1}$ , and  $\nu_f = 960 \text{ cm}^{-1}$  in the  $g$ ,  $e$ , and  $f$  electronic states, respectively. We discuss the effect of Raman resonance with stimulated emission  $e \rightarrow f$ , bleach  $e \leftarrow g$  or excited-state absorption  $f \leftarrow e$ . The following

common parameters are chosen: vibrational lifetime  $\tau_{aa'} = 1/\gamma_{aa'} = 5$  ps except stated otherwise; pure vibrational dephasing rate  $\hat{\Gamma}_{vib} = (5 \text{ ps})^{-1}$ , electronic dephasing rate  $\hat{\Gamma}_{el} = (0.010 \text{ ps})^{-1}$ ; potential minima displacement  $\Delta_{eg} = \Delta_{fe} = \sqrt{2}$ ; Raman pulse duration  $\tau_1 = 2$  ps. We set the  $f \leftarrow e$  (0-0) transition to  $19000 \text{ cm}^{-1}$  (526 nm). The  $f - e$  (0-0) energy displacement and the Raman frequency  $\nu_R = \Omega_1/2\pi$  are adjusted to model different resonance conditions, 2.8 b. The effect of vibrational excitation is assessed by placing population  $p(j, t_d)$  either in the lowest vibrational level (2.8b, top), or the first excited vibrational level (2.8b, bottom) of  $S_1$ .

Only those pathways were included in the simulation that may give rise to spectrally sharp Raman features on the Stokes side; the corresponding diagrams were shown in 2.7. Starting from the excited state  $e$  the diagrams have been ordered according to the involved electronic states: the pathways may include transitions to a higher electronic state  $f$  (blue shaded), to the ground state  $g$  (yellow) or to both states (blue/yellow). Sequences that require the system to be in a vibrationally excited state are underlined red. Actinic excitation may deposit excess energy in the Franck-Condon active modes, which is dissipated by intramolecular vibrational redistribution and vibrational cooling. Similarly, internal conversion to the ground state leaves the molecule with population in higher vibrational levels. For the molecule at room temperature, modes  $> 200 \text{ cm}^{-1}$  are in the vibrational ground state, and the underlined diagrams no longer contribute to the signal for these modes.

### $f \leftarrow e$ Resonance

Consider first a situation in which the Raman pulse is only resonant with an  $f \leftarrow e$  excited-state absorption but not with the  $e \rightarrow g$  stimulated emission. Such conditions are found for *trans*-stilbene (Chapter 4 and flavin for Raman excitation at 776 nm (Chapter 6). In the simulation we set the Raman frequency to  $\nu_R = 20000 \text{ cm}^{-1}$  (500 nm), and move the  $e \rightarrow g$  transition out of resonance to  $\nu_{eg} = 40000 \text{ cm}^{-1}$ . If  $e$  is populated in its lowest vibrational state, only  $R_{1e}^B$ ,  $R_{2e}^A$ , and  $R_{3e}^A$  affect the signal, 2.8 b (top left). All three pathways result in positive bands of approximately equal height.  $R_{3e}^A$  (blue) describes conventional Stokes scattering in the excited state  $e$  and corresponds to the RRS(I) term in ref.<sup>[41]</sup>.  $R_{1e}^B$  (black) and  $R_{2e}^A$  (red) have been designated as hot luminescence, HL(III) and HL(IV).<sup>[20,41]</sup> In these cases vibrational coherence is generated in the higher excited state, and the corresponding band is determined by the vibrational properties of  $S_n$ ; hence a peak at  $\nu_n$  is observed. Such pathways can only contribute if the  $S_n$  state is resonant and possesses a lifetime that is long enough. 2.8 a shows the dependence of the signal on the vibrational lifetime  $\tau_{aa'}$ . Starting from  $\tau_{aa'} = 1$  ps, shortening of the vibrational lifetime of  $f$  leads to broadening of the band at  $\nu_f$ . At  $\tau_{ff'} = 0.1$  ps this band can not be distinguished any more from a broad background in the experiment. Similarly, we are also able to suppress the band at  $\nu_e$  by decreasing the vibrational lifetime of  $e$ . Higher excited electronic states often interconvert to the first excited state within  $< 0.1$  ps, facilitated by a high density of vibronic states. Then the contributions of  $R_{1e}^B$  and  $R_{2e}^A$  to the background-corrected signal are negligible. If the state  $e$  is vibrationally excited,  $R_{4e}^B$  also contributes (2.8 b, bottom left). This pathway has been assigned to



**Figure 2.8:** Simulation of the FSRR signal under different conditions. The Raman frequency  $\nu_R = \Omega_1/2\pi$  and the frequencies of the  $e - g$  and  $f - e$  (0-0) transitions are given in  $10^3 \text{ cm}^{-1}$ . a) Dependence of the band shape on the vibrational lifetime  $\tau_{aa'} = 1/\gamma_{aa'}$  in the  $f$  (top) and  $e$  (bottom) excited states. b) Dependence on the resonance conditions. The full signal is given in green, and the main contributions from the diagrams in 2.7 are shown. Signals were simulated with the population either in the lowest vibrational level (top) or in the first excited vibrational level (bottom) of  $S_1$ . All vibrational lifetimes were set to  $\tau_{gg'} = 5 \text{ ps}$ .

inverse Raman scattering, IRS(I) in ref. 41, and it is made responsible for negative FSRR signals in the low-frequency region of *trans*-stilbene (Chapter 4). In the current simulation a strong positive band is seen at  $\nu_e$  instead (black). As explained before, signals from  $R^B$  pathways are sensitive to changes in the Raman resonance detuning.

### $e \rightarrow g$ resonance

If the Raman pulse is resonant only with the stimulated emission  $e \rightarrow g$ , but not with excited-state absorption, the signal is dominated by  $R_{5e}^B$ ,  $R_{8e}^B$ ,  $R_{6e}^A$ , and  $R_{7e}^A$ . This situation is found for cyanine in the excited state (Chapter 5). It is modelled by keeping the parameters for the system ( $\nu(S_0S_1) = 40000 \text{ cm}^{-1}$ ,  $\nu(S_1S_n) = 19000 \text{ cm}^{-1}$ ) and changing the Raman frequency to  $\nu_R = 39000 \text{ cm}^{-1}$ . The obtained Raman bands in 2.8 b, right, resemble the signal for  $f \leftarrow e$  resonance, with the difference that contributions from the resonant state appear now at  $\nu_g$  and the complete signal has opposite sign.  $R_{5e}^B$  (top, black) and  $R_{6e}^A$  (top, red) are the counterparts of  $R_{1e}^B$  and  $R_{2e}^A$ . In contrast to  $S_n$ , however, the ground electronic state does not decay, and these diagrams must be taken into account. In FSRS experiments on other systems, dispersive signals at the positions

## 2 Nonlinear Spectroscopy

of ground state were found and assigned to such pathways.<sup>[14,20]</sup> The diagrams  $R_{8e}^B$  (top, blue) and  $R_{7e}^A$  (bottom, red) are the counterparts of the inverse Raman pathway  $R_{4e}^B$  and the conventional Raman scattering term  $R_{3e}^A$ . Note that  $R_{7e}^A$  requires the system to be vibrationally excited, while  $R_{8e}^B$  may start from the lowest vibrational state.

### Simultaneous $f \leftarrow e$ and $e \rightarrow g$ resonance

This situation is encountered, when excited flavin interacts with Raman pulses at 500 and 523 nm (Chapter 6). For simulation, we set the Raman frequency to  $20000 \text{ cm}^{-1}$ , spectrally overlapping with excited-state absorption ( $\nu_{fe} = 19000 \text{ cm}^{-1}$ ) and stimulated emission ( $\nu_{eg} = 20200 \text{ cm}^{-1}$ ). In addition to all diagrams discussed above, sequences with mixed transitions are expected to play a role. Corresponding pathways are  $R_{3e}^B$  and  $R_{8e}^A$ , and if the system is vibrationally excited,  $R_{7e}^B$  and  $R_{4e}^A$ . These action sequences are controlled by the vibrational properties of  $e$ . The simulation in 2.8 b (middle) indicates that the signal mainly comprises contributions that involve pure  $e \rightarrow g$  or  $f \leftarrow e$  transitions. For the vibrationally cold system a strong positive signal from  $R_{1e}^B$  and  $R_{2e}^A$  is found at  $\nu_f$ ; as discussed before, for rapid internal conversion of higher electronic states this band is broad and does not survive the background correction. However the negative band at  $\nu_g$  from  $R_{5e}^B$  and  $R_{6e}^A$  will also contribute. Signals from  $R_{3e}^A$  and  $R_{8e}^B$  (not shown) partly cancel each other and result in a small dispersive feature at  $\nu_e$ . For the vibrationally excited molecule,  $R_{4e}^B$  (black) and  $R_{7e}^A$  (red) also lead to a small dispersive-shaped signal. The actual shape FSRR signal from population in the state  $e$  will be affected by subtle differences in the involved transitions for each individual mode.

### $e \leftarrow g$ resonance.

When the Raman pulses are resonant with the ground state-absorption, as for example in experiments on cyanine (Chapter 5) and flavin (Chapter 6) with Raman pulses at 500 nm, transient population in the ground state (or “Bleach”, 2.7, panel b) gives rise to additional contributions. The pathways  $R_{1g}^B$ ,  $R_{4g}^B$ ,  $R_{2g}^A$ , and  $R_{3g}^A$  are analogues to the blue-shaded diagrams for initial preparation in the first excited state  $e$ . At room temperature, contribution of  $R_{4e}^B$  is negligible. Apart from  $R_{3g}^A$ , all other sequences generate vibrational coherence in  $e$ . If the Raman pulse overlaps with the red edge of the  $e \leftarrow g$  absorption band, these pathways should be negligible, and the signal should be dominated by the  $R_{3g}^A$  diagram. This pathway corresponds to conventional ground-state resonance Raman scattering on the Stokes side (the simulation is not shown).

### Summary of expected Raman contributions

- (i) Raman signals from vibrations in the excited state  $e$ . The shape is controlled by the  $f - e$  and  $e - g$  resonance conditions for each individual mode.

- (ii) A negative bleach signal. The shape is given by the ground-state Raman spectrum and does not change with time. As in transient absorption, the bleach intensity reports on the amount of population which is depleted from the ground state.
- (iii) A (negative) signal at ground-state vibrational frequencies, originating from population in the excited state  $e$ . The signal differs from the bleach contribution in that the system adopts the excited-state geometry. Therefore frequencies and band shapes may change after excitation, thus reporting on the excited-state relaxation of the molecule.

### Comparison to Spontaneous Raman Spectroscopy

Spontaneous Raman Spectroscopy only measures Raman emission. The previous simulations indicate that for a system in the excited state only the blue-shaded pathways in Figure 2.7 contribute to a spontaneous Raman signal. In the spontaneous Raman process the vacuum electric field plays the role of the probe pulse and stimulates the scattering event.<sup>[30]</sup> As a consequence, pathways, in which the probe pulse induces an intermediate transition to a higher electronic state, can not contribute to the signal. Therefore, a spontaneous transient Raman spectrum on the Stokes side is dominated by the pathway  $R_{3e}^A$ . Similarly, a spontaneous Raman spectrum from the ground state is purely determined by  $R_{3g}^A$ .



## 3 Experimental Section

Details of stationary and time-resolved measurements, and the subsequent data analysis are described. I focus on the implementation of femtosecond stimulated Raman spectroscopy. A key development is a narrowband optical parametric amplifier that provides tunable Raman pulses with spectral widths around  $10\text{ cm}^{-1}$ . High time resolution is the prerequisite to detect ultrafast evolution, but to truly capture molecular motion with precision, a sufficient number of frames has to be recorded in time. In previous Raman experiments typically not more than 50 transient spectra were obtained in a single experiment, thereby only highlighting the main trends. In this work spectra up to 660 steps in time are recorded in each measurement, providing detailed insight into the temporal relaxation. A procedure was developed to remove the background from all transient spectra of a data set consistently. The background correction is facilitated by switching during a measurement between two frequency-shifted Raman pulses on a shot-to-shot basis.

### 3.1 Linear Absorption and Fluorescence

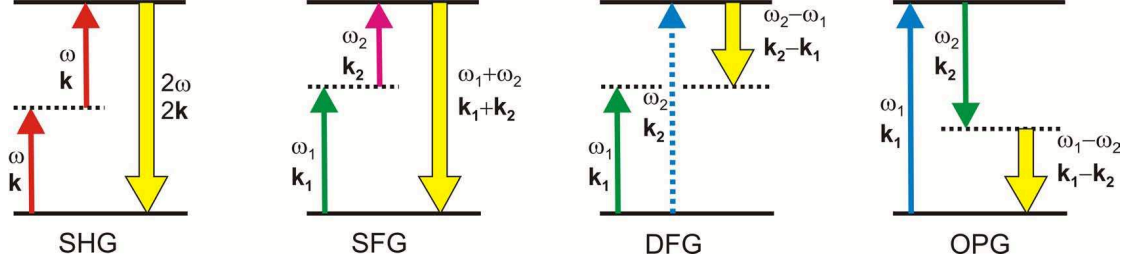
UV-vis absorption spectra were scanned on a Varian Cary 300. Fluorescence spectra were obtained on a Spex Fluorolog-2 spectrofluorimeter, and corrected for instrumental factors, and converted into cross sections for stimulated emission  $\sigma_{SE}$ .<sup>[56]</sup> It is these spectra which are called “fluorescenc”, whereas “stimulated emission” (SE) refers exclusively to the  $S_1 \rightarrow S_0$  part of transient absorption spectra. Fluorescence quantum yields were measured relative to aqueous quinine sulfate<sup>[57]</sup> and rhodamine 101 in ethanol<sup>[58]</sup> as fluorescence standards.

### 3.2 Generation of Ultrashort Laser Pulses

#### 3.2.1 Titanium-sapphire based laser systems

Because time and spectral domain are related via the Fourier transform, a short laser pulse inherently possesses a broad spectrum and *vice versa*. To generate ultrashort pulses, the laser medium should therefore allow the amplification of modes in a wide frequency range. However, stable pulse trains are only obtained if a fixed phase relationship is established between the modes (*mode locking*). Modern femtosecond laser systems mostly use titanium-doped sapphire (Ti:Sa) crystals as gain medium. This material allows light amplification over a broad spectral range between 680 and 1100 nm,<sup>[59]</sup> and mode locking is achieved via the nonlinear Kerr effect: at high light intensities, the refractivity of Ti:Sa becomes intensity-dependent. This leads to an effective self-focusing of the beam. Note

### 3 Experimental Section



**Figure 3.1:** Energy-ladder diagrams representing the second-order processes in non-isotropic media: second harmonic generation (SHG), sum frequency generation (SFG), difference frequency generation (DFG), and optical parametric generation (OPG).

that the highest peak intensities are encountered if the modes are phase-locked, because the total energy is then confined to narrow pulses in time. By an aperture inside the laser a preferred amplification of self-focused pulses is achieved, so that mode-locking is favoured. The output of the oscillator can then be amplified in a second Ti:Sa crystal (*Chirped Pulse Amplification, CPA*).

The current experiments were performed with the following Ti:Sa laser systems:

- FEMTOLASERS *sPro*, a multipass amplifier system generating 750  $\mu\text{J}$  pulses around 800 nm with durations of 50 fs, at a repetition rate of 500–1000 Hz.
- CLARK-MXR *CPA 2001*, a regenerative amplifier system providing 800  $\mu\text{J}$  pulses around 776 nm with durations of 130 fs, at a repetition rate of 920 Hz.

#### 3.2.2 Nonlinear light conversion

The output of Ti:Sa lasers is limited to the spectral range around 800 nm. Pulses at other wavelengths can be generated in nonlinear optical materials. Most commonly, second-order processes are used. In the formalism developed in the previous chapter, the induced second-order polarization can be written as

$$P^{(2)}(\mathbf{r}, t, 0) = \int_0^\infty dt_2 \int_0^\infty dt_1 R^{(2)}(t_2, t_1) E^{(2)}(\mathbf{r}, t, t_2, t_1), \quad (3.1)$$

with the second-order field product

$$E^{(2)}(\mathbf{r}, t, t_2, t_1) = E(\mathbf{r}, t - t_2 - t_1) E(\mathbf{r}, t - t_2). \quad (3.2)$$

As before, the total electric field at time  $t$  is the sum of the electric fields  $E_{1,2}$  of the incoming pulses:

$$E(\mathbf{r}, t) = \tilde{E}_1(\mathbf{r}, t) \exp(-i\omega_1 t + i\mathbf{k}_1 \mathbf{r}) + \tilde{E}_2(\mathbf{r}, t) \exp(-i\omega_2 t + i\mathbf{k}_2 \mathbf{r}) + cc. \quad (3.3)$$



Energy-ladder diagrams of pathways for commonly applied processes are shown in 3.1. Note that, in contrast to third-order spectroscopy, the electric signal field is always generated with the sum or difference of the frequencies and k-vectors of the incoming pulses. Consider now propagation of the generated signal field through the nonlinear material in  $z$  direction. For a description, the phase mismatch  $\Delta k = k_z - k_{z,1} - k_{z,2}$  needs to be taken into account. The Maxwell wave equation in the slowly varying envelope approximation is then given by

$$\frac{\partial}{\partial z} \tilde{E}(z, t) = \frac{ik_z}{2\epsilon_0} \tilde{P}(z, t) e^{-i\Delta k z}. \quad (3.4)$$

Efficient conversion requires phase matching, *i.e.*  $\Delta k = 0$ . Since the wavenumber  $k$  is connected to the frequency by  $k = \omega/(nc_0)$  and the frequencies of the involved light fields are different, phase matching has to be achieved via the adjustment of the refractive index  $n$ . In practice, birefringent crystals are used, and phase matching is adjusted by tuning the angle of the optical axis. One of the most employed materials for light conversion in the UV-vis spectral range is  $\beta$ -BaB<sub>2</sub>O<sub>4</sub> (BBO). It combines high conversion efficiency with a wide transparency range and high damage threshold.

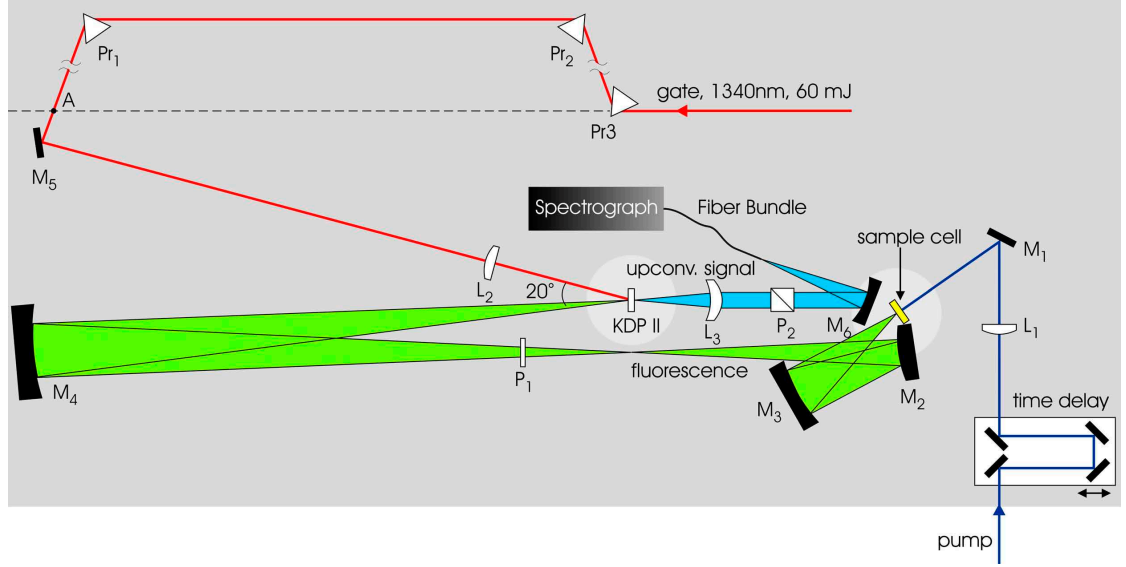
By combination of the second-order processes in figure 3.1 pulses over the full range from the UV to the far infrared can be produced. Conversion with tunable output frequencies can be achieved with parametric amplification. In parametric generation (OPG) an incident *pump* pulse with frequency  $\omega_1$  is split into two pulses: the *signal* with frequency  $\omega_2$  and the *idler* with frequency  $\omega_1 - \omega_2$ . The process can be stimulated by a *seed* pulse, thus defining the frequencies of the output pulses.

White light continuum can be generated by focusing ultrashort light pulses into a material like sapphire or CaF<sub>2</sub>. Spectral broadening is induced by a number of higher-order processes like self-phase modulation, stimulated Raman emission, and multi-photon ionization.<sup>[60,61]</sup>

### 3.3 Fluorescence Upconversion

The broadband fluorescence-upconversion was already described in references<sup>[62–64]</sup>. The setup is based on the FEMTOLASERS *sPro* system. Measurements are recorded with actinic excitation at two different wavelengths: pulses at 400 nm (4  $\mu$ J, 40 fs) are generated by frequency-doubling part of the fundamental; 440–450 nm pulses (0.5  $\mu$ J, 50 fs) are provided by a *TOPAS* (LIGHT CONVERSION) in combination with frequency mixing. The pump beam is focused with the lens L<sub>1</sub> to a diameter of 80  $\mu$ m onto a 0.5 mm sample cell. The fluorescence is collected with an off-axis Schwarzschild objective (M<sub>2</sub> and M<sub>3</sub>) and 1:7 imaged onto a KDP crystal (0.3 mm, 65°). For gating the 1340 nm idler pulses from the *TOPAS* are used. Spatial separation of the upconverted beam is assured by overlapping fluorescence and gate beams at an angle of 20° on the KDP crystal. In this geometry, optimal time resolution is only reached if the wave fronts of the incident pulses are matched. In the setup the gate pulses are simultaneously compressed and have their pulse front tilted with a combination of the three prisms P<sub>1</sub>–P<sub>3</sub> (SF59)

### 3 Experimental Section



**Figure 3.2:** The fluorescence upconversion setup

and a 140 mm lens,  $L_2$ . The upconverted signal is imaged onto a fiber, dispersed in a prism or grating spectrograph, and detected with a CCD camera (ANDOR *NEWTON*). Recorded spectra are corrected for instrumental factors such that transient spectra at long delay times match the stationary fluorescence. The temporal apparatus function is limited by the pump and gate pulse durations, and the adjustment of the wave front tilt. Depending on the experimental conditions, it is described by a Gaussian of 80–350 fs width (FWHM).

#### 3.4 Single-Shot Referencing in Transient Absorption and Raman Spectroscopy

Femtosecond transient-absorption and stimulated Raman spectroscopy are strongly related from a conceptual point of view: it becomes possible to measure time-resolved Raman scattering in a pump-probe experiment by applying an additional narrow-band Raman pulse (although the experimental realization is not trivial). It is advantageous to use a common measurement setup, to achieve mutual improvements in both techniques.

The available spectroscopic information depends on the balance between spectral coverage and resolution. Vibronic bands in transient-absorption spectroscopy are usually broadened in solution, and a reliable distinction between different potential intermediates requires broadband detection. An optical probe of up to  $22000 \text{ cm}^{-1}$  spectral width is provided here by multi-filament supercontinuum pulses, which are generated when focusing femtosecond pulses into a  $\text{CaF}_2$  crystal. When handling the resulting inhomogeneous and divergent beam, accurate imaging of the spot from the  $\text{CaF}_2$  crystal onto the sample cell and the detection array is necessary to avoid spectral and temporal

### 3.4 Single-Shot Referencing in Transient Absorption and Raman Spectroscopy

artefacts. For many Raman-spectroscopic applications, on the other hand, the most important vibrations are found in the region  $0\text{--}1700\text{ cm}^{-1}$  relative to the Raman pulse. This range covers C=C and C=O stretch, as well as bending, deformation and torsion modes. Experimentally, such spectral width is already accessible directly with a non-collinear optical parametric amplifier. To discriminate between individual vibrational bands and capture subtle spectral shifts, the resolution should reach the order of  $10\text{ cm}^{-1}$ .

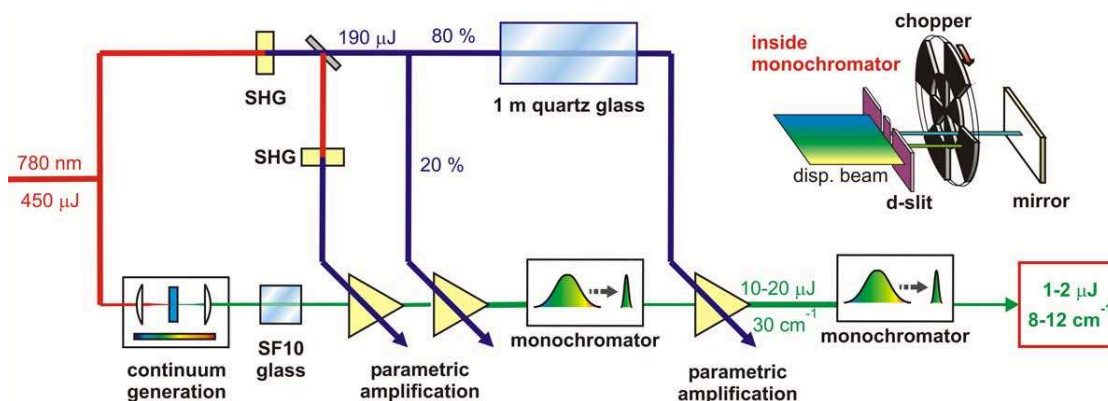
Small signal amplitudes make noise reduction an important issue in nonlinear spectroscopy. Transient absorption depends to the third order on the incoming electric field, and the induced intensity changes in the probe pulse amount to only several percent at most. Femtosecond stimulated Raman spectroscopy is even a fifth-order experiment, and the signals are typically 100 times weaker than in pump-probe spectroscopy. The main source of noise are variations of the laser intensity and beam position, leading to continuum fluctuation of 1–10% between each shot. The corresponding noise may be reduced by monitoring the fluctuations in a reference experiment. Setups which were described recently,<sup>[65,66]</sup> use subsequent sample and reference pulses of the probe beam. With this approach the correlation between two successive laser pulses is exploited.<sup>[67]</sup> The signal/noise ratio can be further improved by extensive averaging. However, when working with biological samples, laser irradiation, mechanical forces, and interaction with interfaces constantly expose the sample to stress, so that measurement is often a race against time. Single-shot referencing can significantly reduce the necessary number of sample averages, since high precision can be obtained even if successive probe pulses are uncorrelated. The measurement geometry is the result of constant improvement over a decade, and is further detailed in ref.<sup>[68]</sup>.

Two identical setups are used. One is driven by the FEMTOLASERS *sPro* system. Pulses for continuum generation (20  $\mu\text{J}$ ) are usually taken from the frequency-doubled beam. Pump pulses (0.6  $\mu\text{J}$ ) are conveniently generated at 400 nm or 267 nm, and other pump wavelengths are reached by parametric optical amplification (LIGHT CONVERSION *TOPAS*). The other setup is driven by the CLARK-MXR *CPA 2001* system. In this case, 30 fs probe pulses are generated at 520 nm in a 2-stage non-collinear optical parametric amplifier (JOBIN YVON *NOPA*). The 0.6  $\mu\text{J}$  pump pulses are generated in a 1-stage NOPA, followed by frequency doubling when necessary. The relative delay of the pump pulses is controlled by a delay stage (PHYSIK INSTRUMENTE *M-531.5IM*).

The spectrograph setup is sketched schematically in Figure 3.3. Supercontinuum light is generated by focussing the probe pulses just before a 1 mm  $\text{CaF}_2$  plate with a fused silica lens ( $f = 200\text{ mm}$ ). The plate remains stationary during an acquisition sequence, but it is translated in both directions orthogonal to the beam by about 100  $\mu\text{m}$  whenever the delay stage moves to a new position. (Rotation of the plate is avoided because of an intensity dependence on rotation angle, due to nonlinear interactions.) The continuum extends a full angle of about  $6^\circ$ , as found by an aperture stop before field mirror  $M_1$ . Residual laser light close to the optical axis is absorbed by a central stop. Multifilament generation results in a speckle pattern of about 2–6 homogeneous zones at  $M_1$  which should be stable during the acquisition sequence. By optimizing the input beam diameter ( $\sim 6\text{ mm}$ ) and lens plate distance, measurement of transient optical density is possible from the near-IR to 270 nm. Optical relay of the supercontinuum is

The diagram illustrates the experimental setup for the study of the photochemical reaction of a protein crystal. The setup includes a pump pulse (blue), probe pulse (black), Raman pulse (red), and reference beam (green). Key components include a  $\lambda/2$  plate, L1,  $\text{CaF}_2$  plate, M1, M2, M3, M4, M5, L2, filter, BS, CW, Photo-Diode Array, microscope, and sample cell. The distance between the sample cell and the detector is 740 mm, and the distance between the sample cell and the Raman pulse source is 1200 mm.

achieved by repeated use of a 1:1 objective in an off-axis Schwarzschild arrangement. All mirrors are aluminium coated with enhancement optimized around 300 nm. The first objective images the supercontinuum source 1 mm in front of beam splitter BS. About 20 mm before the beam splitter, the light passes through a color filter which is made with a flow cell (0.3 mm internal path length between 0.2 mm thick fused silica windows). BS has approximately 40% transmission and 40% reflection for the front surface of a fused silica substrate. Light reflected from the front surface is used for optical probing and transmitted light for reference, as shown in Figure 3.3. In this arrangement, a lateral displacement of the source leads to equivalent displacements on the entrance planes of the two spectrographs. A counter-wedge (CW) in the reference beam cancels the angular dispersion which is caused by BS, and its partial reflection balances the additional losses



**Figure 3.4:** Setup of the narrowband Raman-NOPA. A white-light continuum is generated, chirped through 5 mm SF10 glass, and amplified parametrically in 2 stages. It is then filtered in a monochromator and further amplified parametrically. For this stage the pump beam is stretched by passing it through 1 m of quartz glass. The NOPA output is tunable from 480 to 700 nm, typical output powers of 10-20  $\mu\text{J}$  at  $30\text{ cm}^{-1}$  spectral width (FWHM) have been obtained in the range 500-620 nm. A second monochromator further narrows the spectrum to  $8\text{-}12\text{ cm}^{-1}$ . As shown in the inset, the monochromators contain double slits enabling the generation of pulses at two different wavelengths shifted by  $30\text{-}40\text{ cm}^{-1}$ . They are selected in the first monochromator via a chopper (chopping pattern simplified in the scheme).

in the sample beam.

The spectrographs employ a Czerny-Turner mount of a ruled plane grating. For transient absorption, gratings with about 200 lines/mm are used (for example NEWPORT 53-346R) to map continuum in the range 270–690 nm onto the 1/2" photodiode-array (see below). Alternatively, the probe light is dispersed in double-prism spectrographs, which provide higher transmission in the near-infrared spectral range, and allow to cover the range 330–1030 nm. For the dispersion of Raman spectra gratings with 2400 lines/mm (NEWPORT) are used. Each spectrograph is equipped with a microscope which can be swivelled into the beam. It allows to adjust the supercontinuum alignment and monitor the pump-probe overlap region in the flow cell. Inside the spectrographs the dispersed probe light is detected with NMOS linear image sensors with 512 pixels (HAMAMATSU S3904-512Q). Typically 50 spectra are averaged at 660 points in time. The full delay time window is covered by recording measurement runs with different time steps between 6 and 1000 fs. Data from approximately 5–10 measurement runs is averaged to give the final signal.

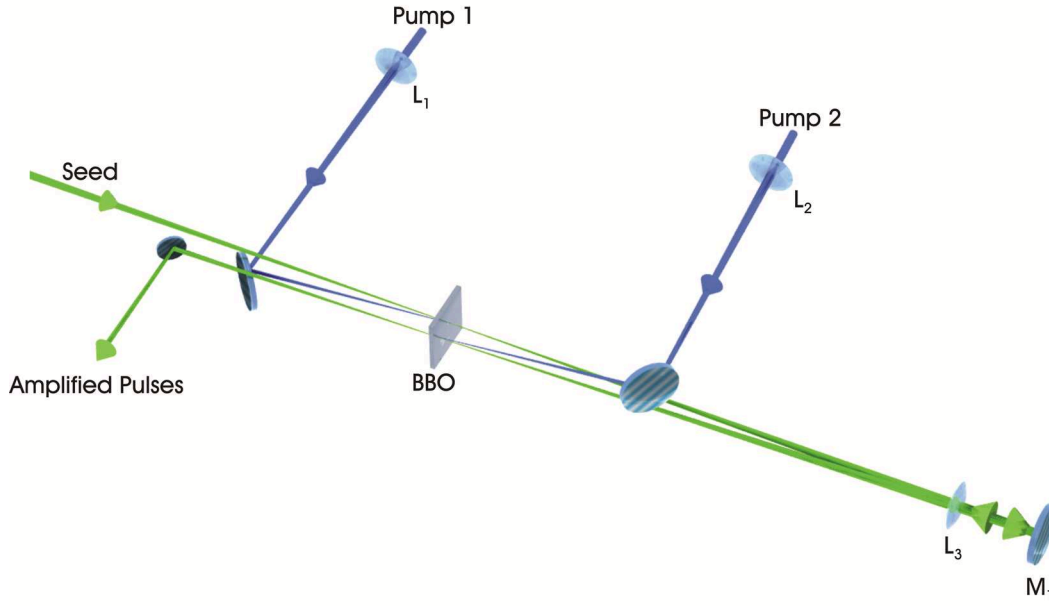
## 3.5 The Femtosecond Stimulated Raman Spectrometer

### 3.5.1 The Narrow-band Optical Parametric Amplifier

The acquisition of Raman spectra with high frequency resolution and decent signal/noise requires a source for spectrally narrow Raman pulses of reasonable brightness. Around 776 nm, these requirements can be easily met by filtering part of the output of the CLARK CPA2001 amplifier system in a monochromator. With this approach Raman pulses with energies of 3.7  $\mu\text{J}$  and spectral widths of 5.5  $\text{cm}^{-1}$  are achieved. However, the simulation of Raman signals in chapter 2.4.2 and the experimental Raman spectra of flavin in chapter 6 demonstrate that the measured signal strongly depends on the resonance conditions of the Raman pulse. To study and optimize resonance conditions, it is necessary to generate Raman pulses also at other wavelengths. This can be achieved by applying nonlinear optical processes. Narrowband pulses at 400 nm were previously obtained by sum frequency generation of counter-chirped fundamental pulses.<sup>[16]</sup> Whereas this approach is limited to a narrow frequency range around 400 nm, optical parametric amplification is a convenient tool to provide tunable pulses in the visible and near-infrared region.<sup>[69,70]</sup> Nowadays, sub-10 fs pulses can be routinely obtained, spanning a frequency range of several thousand wavenumbers at a time.<sup>[71–74]</sup> In comparison, the development of amplification schemes for the creation of spectrally narrow pulses still lags behind. The conversion process is usually not efficient enough that filtering of the output pulses of optical parametric amplifiers would be a conceivable approach to obtain spectrally narrow pulses. In a recent publication by Shim *et al.* the direct amplification of narrowband pulses was demonstrated.<sup>[75]</sup> The obtained output pulses featured energies of up to 2.5  $\mu\text{J}$  and a spectral width of 36  $\text{cm}^{-1}$ . However, the total conversion efficiency was small, considering that input pulse energies of 800  $\mu\text{J}$  were necessary.

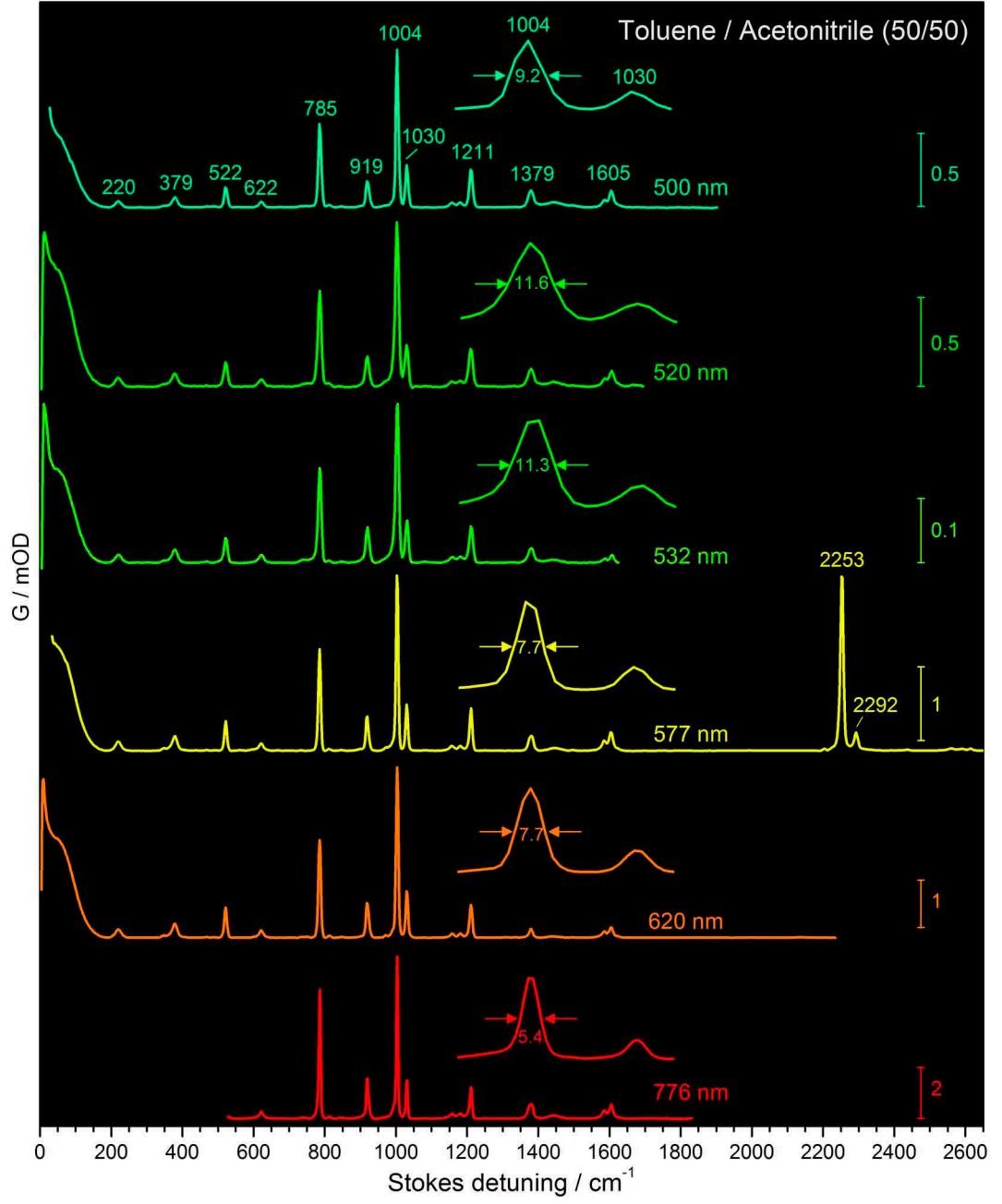
Raman spectra of chromophores with low symmetry are highly congested. Hence, for a reliable tracking of relaxation in biological photoreceptors, one should strive for an improvement of the spectral resolution to  $\sim 10 \text{ cm}^{-1}$ . On the other hand, in the current experiment only 450  $\mu\text{J}$  of the the fundamental was available for the generation of Raman pulses. A key requirement was therefore the development of an efficient narrow-band optical parametric amplifier (*nb*-OPA).

The setup of the *nb*-OPA is presented in 3.4; it is pumped by a 450  $\mu\text{J}$  portion of the CLARK CPA 2001 output. The first part of the setup resembles a common two-stage non-collinear optical parametric amplification in a 2 mm BBO crystal (type I,  $\theta = 29^\circ$ ).<sup>[70]</sup> A small fraction (ca. 2%) of the incoming light is separated with a beam splitter and focused with a lens ( $f = 50 \text{ mm}$ ) into a 2 mm thick sapphire plate to generate a continuum; the white light is recollimated with a second lens ( $f = 50 \text{ mm}$ ) and focused into the BBO crystal for amplification. In order to decrease the spectral width of the amplified beam, the continuum seed is chirped by 5 cm of SF10 glass. The residual  $\sim 98 \%$  of the fundamental is frequency doubled in a 2 mm thick BBO crystal (type I,  $\theta = 29^\circ$ ) resulting in 190  $\mu\text{J}$  of blue light. Optimal conversion is achieved by decreasing the near-infrared beam size with a 2:1 telescope to a diameter of 3 mm. For pumping the first stage it is sufficient to separate the residual from the previous second-harmonic



**Figure 3.5:** First two stages of amplification in the *nb*-OPA. The 400 nm pump beams are focused 1 cm in front of the BBO crystal (2 mm,  $29^\circ$ ) with lenses  $L_1$  and  $L_2$  ( $f = 200$  mm). The continuum seed is focused into the BBO and overlapped with pump 1 for amplification. Thereafter, it is passed a second time through the crystal, thereby collimated and refocused with lens  $L_3$  ( $f = 200$  mm).

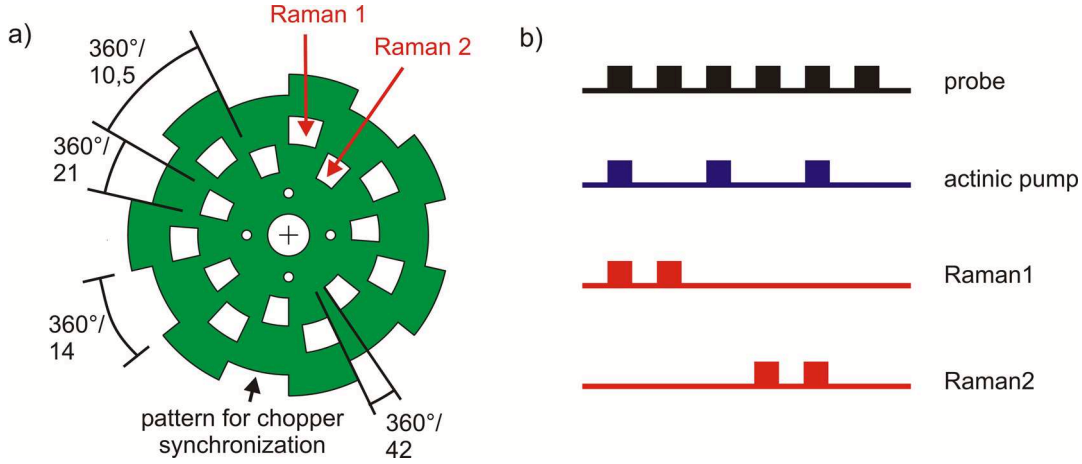
generation with a dielectric mirror and frequency-double it again in another BBO crystal. For the subsequent amplification stage 20% of the main blue light is separated with a beam splitter. Both amplification stages were realized in the nonlinear crystal with a folded geometry (see figure 3.5). The seed is collimated after the first pass through the crystal with lens  $L_3$  ( $f = 200$  mm) and back-reflected by mirror  $M_1$  to pass the crystal a second time, thereby again being focused by lens  $L_3$ . The pump beams are focused with lenses  $L_1$  and  $L_2$  ( $f = 200$  mm) to points 1 cm before the BBO crystal, and overlapped with the seed inside the crystal. Typically,  $11 \mu\text{J}$  of visible pulses with a bandwidth of  $100 \text{ cm}^{-1}$  are obtained. Due to the time-bandwidth relationship the minimal spectral width is limited by the pump pulse duration. As already demonstrated by Mathies *et al.*, temporal stretching of the blue light pulse enables the amplification of a narrowband seed.<sup>[75]</sup> Here, temporal broadening is achieved by passing the residual blue light through 1 m of quartz glass. Experimentally, this is realized by sending the blue light five times through a quartz block with 20 cm length (HERAEUS *HOMISIL*), whose end surfaces are cut at Brewster angle. To avoid continuum generation inside the glass, the beam diameter is enlarged with a telescope to 30 mm. The outgoing pulses are then used to drive the third amplification stage. As seed, the output from the previous amplification stage is filtered in a grating monochromator. The monochromator has a conventional 4f arrangement, consisting of a holographic grating with 2400 lines/mm (NEWPORT), a lens



**Figure 3.6:** Tunability of the Raman pulse demonstrated for stimulated state Raman spectra of a toluene/acetonitrile (50/50) mixture. Insets show the width of the 1004  $\text{cm}^{-1}$  band.

with  $f = 300$  mm, and a back-reflecting mirror. Amplification of the narrowband seed in a 2 mm BBO crystal (type I,  $\theta = 29^\circ$ ) with nearly collinear pump/seed geometry results





**Figure 3.7:** a) Layout of the chopper wheel in the *nb*-OPA. Designed for a NEW FOCUS chopper model 3501, model 3501. b) Raman pulse sequence: In a repeated cycle of 6 submeasurements, transient absorption, ground and transient Raman spectra can be obtained. The sequence was achieved with two choppers: one in the monochromator of the narrowband OPA and one in the pump beam. Each square represents a single pulse.

in 10–20  $\mu\text{J}$  of visible pulses with  $30\text{ cm}^{-1}$  spectral width (FWHM). In a second grating monochromator the pulses are further filtered to match the resolution of the Raman spectrograph ( $7.5\text{--}15\text{ cm}^{-1}$  in the visible), leaving 1–2  $\mu\text{J}$  pulse energy. The tunability of the Raman pulses is demonstrated in figure 3.6 for stimulated Raman spectra of a toluene/acetonitrile (50/50) mixture. A close-up of the  $1004\text{ cm}^{-1}$  band in the inset shows the spectral resolution.

To facilitate the evaluation, the monochromators are equipped with a double slit (3.4, inset), enabling the amplification of the seed at two wavelengths which differ by  $30\text{--}60\text{ cm}^{-1}$ . A chopper (NEW FOCUS 3501) in the *nb*-OPA selects between the two (Raman1 and Raman2); for the design of the chopper wheel see figure 3.7a.

### 3.5.2 Measurement Details

Raman measurements were performed by overlapping  $0.5\text{--}1.2\text{ }\mu\text{J}$  of the narrowband pulses with the pump and probe beams on the sample cell. The delay between probe and Raman pulses is adjusted for maximum signal intensity. Spectra are acquired at two Raman wavelengths (Raman1, Raman2), which are selected by the chopper within the *nb*-OPA. In combination with a chopper in the pump beam, a repeated cycle of six different pulse sequences is generated, see figure 3.7 b. From the measured intensities transient absorption (*TAS*), ground (*G*) and transient ( $\Sigma$ ) Raman spectra can be

### 3 Experimental Section

obtained with consecutive shots in the same experiment,

$$TAS = -\log(I_{pu+pr}/I_{pr}), \quad (3.5a)$$

$$G^{(1/2)} = \log(I_{R1/2+pr}/I_{pr}), \quad (3.5b)$$

$$\Sigma^{(1/2)} = \log(I_{pu+R1/2+pr}/I_{R1/2+pr}) + TAS. \quad (3.5c)$$

Here the intensities  $I$  are reference-corrected, i.e.  $I = I_{signal}/I_{reference}$ , and the indices correspond to Raman1/2 (R1/2), probe (pr) or pump (pu) beam turned on, respectively. As the signal amplitude is small,  $S_{GR}$  and  $S_{TR}$  approximately equal the Raman gain, the quantity presented in publications of the Mathies group.<sup>[19]</sup> In the calculation of  $\Sigma$ , equation (3.5c), the stationary Raman signal  $G$  of both solvent and solute is implicitly removed.

Scattering at the sample cell surfaces causes an emissive-like feature around the Raman pump wavelength which appears in the ground state spectra only (excited-state spectra involve a subtraction). To remove this artefact from spectra extending to lowest Stokes frequencies ( $< 100 \text{ cm}^{-1}$ ), after each measurement the Raman pulses are delayed by 13 ps with respect to the probe pulses. Loss of temporal overlap causes the Raman spectrum to vanish, while the stray light is unaffected and may be subtracted from the previous measurement. Some raw spectra show a ripple in the baseline which originates from interference at the thin sample cell windows. The ripple is removed by a Fourier filter.

The following notations are used subsequently:

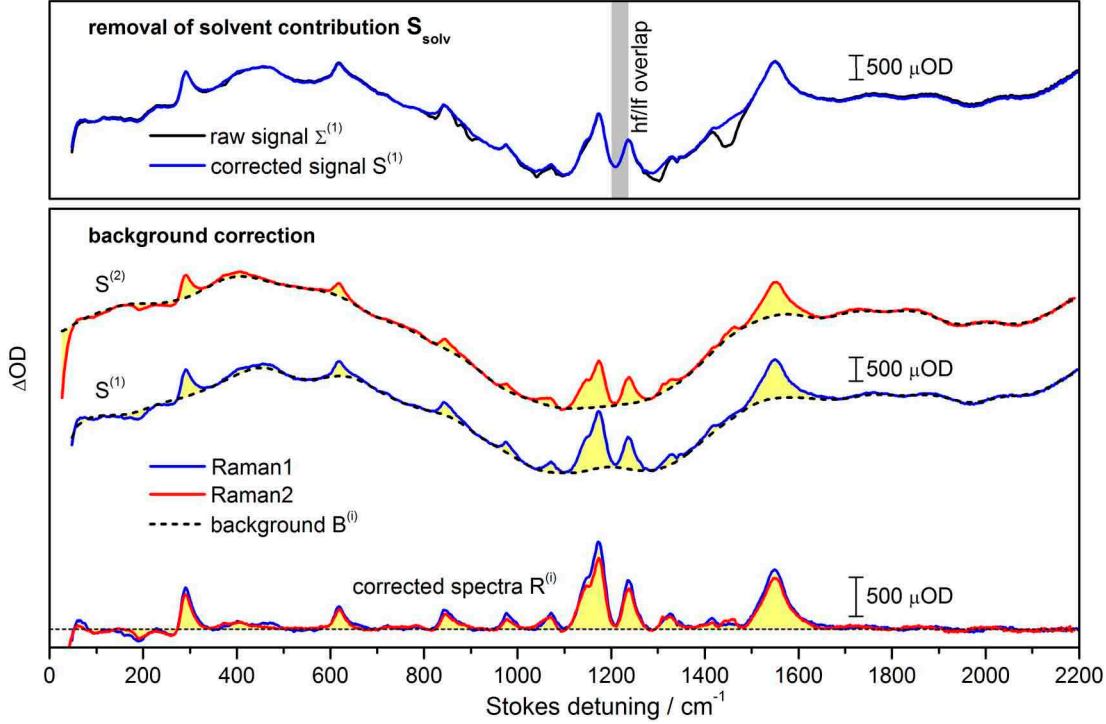
$\Sigma^{(i)}(t, \tilde{\nu})$	Raman-induced change in transient absorption spectrum at delay time $t$ (equation (3.5c); $i$ refers to Raman1 or Raman2),
$\tilde{\nu}$	measured optical frequency,
$\tilde{\nu}^{(i)}$	measured optical center frequency of Raman1 or Raman2 excitation pulses, respectively,
$\nu$	Stokes detuning, $\tilde{\nu}^{(i)} - \tilde{\nu}$ .

The dependence on detuning  $\nu$  or delay time  $t$  will not be written explicitly if misunderstanding is unlikely.

#### 3.5.3 Spectral Calibration

The absolute frequency scale  $\tilde{\nu}$  and the optical center frequencies  $\tilde{\nu}^{(i)}$  are determined with the atomic lines of neon and mercury in combination with Raman shift standards (ASTM E 1840, cyclohexane and a 50/50(v/v) toluene/acetonitrile mixture, see 3.6). The signal is usually plotted against Stokes detuning  $\nu$ .

The spectral range can be extended by combining measurements with different detection windows. Usually, measurements are scaled to equal amplitudes for bands in the overlap region (see 3.8 top, shaded grey). Measurements of *trans*-stilbene in acetonitrile



**Figure 3.8:** Summary of signal processing, illustrated for *trans*-stilbene in *n*-hexane at 0.5 ps delay. *Upper panel:* The raw signal for low- and high-frequency region is merged (given in black for Raman1,  $\Sigma^{(1)}$ ); the spectral overlap is marked by the grey shaded area. A contamination with ground-state solvent spectra is removed resulting in the spectrum  $S^{(1)}$  (blue). *Lower panel:* The signals  $S^{(1)}$  and  $S^{(2)}$  (blue and red, respectively) are corrected for a slowly varying background  $B^{(1)}$  and  $B^{(2)}$  (dashed black) leaving the Raman spectra  $R^{(1)}$  and  $R^{(2)}$ .

lack a similar band in the overlap region. They are instead scaled with the help of stationary solvent Raman spectra and the transient absorption amplitude.

### 3.5.4 Subtraction of Solvent Features

The extraction of the Raman signal from the measured data is summarized in 3.8 with *trans*-stilbene in *n*-hexane as an example. The raw signal  $\Sigma^{(i)}$ , by construction, has the non-resonant solvent signal  $G^{(i)}$  already subtracted. In addition to the stilbene spectral information  $S^{(i)}$ , however, it contains a further transient contribution  $S_{solv}^{(i)}$  from the solvent,

$$\Sigma^{(i)}(\nu) = S^{(i)}(\nu) + S_{solv}^{(i)}(\nu). \quad (3.6)$$

The non-resonant  $S_{solv}^{(i)}$  can have different origins: (i) incomplete subtraction of the strong stationary solvent spectrum due to detector nonlinearity, (ii) nonlinear pump/Raman

### 3 Experimental Section

pump/probe interactions, and (iii) formation of vibrationally excited solvent molecules by impulsive Raman scattering of the actinic pump pulse.

At each delay time the transient solvent signal is modeled as the scaled stationary Raman spectrum  $G_{solv}^{(i)}$ , shifted by a small frequency displacement  $\delta\nu$ . Then the pure solute signal is obtained as

$$S^{(i)}(\nu) = \Sigma^{(i)}(\nu) - \lambda G_{solv}^{(i)}(\nu + \delta\nu), \quad (3.7)$$

with optimized  $\lambda$ ;  $\delta\nu$ . This correction is demonstrated in 3.8, *top*.

#### 3.5.5 Background Correction

The signal  $S^{(i)}$  comprises the transient Raman signal  $R^{(i)}$  superimposed on a slowly varying background  $B^{(i)}$  from further nonlinear interactions. In previous publications the background has been modelled by splines or polynomials.<sup>[16,19]</sup> The performance of this correction depends on the actual background that is described. Whereas such an approach is well suited for stationary Raman spectroscopy, in transient Raman spectroscopy it is important to remove the background at all delay times consistently. Note that during an FSRs measurement the background may vary significantly, since it represents mainly a bleach of the transient absorption signal induced by the Raman pump. In particular, wave packet motion in the ground or excited state causes an oscillatory modulation of the Raman background. Any correction method that relies on a description of the background shape translates deviations from the model function directly into fluctuations of the Raman baseline. Since the background is large compared to the signal, this may render an analysis of the temporal evolution of the Raman spectra impossible. In this view it appears advantageous to derive the baseline position from a description of the Raman signal itself. In this work a correction procedure was developed, in which global analysis is used to obtain a spectral basis set to the Raman signal.

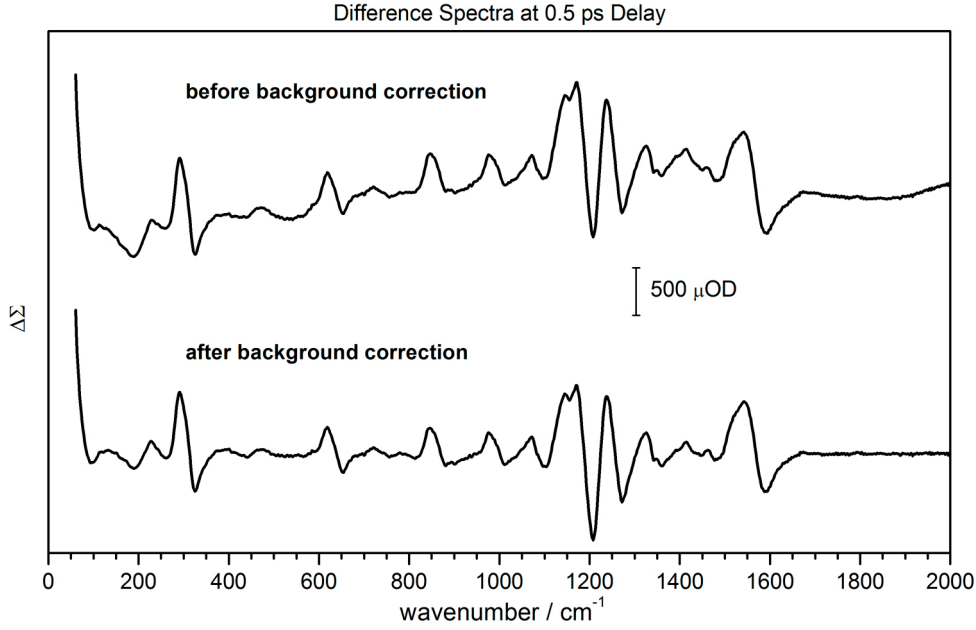
#### Generation of a Spectral Basis to the Raman Signal

In contrast to the Raman signal itself, the background is rather insensitive to small changes of the Raman center frequency  $\tilde{\nu}^{(i)}$ . For an ideal measurement one expects a linear shift response of  $R^{(i)}$  while the background  $B^{(i)}$  stays constant,

$$S^{(1)}(\tilde{\nu}) = R^{(1)}(\tilde{\nu}) + B^{(1)}(\tilde{\nu}), \quad (3.8)$$

$$\begin{aligned} S^{(2)}(\tilde{\nu}) &= R^{(2)}(\tilde{\nu}) + B^{(2)}(\tilde{\nu}) \\ &= R^{(1)}(\tilde{\nu} + \tilde{\nu}^{(2)} - \tilde{\nu}^{(1)}) + B^{(1)}(\tilde{\nu}). \end{aligned} \quad (3.9)$$

Hence, recording spectra with two frequency-shifted pulses can help to identify the Raman features  $R^{(i)}$ . In the setup presented above, a chopper switches between the two Raman frequencies on a shot-to-shot basis, thus maximizing the correlation of background fluctuations. Both spectra are compared in 3.8, middle, as a function of Stokes



**Figure 3.9:** Difference Spectra of *trans*-stilbene in *n*-hexane at 0.5 ps delay, given in the Stokes-shift scale  $(\tilde{\nu}^{(1)} - \tilde{\nu})$  of Raman1.

detuning  $\nu$ . The similarity of the estimated background spectra (dashed black) is evident. If they were exactly equal, a formation of the difference spectrum  $\Delta S$  would cancel the two contributions, leaving only the Raman features,

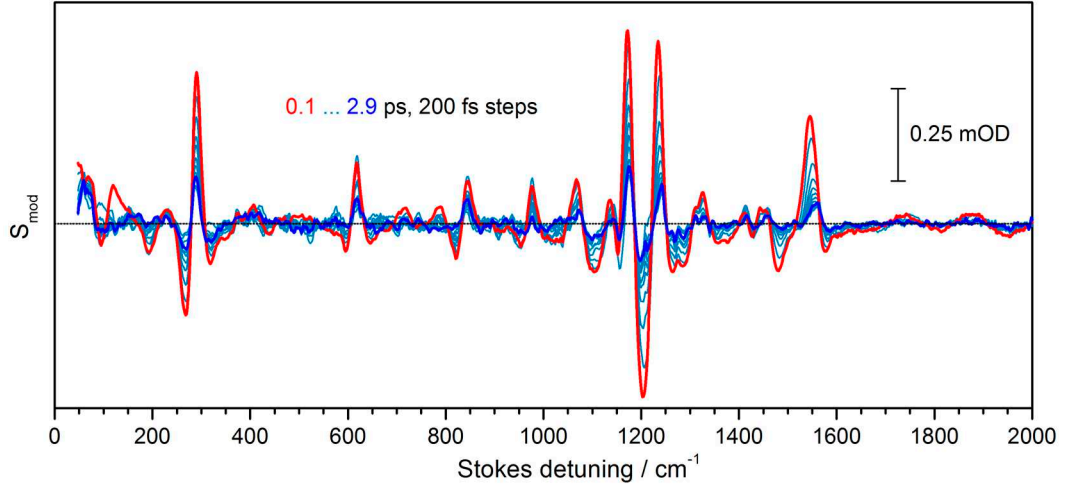
$$\begin{aligned}\Delta S(\tilde{\nu}) &= S^{(1)}(\tilde{\nu}) - S^{(2)}(\tilde{\nu}) \\ &= R^{(1)}(\tilde{\nu}) - R^{(2)}(\tilde{\nu}).\end{aligned}\tag{3.10}$$

As shown in 3.9, top, the experimentally obtained difference spectrum  $\Delta S$  still bears a non-vanishing background. The residual may result either from changes in the non-linear interaction or from small deviations in the experimental conditions between the two Raman experiments. As a consequence, the equality of  $B^{(1)}$  and  $B^{(2)}$  was not assumed for background correction, and the  $B^{(i)}$  were determined individually. The strong correlation between  $R^{(1)}$  and  $R^{(2)}$ , however, was used to identify the Raman features.

The temporal evolution of a Raman band may be reproduced reliably only with a consistent data treatment over all delay times. In previous publications the background has been modeled by splines.<sup>[16][19]</sup> To extract Raman peaks, which evolve gradually on a fluctuating background, it seems advantageous to describe the Raman signal itself. Here the transient Raman spectra are approximated by a linear combination of spectral basis functions. This allows an initial background guess which is then refined. As an example *trans*-stilbene measured in *n*-hexane with 6 fs steps is discussed (*cf.* 3.8).

Starting from  $S^{(i)}$  we search for an approximation to  $R^{(i)}$  which takes into account

### 3 Experimental Section



**Figure 3.10:** Modified Raman signal  $S_{mod}(\nu) = (S^{(1)}(\nu) + S^{(2)}(\nu)) / 2$  of *trans*-stilbene in *n*-hexane at different delay times.

the temporal evolution of the Raman signal. An operation is required that allows to extract the time-dependence of the Raman features  $R^{(i)}(t)$ . One choice would be the frequency derivative  $\frac{dS^{(i)}}{d\nu}$ , since the background may be assumed to change only slowly with frequency. This would, however, enhance the baseline noise, and therefore a different approach was chosen: a 30-point (60-80  $\text{cm}^{-1}$ ) moving average  $\mathbf{A}_{30}$  is applied to  $S^{(i)}$  and subtracted, to obtain

$$S_{mod}^{(i)} = S^{(i)} - \mathbf{A}_{30}S^{(i)}. \quad (3.11)$$

In a summation the moving average works distributively, hence

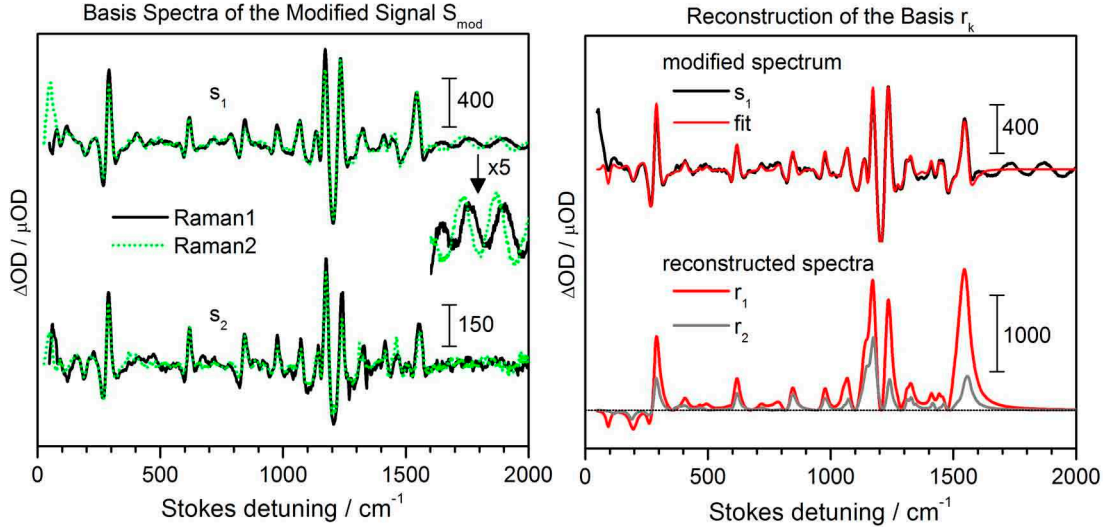
$$\mathbf{A}_{30}S^{(i)} = \mathbf{A}_{30}R^{(i)} + \mathbf{A}_{30}B^{(i)}. \quad (3.12)$$

As the background may be assumed to vary only slowly with frequency,  $\mathbf{A}_{30}B^{(i)} \approx B^{(i)}$  and

$$S_{mod}^{(i)} = R^{(i)} - \mathbf{A}_{30}R^{(i)}. \quad (3.13)$$

3.10 demonstrates that the modified spectra reflect the Raman spectral evolution, although the signal shape is distorted.

A global multiexponential analysis is now performed on  $S_{mod}^{(i)}$ , as described in Section 3.6.2. In the current example (3.10),  $S_{mod}^{(i)}$  is fitted with two exponential functions ( $\tau_1 = 0.35$  ps,  $\tau_2 = 1.1$  ps) and an offset ( $\tau_3 = \infty$ ); the exponential sum is convoluted with a Gaussian system response of 0.15 ps full width at half maximum. Next, a three-state model is introduced assuming that the states interconvert according to the formal kinetic



**Figure 3.11:** Basis spectra  $s_k^{(i)}$  (for Raman1 and Raman2) as derived from a global fit of the modified Raman signal  $S_{mod}^{(i)}$  of *trans*-stilbene in *n*-hexane with 0.35 and 1.1 ps decay times. *Right:* Fit of  $s_k$  exemplified for  $s_1$  (*top*, Raman1 and Raman2 averaged), and reconstruction of the Raman spectra  $r_1$  and  $r_2$ .

sequence 1→2→3. The exponential description of  $S_{mod}^{(i)}$  is then transformed<sup>[76]</sup> into

$$S_{mod}^{(i)}(\nu, t) = a_1(t)s_1^{(i)}(\nu) + a_2(t)s_2^{(i)}(\nu) + a_3(t)s_3^{(i)}(\nu). \quad (3.14)$$

Here the basis spectra  $s_k^{(i)}(\nu)$  (commonly referred to as *evolution associated spectra*) are time-independent; the time-dependence is captured by the (relative) populations  $a_k(t)$ , which apply to both Raman1- and Raman2-generated transient spectra. Finally, the spectral basis is reduced to those spectra which describe the dominant spectral changes clearest, in the present example  $s_1$  and  $s_2$ .<sup>1</sup> The spectra  $s_1$  and  $s_2$  are compared in 3.11, *left* (note that  $s_k^{(1)}$  and  $s_k^{(2)}$  are shown as a function of Stokes shift  $\nu$ ). The Raman features are clearly visible and highly reproduced for both excitation wavelengths.

The spectra  $S_{mod}^{(i)}$  are now expressed in the reduced basis by fitting their coefficients  $a'_k(t)$  at each delaytime,

$$S_{mod}^{(i)}(\nu, t) = a'_1(t)s_1^{(i)}(\nu) + a'_2(t)s_2^{(i)}(\nu). \quad (3.15)$$

<sup>1</sup>For the correction of the *trans*-stilbene measurement discussed here,  $s_1$  and  $s_2$  form a sufficient basis. The offset  $s_3$  could be included as well but was dismissed due to the lower signal/noise level.

### 3 Experimental Section

Similarly, the Raman spectra can be expanded in a basis  $r_k^{(i)}$  as

$$R^{(i)}(\nu, t) = a'_1(t)r_1^{(i)}(\nu) + a'_2(t)r_2^{(i)}(\nu). \quad (3.16)$$

The basis spectra are related via

$$s_k^{(i)}(\nu) = r_k^{(i)}(\nu) - \mathbf{A}_{30}r_k^{(i)}(\nu). \quad (3.17)$$

For reconstruction (3.11, *right*), every  $r_k^{(i)}$  is described by a sum of Lorentzians  $L_j$  and their first derivatives,

$$r_k^{(i)}(\nu) = \sum_j \left( c_j L_j(\nu) + d_j \frac{dL_j(\nu)}{d\nu} \right). \quad (3.18)$$

The coefficients  $c_j$  and  $d_j$ , and the spectral positions and widths of the Lorentzians are determined by fitting the basis spectra  $s_j^{(i)}$  for both Raman wavelengths ( $i = 1, 2$ ) simultaneously. In the fit the equality of  $s_k^{(1)}(\nu)$  and  $s_k^{(2)}(\nu)$  on the Stokes detuning scale is introduced,

$$s_k(\nu) = \sum_j \left[ c_j (L_j(\nu) - \mathbf{A}_{30}L_j(\nu)) + d_j \left( \frac{dL_j(\nu)}{d\nu} - \mathbf{A}_{30} \frac{dL_j(\nu)}{d\nu} \right) \right]. \quad (3.19)$$

The shifted Raman spectra are used to identify the Raman features. For example, the oscillatory features at frequencies  $> 1700 \text{ cm}^{-1}$  can be assigned to background noise. As positive and negative peaks contribute to the signal, this reconstruction is not unique and has to be guided by comparison to the raw data. The information available for the fitting procedure depends on the length of the applied moving average. If the moving average window is smaller, background rejection is stronger and the shape in spectrally congested regions becomes clearer. On the other hand, also the amplitude of broad Raman bands will be reduced, and their reconstruction becomes more difficult.

#### Generation of the Background Spectrum

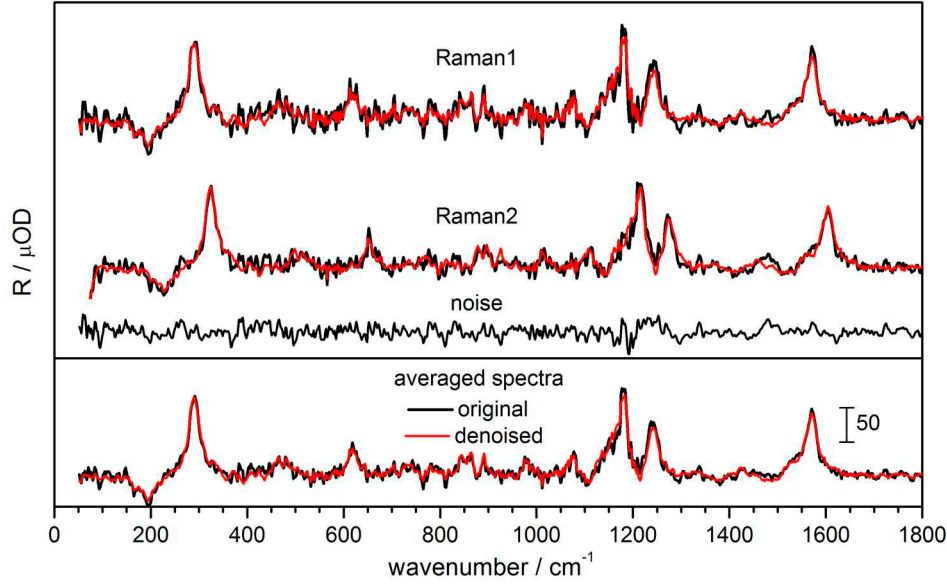
An approximation to the Raman spectrum  $R^{(i)}$  at each delay time (here denoted as  $R_{appr}^{(i)}$ ) is now constructed by inserting  $r_k^{(i)}$  into equation 3.16. Subtraction from the initial signal  $S^{(i)}$  then gives an approximation to the background spectrum.

$$B_{appr}^{(i)} = S^{(i)} - R_{appr}^{(i)}. \quad (3.20)$$

By smoothing this spectrum with a 30-point moving average, one arrives at the final estimate for the background

$$B^{(i)} = \mathbf{A}_{30}B_{appr}^{(i)}. \quad (3.21)$$





**Figure 3.12:** Transient Raman spectra of trans-stilbene in *n*-hexane at 60 ps delay (black), and spectra after subtracting a noise guess  $S_{noise}$  which is common for both signals, Raman1 and Raman2.

The Raman spectrum  $R^{(i)}$  is obtained as

$$R^{(i)} = S^{(i)} - B^{(i)}. \quad (3.22)$$

Spectrally sharp features which were not reproduced by the base spectra, enter the signal during this step due to the previous smoothing of the background. The background and the retrieved signals are also shown in 3.8.

The dependence of the Raman peak positions on the excitation wavelength was used here to improve the signal recognition during the fitting of the basis spectra  $s_k^{(i)}$ . In an alternative approach, the Raman spectra can be reconstructed from the difference spectra  $\Delta S$  (eq. 3.10), thus profiting from the inherent reduction of the background.<sup>[77,78]</sup> In stationary Raman spectroscopy this technique has been widely applied for fluorescence rejection (Shifted Excitation Raman Difference Spectroscopy, SERDS).<sup>[79,80]</sup> In the present work, however, difference formation does not completely cancel the background. The residual background and the complicated signal shape hamper spectral reconstruction. In 3.9 the difference spectra at 0.5 ps delay before and after background correction are compared. Clearly all spectral features are preserved, and the shape as well as the amplitudes are reproduced. In future, an inclusion of the difference spectrum into the correction algorithm may further reduce background artefacts.

### 3 Experimental Section

#### Noise Reduction for Small Signals

For small signals at long delay times, the identity of  $R^{(1)}(\nu)$  and  $R^{(2)}(\nu)$  on the Stokes-shift scale allows to guess the pixel-dependent correlated noise (3.12). The denoised spectrum  $R_{dn}$  is initially approximated as the average over  $R^{(1)}$  and  $R^{(2)}$ , smoothed by a 4-point moving average.

$$R_{dn}(\nu) = \mathbf{A}_4 \left( (R^{(1)}(\nu) + R^{(2)}(\nu)) / 2 \right). \quad (3.23)$$

It is subtracted from the individual Raman signals  $R_1$  and  $R_2$ . The residuals are then averaged on the absolute frequency scale to achieve an estimate for the noise which is common to both Raman spectra,

$$S_{noise}(\tilde{\nu}) = \left( R^{(1)}(\tilde{\nu}) - R_{dn}(\nu + \tilde{\nu}^{(1)}) \right. \\ \left. + R^{(2)}(\tilde{\nu}) - R_{dn}(\nu + \tilde{\nu}^{(2)}) \right) / 2. \quad (3.24)$$

On this basis, a new approximation for the denoised spectrum is obtained, and the procedure iterated:

$$R_{dn,new}(\nu) = \left( (R^{(1)}(\nu) - S_{noise}(\tilde{\nu}^{(1)} - \tilde{\nu}) \right. \\ \left. + R^{(2)}(\nu) - S_{noise}(\tilde{\nu}^{(2)} - \tilde{\nu}) \right) / 2. \quad (3.25)$$

The algorithm slightly improves the signal/noise level while the difference spectrum stays unchanged. It is only applicable in the case of small signal/noise ratios (for example at long delay times) and if the Raman signal is equally reproduced in both  $R_1$  and  $R_2$ .

#### Characteristics of the Background Correction Algorithm

In conclusion, the use of a spectral basis set to correct time-dependent Raman data has several advantages:

- (i) The evolution is treated in a uniform way over all delay times. This allows to process large data sets (here typically 660 delay times) without manual adjustment.
- (ii) Base spectra obtained from global analysis profit from a signal/noise improvement which allows to identify the Raman bands better.
- (iii) The signal  $R^{(i)}$  can be extracted from a changing background. Transient absorption artefacts like oscillations from wavepacket motion are removed.
- (iv) Within the limitation of the moving average length, all sharp spectral features are retained, even if they are not included in the intermediate description by base spectra. This is demonstrated by the oscillations of individual bands and the ultrafast spectral shift of the  $200 \text{ cm}^{-1}$  mode.

The effect of background correction errors on the observables discussed in the main part is now estimated.

*Raman Intensities.* Insufficient reconstruction may lead to errors in the baseline position and result in systematic distortion of individual Raman bands. These deviations may be identified in the evolution associated spectra of a global fit. The general trends are therefore expected to be reproduced.

*Time Constants and Frequencies.* The Raman signals are extracted at each delay time individually. Therefore the main temporal behaviour is recovered, and errors in the baseline estimation will only have minor influence on characteristic temporal parameters like decay times and oscillation frequencies.

*Spectral Positions.* The time-dependent frequency shift is obtained from a Lorentzian fit, which may be systematically affected by asymmetric band distortions. Nonetheless, the relative temporal evolution is preserved. The Raman peak maxima themselves are insensitive to changes of a slowly varying background and can be discussed with high confidence.

*Band Widths.* On account of our spectral resolution and signal/noise ratio, only relative band width changes are discussed in this work. On this level, the effect of slowly varying background residues may be regarded to be negligible.

## 3.6 Data Analysis

### 3.6.1 Multiexponential Fits

The signal from a time-resolved experiment can be understood as the impulsively rising molecular evolution convoluted with temporal apparatus function (see Chapter 2). The molecular relaxation is generally captured by a sum of exponential functions, and the apparatus function is described by a Gaussian  $G(t)$ . A time trace is fitted to the function

$$S_{exp}(t) = G(t) \otimes \left( \Theta(t) \sum_i A_i e^{-t/\tau_i} \right), \quad (3.26)$$

where  $\tau_i$  are the decay times and  $\Theta(t)$  is the Heaviside step function. Oscillations of the signal were included as the sum over damped cosine function with phases  $\phi_j$ ,

$$S_{osc}(t) = G(t) \otimes \left( \sum_j A_j e^{-t/\tau_j} \cos(\omega_j t + \phi) \right). \quad (3.27)$$

To improve the speed the fitting algorithm the phase-shifted cosined was expressed as the sum of  $\sin(\omega t)$  and  $\cos(\omega t)$  functions for each frequency with zero initial phase. The pure cosine oscillation was then reconstructed from the sum-angle trigonometric relationships, and the time-zero phase of the pure cosine function can then be obtained between 0 and

### 3 Experimental Section

$2\pi$  with avoidance of intrinsic phase uncertainty resulting from the symmetry of the cosine function.

Transient absorption traces show a coherent contribution around time zero. It is modeled by a Gaussian with the width of the temporal apparatus function, and its derivatives up to fourth order.<sup>[35]</sup>

#### 3.6.2 Global Analysis and Kinetic Models

The majority of photochemical processes on the femtosecond to picosecond time scale can be described as a combination of first-order or pseudo first-order reaction steps. A common goal in a kinetic analysis is the determination of the spectra  $\epsilon_i(\lambda)$  associated with the intermediate species. This can be achieved performing the exponential analysis depicted above simultaneously for the time traces at all individual wavelengths with a common set of exponential functions. An analogue to equation (3.26) is obtained by replacing amplitudes  $A_i$  by amplitude spectra  $\mathbf{A}_i(\lambda)$ :

$$\mathbf{S}(\lambda, t) = \sum_i \mathbf{A}_i(\lambda) e^{-t/\tau_i}. \quad (3.28)$$

For the reconstruction of the species associated spectra I follow a procedure presented by Szundi *et al.*<sup>[76]</sup> The time dependent species concentrations are collected in the vector  $\mathbf{c}$ . The reaction scheme is described by a set of first-order differential equations,

$$\frac{d}{dt}\mathbf{c}(t) = \mathbf{K}\mathbf{c}(t), \quad (3.29)$$

where  $\mathbf{K}$  is the model-specific kinetic matrix. As an example, consider the sequential interconversion of three species 1, 2, and 3 with the microscopic rate constants  $k_1$  and  $k_2$ :



This corresponds to the kinetic matrix

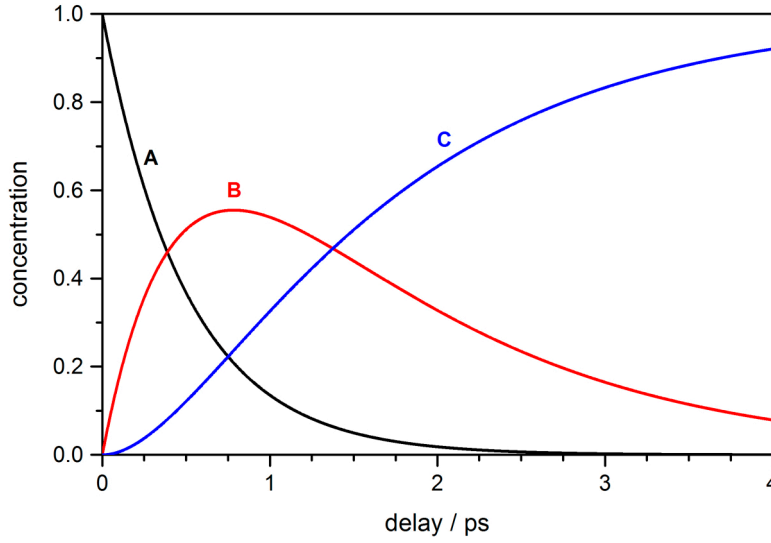
$$\mathbf{K} = \begin{pmatrix} -k_1 & 0 & 0 \\ k_1 & -k_2 & 0 \\ 0 & k_2 & 0 \end{pmatrix}. \quad (3.31)$$

Equation (3.29) is solved by a sum of exponential functions,

$$\mathbf{c}(t) = \sum_i f_i \mathbf{V}_i e^{\alpha_{ii} t}. \quad (3.32)$$

The exponents  $\alpha_{ii}$  are the eigenvalues of the kinetic matrix  $\mathbf{K}$ , obtained from a diagonalization with unitary matrices  $\mathbf{V} = (\mathbf{V}_1, \mathbf{V}_2 \dots)$ ,

$$\alpha = \mathbf{V}^{-1} \mathbf{K} \mathbf{V} \quad (3.33)$$



**Figure 3.13:** Time-dependent concentrations of the species in the sequential model  $A \xrightarrow{k_1} B \xrightarrow{k_2} C$ , with rate constants  $k_1 = 2 \text{ ps}^{-1}$  and  $k_2 = 0.5 \text{ ps}^{-1}$  and the initial concentrations  $c_A(0) = 1$ ,  $c_B(0) = 0$ ,  $c_C(0) = 0$ .

In the special case of sequential interconversion, the exponents are equal to the negative rate constants, *i.e.*  $\alpha_{11} = -k_1$ ,  $\alpha_{22} = -k_2$ , and  $\alpha_{33} = 0$  (the last term is included to describe the long-time offset). In the general case,  $\alpha_{ii}$  is a combination of the microscopic rates. The normalization factors  $f_i$  are obtained from the concentrations at time zero,

$$\mathbf{f} = \mathbf{V}^{-1} \mathbf{c}(0). \quad (3.34)$$

A typical choice  $\mathbf{c}(0)$  in photoinduced experiment is the normalized concentration vector

$$\mathbf{c}(0) = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}. \quad (3.35)$$

For the chosen example the time-dependent concentrations then

$$\mathbf{c}(t) = \begin{pmatrix} e^{-k_1 t} \\ k_1/(k_2 - k_1) (e^{-k_1 t} - e^{-k_2 t}) \\ 1/(k_1 - k_2) (k_2 e^{-k_1 t} - k_1 e^{-k_2 t}) + 1 \end{pmatrix}. \quad (3.36)$$

Concentration traces for species  $A$ ,  $B$ , and  $C$  are modelled in figure 3.13 for the rate constants  $k_1 = 2 \text{ ps}^{-1}$  and  $k_2 = 0.5 \text{ ps}^{-1}$ .

The time-dependent spectral contribution of the species  $i$ ,  $\mathbf{S}_i(\lambda, t)$ , scales with its

### 3 Experimental Section

concentration,

$$\mathbf{S}_i(\lambda) = c_i(t)\epsilon(\lambda). \quad (3.37)$$

Comparison of equation (3.32) with equation (3.28) shows that

$$\alpha_i = -1/\tau_i \quad \text{and} \quad \epsilon(\lambda)f_i\mathbf{V}_i = \mathbf{A}_i. \quad (3.38)$$

The species associated spectra (SAS) are thus obtained as

$$\epsilon_i(\lambda) = f_i^{-1}\mathbf{A}_i\mathbf{V}_i^{-1}. \quad (3.39)$$

For the above example with sequential interconversion the species associated spectra are related to the exponential amplitudes via

$$\epsilon_1(\lambda) = \mathbf{A}_1(\lambda) + \mathbf{A}_2(\lambda) + \mathbf{A}_3(\lambda), \quad (3.40a)$$

$$\epsilon_2(\lambda) = \frac{\tau_1}{1/\tau_1 - 1/\tau_2}\mathbf{A}_2(\lambda) + \mathbf{A}_3(\lambda), \quad (3.40b)$$

$$\epsilon_3(\lambda) = \mathbf{A}_3(\lambda). \quad (3.40c)$$

The species of a kinetic do not necessarily have to represent actual intermediates in a physical sense. A sequential model, for example, also gives a summary of the spectral evolution in a measurement. The species then correspond to characteristic spectra that dominate at different delay times. This property was applied in section 3.5.5 to obtain a spectral basis set to the Raman signal.

#### 3.6.3 Maximum Entropy Analysis

Setting the number of exponentials in a multi-exponential fit introduces constraints the data analysis. In practice, a time trace is described with an increasing number of decay functions, until the fit does not improve further. The ultimate goal is to relate the temporal evolution to a distribution of lifetimes  $f(\log \tau)$ . A quasi-continuous decay time distribution can be obtained by fitting the experimental trace to a large number of time constants. In the presence of noise, however, the solution is not unique, *i.e.* a variety of different distributions  $f$  yield descriptions of the experimental data with similar  $\chi^2$  values. In this case, the obtained solution depends on the choice of the initial parameters. The experimental uncertainty can be taken into account by the entropy  $H(f)$  of the distribution  $f$ ,<sup>[81]</sup> which is defined by

$$H(f) = -\sum_i f_i \ln f_i. \quad (3.41)$$

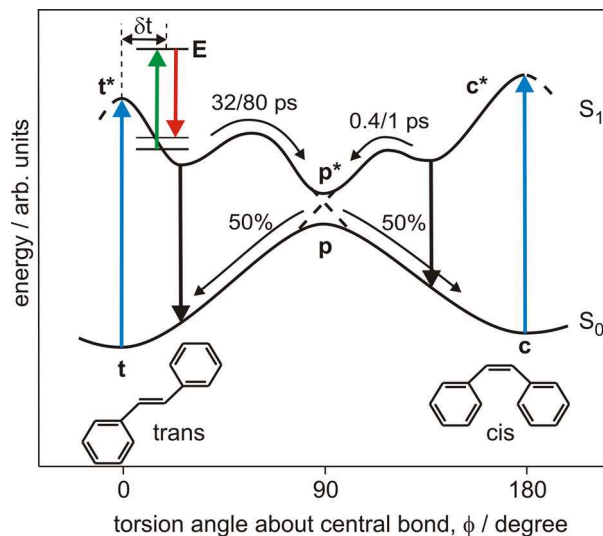
Among the many solutions with equal  $\chi^2$  the most probable solution for  $f$  is the one which maximizes the entropy.

## 4 Excited Stilbene: Intramolecular Vibrational Redistribution and Solvation Studied by Femtosecond Stimulated Raman Spectroscopy

### 4.1 Introduction

Photoinduced *cis-trans* isomerization about a C=C double bond is widely used by nature to trigger a response to an external light stimulus. Prominent examples include the vision process, phototaxis, control of germination, and photoinduced ion-pumping.<sup>[82,83]</sup> As a model system for olefinic photoisomerization, stilbene (1,2-diphenylethene) has attracted immense attention.<sup>[84]</sup> Irradiation of either conformer at wavelengths around 300 nm produces *cis*- and *trans*-stilbene with comparable yields (Figure 4.1). The reaction pathway in the electronically excited state  $S_1$  follows torsion around the central ethylenic bond, accompanied by rotation of the phenylic residues.<sup>[85,86]</sup> This motion proceeds until a 90° twisted geometry is reached that allows internal conversion via a phantom state  $p$  to the ground state potential energy surfaces of *cis* and *trans* isomers.<sup>[87]</sup> For *trans*-stilbene, isomerization is hindered by a  $\sim 1200\text{ cm}^{-1}$  activation barrier on the  $S_1$  potential energy surface<sup>[86]</sup> resulting in an excited-state lifetime on the order of 100 ps.<sup>[85,88,89]</sup> In contrast, *cis*-stilbene exhibits only a shallow minimum in  $S_1$  and conversion is complete after 1 ps.<sup>[90–94]</sup>

The outcome of an isomerization reaction is primed by the relaxation pathway on the excited state potential. Tailored pulses made it possible for a variety of molecules to prepare wave packets appropriate for driving reactions into a specific direction.<sup>[95–99]</sup> The efficiency of such quantum control experiments depends on the interaction of vibrational modes and the flow of excess energy at earliest times. For *cis*-stilbene, recent pump/dump experiments could follow the temporal evolution of a low-frequency oscillation with femtosecond resolution.<sup>[100]</sup> A gradual frequency downshift of this mode was correlated to twisting of the molecule on its way to the conical intersection. An integral view of structural relaxation, however, necessitates consideration of the full vibrational evolution, and not of just one spectator mode. Such broad spectral windows are naturally obtained with frequency-domain spectroscopy. Spontaneous Raman scattering, although restricted to picosecond resolution, has improved our molecular understanding significantly. With this technique the cooling dynamics of excited *trans*-stilbene was followed on the 10 ps time scale.<sup>[102–107]</sup> A linear relationship between frequency shift and bandwidth of the ethylenic stretching mode was observed, having a characteristic depen-



**Figure 4.1:** Simplified scheme of the ground and excited state potential energy surfaces of stilbene along the reaction coordinate, and the femtosecond stimulated Raman process: blue – actinic pump, green – Raman, red – stimulating probe pulses;  $\delta t$  denotes the variable delay. Excited state lifetimes are given for acetonitrile/*n*-hexane solution at room temperature. [85,89,91,93,101]

dence on solvent and temperature. Based on this observation, an equilibrium of  $S_1$  with a nearby zwitterionic state was proposed: the polarization-exchange model. [104,108–110] This model can also explain an observed proportionality between the induced Raman changes and the isomerization rate of *trans*-stilbene. [104] But limited temporal resolution prevented these experiments from tracing the relaxation back to the subpicosecond regime. Thus, also for the short-lived excited state of *cis*-stilbene, only time-averaged spontaneous Raman spectra have generally been reported. [111,112] The initial time scale is dominated by intramolecular vibrational redistribution (IVR) which smears out the originally imposed vibrational information. [53,113,114]

Here, femtosecond stimulated Raman spectroscopy is used to track ultrafast evolution in excited stilbene. In both, *trans* and *cis* isomers, the relaxation of individual modes is scrutinized. A broad detection range combined with sufficient spectral and temporal resolution allows to monitor the flow of excess energy within the vibrational manifold. Molecular processes are distinguished by three time-dependent observables: the Raman intensity, the frequency shift of the peaks, and the spectral width of the bands. Wavepacket motion is observed as oscillation of Raman bands, and due to anharmonic coupling the peak positions of high-frequency modes are also modulated. Static and dynamic influences of polar solvation are discussed by comparing results in *n*-hexane and acetonitrile solution.



## 4.2 Experimental Details

### 4.2.1 Transient absorption Spectroscopy

Transient absorption spectra were provided by Sergey Kovalenko;<sup>1</sup> they were measured with the setup which is based on the FEMTOLASERS *sPro* system. The chromophore was excited with 0.5  $\mu\text{J}$  pulses at 283 nm pulses generated in a *TOPAS* (LIGHTCONVERSION). Between 350 and 675 nm the temporal apparatus function is well described by a Gaussian with 60 fs width.

### 4.2.2 Transient Raman Spectroscopy

Narrowband Raman pulses at 575 and 620 nm were provided by the home-built optical parametric amplifier (see Section 3.5.1). From the output, 0.5  $\mu\text{J}$  were used for the experiment; the spectral width of the pulses was 7.5–12  $\text{cm}^{-1}$ . After time-zero correction for the probe pulse chirp, the temporal resolution was 120–150 fs (fwhm of apparatus function).

### 4.2.3 Chemicals

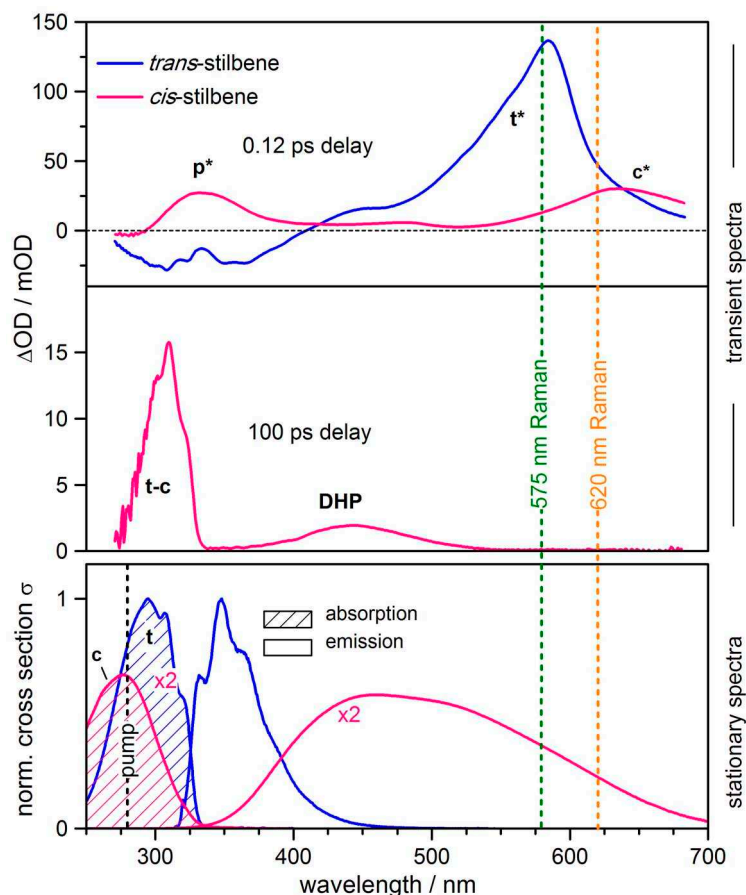
*Trans*-stilbene (Fluka, 97%) and *cis*-stilbene (Fluka, 96%) were used as received. All solutions were prepared in fluorescence grade solvents (Merck). In agreement with the known sample impurity, for *cis*-stilbene measurements  $\sim 3\%$  contamination by signal from the *trans*-conformer can be identified at delay times  $> 1$  ps. This shows that additional product accumulation during acquisition is negligible. Results for pure *cis*-stilbene are obtained by subtracting the evolution of *trans*-stilbene.

## 4.3 Results

### 4.3.1 Resonance Conditions

Resonance conditions can be seen in Figure 4.2 where the Raman wavelengths are shown together with the stationary and transient optical spectra. For *trans*-stilbene (blue), Raman pulses were tuned to 575 nm for measurements in acetonitrile and to 620 nm in *n*-hexane. At the chosen wavelengths only the excited-state absorption ( $t^*$ ) is resonant; the reactant and product ground states absorb exclusively in the UV. The corresponding signals, being non-resonant, are expected to be orders of magnitude smaller than that from  $t^*$  and can therefore be neglected. Thus, the transient Raman evolution of *trans*-stilbene will solely reflect the motion of the molecule on the  $S_1$  surface. All *cis*-stilbene measurements were taken with 620 nm Raman excitation. Under such conditions not only overlap with ground state absorption, but also with the band of the by-product DHP (4a,4b-dihydrophenanthrene) and the excited state absorption from the excited phantom state  $p^*$  are avoided. The emission spectrum of the *cis* isomer, however, covers the

<sup>1</sup>Sergey Kovalenko, Department of Chemistry, Humboldt-Universität zu Berlin, Brook-Taylor-Str. 2, 12489 Berlin.

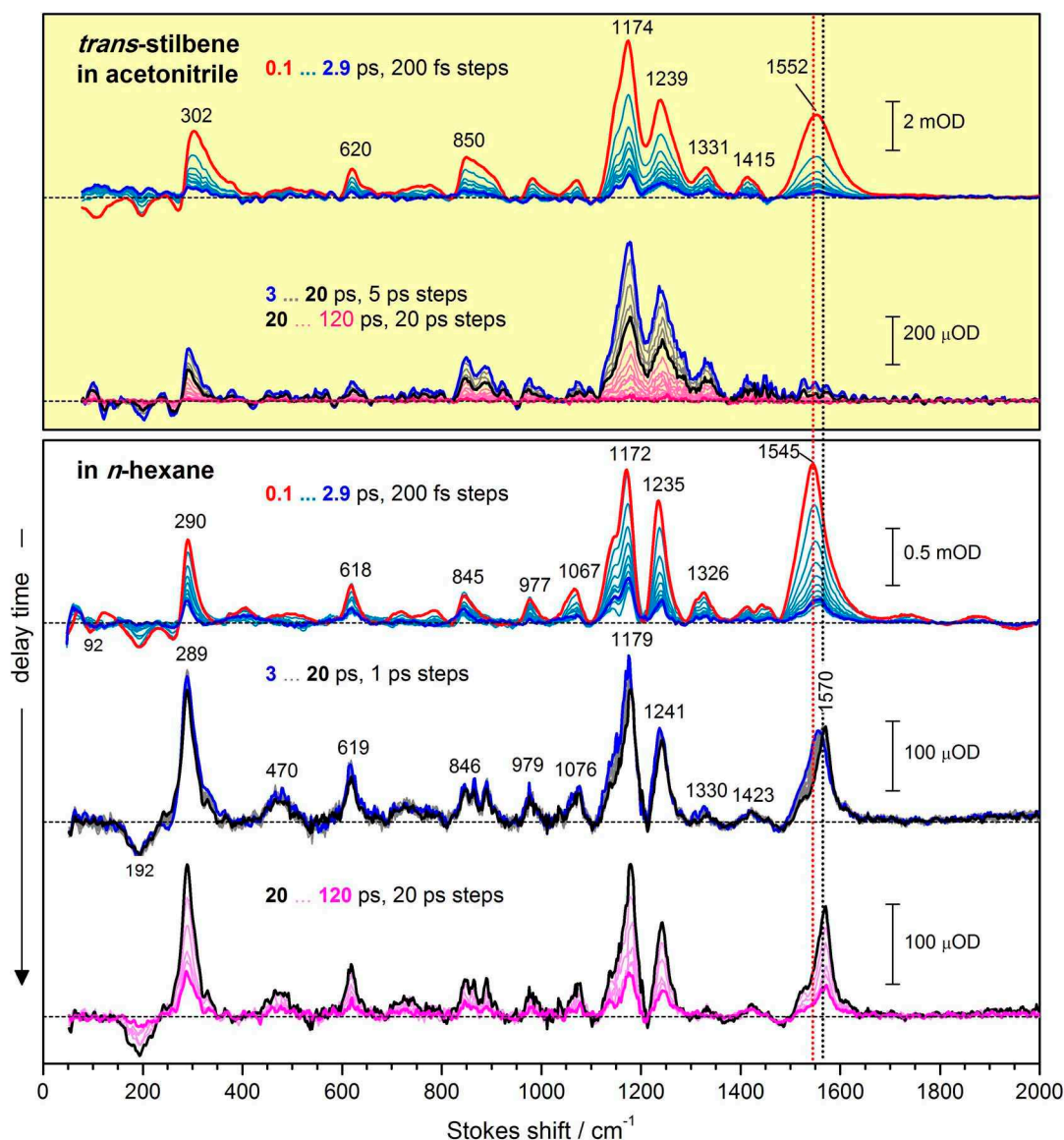


**Figure 4.2:** Transient absorption (*top* and *middle*) and ground state absorption and emission (*bottom*) spectra of *trans*- and *cis*-stilbene in *n*-hexane. In acetonitrile spectral features are similar. The *cis*-stilbene fluorescence spectrum was taken from ref. 85. Excitation and Raman pump wavelengths are indicated by vertical lines. **c/c\*** – ground/excited state *cis*-stilbene absorption, **t/t\*** – ground/excited state *trans*-stilbene absorption, **DHP** – absorption of 4a,4b-dihydrophenanthrene, **p\*** – excited state absorption of the phantom state.<sup>[87]</sup>

full visible region. Femtosecond-resolved fluorescence experiments find already at early times a signal that resembles the stationary emission spectrum.<sup>[64]</sup> Therefore additional resonance with the  $S_1 \rightarrow S_0$  transition has to be taken into account for *cis*-stilbene.

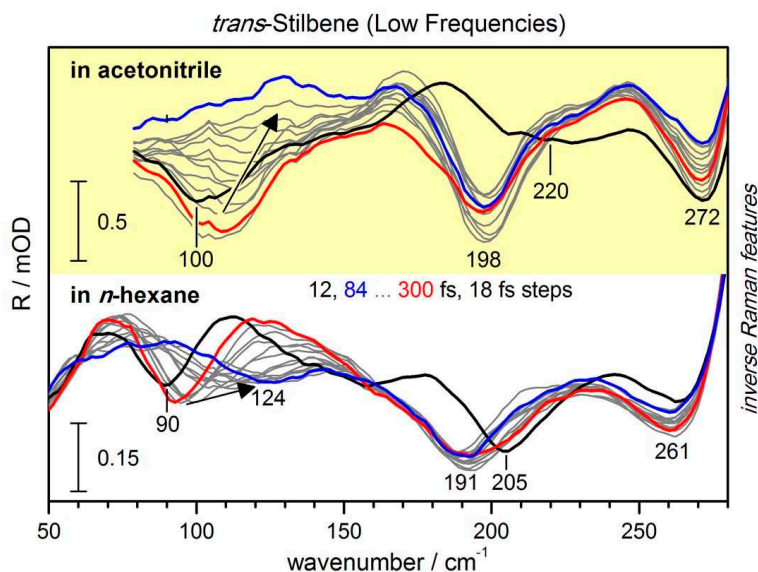
#### 4.3.2 *trans*-Stilbene

Transient Raman spectra of *trans*-stilbene in *n*-hexane and acetonitrile are shown in Figure 4.3. Due to improved resonance the initial signal is 4–5 times stronger in acetonitrile compared to *n*-hexane; otherwise the spectra in both solvents are qualitatively similar. It may be concluded that the difference in Raman wavelength does not impose further



**Figure 4.3:** Transient resonance Raman spectra of *trans*-stilbene in acetonitrile (top; acetonitrile solutions are generally marked yellow) and in *n*-hexane (bottom) after excitation at 280 nm. Measurements up to 2.9 ps were obtained under parallel polarization conditions, signals at longer delay times are given for magic angle conditions. The peak positions of the main bands are indicated. The positions of the C=C stretching band around 1550  $\text{cm}^{-1}$  in *n*-hexane at time zero and 20 ps delay are shown as vertical dotted lines (red and black, respectively).

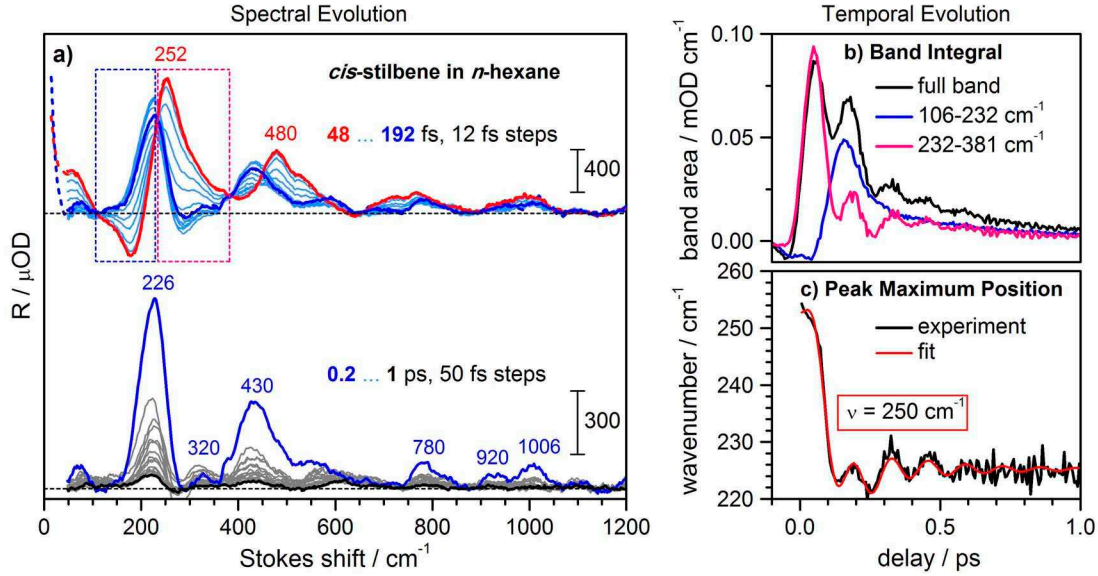
effects on the signal. Already directly after excitation (at 0.1 ps delay, red line) a well resolved Raman spectrum is observed. The appearance of the spectrum stays similar



**Figure 4.4:** Low-frequency region of the transient Raman evolution of *trans*-stilbene in acetonitrile and in *n*-hexane after excitation with 280 nm under parallel polarization conditions.

during the complete evolution although gradual changes in intensity as well as in shift and width of the peaks can be discerned. Most strikingly, during the first picosecond the overall signal intensity decreases by almost one order of magnitude. Neither by transient absorption nor time-resolved fluorescence a significant decay of *trans*-stilbene excited-state population is observed on this time scale.<sup>[53,114]</sup> Thus the rapid signal decay may be attributed to a decrease of Raman cross-section. As an alternative explanation in Chapter 6 depletion of population by the Raman pulse is offered.

The spectral evolution in both solvents is described next. In *n*-hexane the whole spectrum decays in a relatively uniform way, although within the first 0.3 ps bands with frequencies  $>1000\text{ cm}^{-1}$  decrease slightly stronger compared to the low-frequency part of the spectrum. In acetonitrile the spectral shape resembles that in *n*-hexane solution, but bands are broader at the beginning. Like in *n*-hexane the Raman intensity decreases significantly during the first picosecond. Here the decay of the  $1550\text{ cm}^{-1}$  band deviates even stronger from the rest of the spectrum; it vanishes almost completely during the initial relaxation phase. Shift of Raman peak positions accompanies the amplitude decay in *n*-hexane. This effect is most clearly observed as an upshift of the high-frequency modes, especially for the  $\nu(\text{C}=\text{C})$  mode around  $1550\text{ cm}^{-1}$  which shifts by  $+10\text{ cm}^{-1}$ . When further increasing the delay up to 20 ps (middle lower panel) this shift proceeds while the signal amplitude does not change significantly. Until 120 ps the complete signal decays with constant shape indicating population loss from the excited state. In acetonitrile the spectral positions of the Raman bands do not exhibit prominent change upon relaxation. In particular, the high-frequency  $\nu(\text{C}=\text{C})$  mode approaches its final position around  $1550\text{ cm}^{-1}$  already at 100 fs delay, and no prominent upshift such



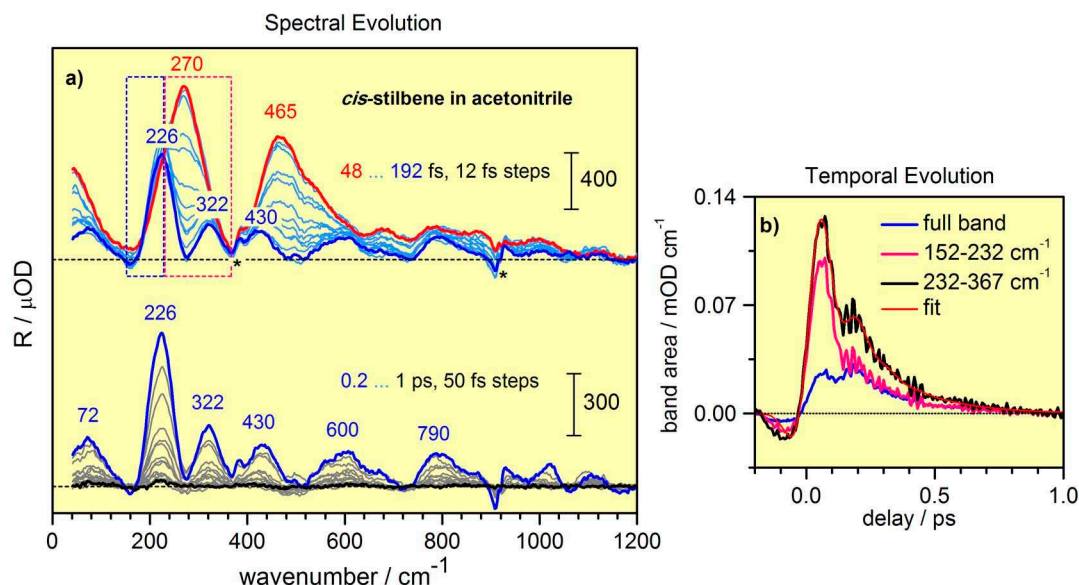
**Figure 4.5:** Transient Raman evolution of *cis*-stilbene in *n*-hexane after excitation at 280 nm under parallel polarization conditions. a) Spectral evolution. The solvent Raman signal and 3 % *trans*-stilbene contribution was subtracted. b) Temporal evolution of the band integral 106-381  $\text{cm}^{-1}$  (black) and the high- and low-frequency part (blue and magenta respectively) as marked in subfigure a). c) Temporal evolution and fit of the peak maximum position for the 226-252  $\text{cm}^{-1}$  peak. Fitting parameters:  $\nu_1 = 80 \text{ cm}^{-1}$ ,  $\gamma_1 = 80 \text{ fs}$ ;  $\nu_2 = 252 \text{ cm}^{-1}$ ,  $\gamma_2 = 0.3 \text{ ps}$ , shift within cross-correlation.

as in *n*-hexane occurs. The decay of the Raman spectra at later delay times is faster in acetonitrile than in *n*-hexane, and the signal goes nearly to zero at 120 ps.

Negative (absorptive) Raman features are observed below 300  $\text{cm}^{-1}$  in both solvents while bands at higher frequencies are purely positive. The low-frequency evolution during the first 0.3 ps is shown in Figure 4.4. At 12 fs delay negative dips in the baseline are observed at 90 and 205  $\text{cm}^{-1}$  in *n*-hexane (100 and 220  $\text{cm}^{-1}$  in acetonitrile). During temporal overlap between actinic pump and probe pulses, the band at 204(220)  $\text{cm}^{-1}$  disappears and a new dip at 191(198)  $\text{cm}^{-1}$  evolves. This band is observed throughout the measurement and decays together with the rest of the spectrum. The behavior of the feature around 100  $\text{cm}^{-1}$  is completely different. It has an initial minimum at 90(100)  $\text{cm}^{-1}$  and decays to the baseline already within 0.3 ps. During this time the band continuously shifts to higher frequencies, to a final position around 120  $\text{cm}^{-1}$ . In addition to the two bands outlined above the  $\sim 290 \text{ cm}^{-1}$  peak bears a negative side lobe at 261 (272)  $\text{cm}^{-1}$ , which decays during the first picoseconds.

### 4.3.3 *cis*-Stilbene

The transient spectral evolution of *cis*-stilbene in *n*-hexane and acetonitrile is shown in Figures 4.5a and 4.6a. Compared to *trans*-stilbene, the signal is significantly broader



**Figure 4.6:** Transient Raman evolution of *cis*-stilbene in acetonitrile after excitation at 280 nm under parallel polarization conditions. a) Spectral evolution. Asterisks mark artefacts from insufficient solvent subtraction. b) Temporal evolution of the 152-367  $\text{cm}^{-1}$  band integral (black) and the low- and high-frequency part (blue and magenta, respectively). Red: 152-367  $\text{cm}^{-1}$  band integral over a global fit with one exponential decay ( $\tau = 0.2$  ps) and two oscillations ( $\nu_1 = 70$   $\text{cm}^{-1}$ ,  $\gamma_1 = 0.07$  ps and  $\nu_2 = 264$   $\text{cm}^{-1}$ ,  $\gamma_2 = 0.08$  ps).

and the intensity of high-frequency modes weaker. Directly after excitation (48 fs delay, red line) the spectrum is dominated by two bands at 252 (270) and 480 (465)  $\text{cm}^{-1}$  in *n*-hexane (acetonitrile). The signal at earliest times shows dispersive-like lineshapes around 210  $\text{cm}^{-1}$  in *n*-hexane. During the first 0.15 ps strong changes take place. The initial signal decays while a new spectrum with major bands around 226 and 430  $\text{cm}^{-1}$  appears. Similar changes are observed in the lower frequency range: the initial band at 45–60  $\text{cm}^{-1}$  decays and leaves a peak around 84  $\text{cm}^{-1}$ . The behavior resembles qualitatively the evolution of the 200  $\text{cm}^{-1}$  band in *trans*-stilbene; further common features will become clear in the discussion. On the high-frequency side additional bands up to 1000  $\text{cm}^{-1}$  can be distinguished after ultrashort relaxation. Thereafter the full spectrum decays within the first picosecond in both solvents. The band integral over the region 106-381  $\text{cm}^{-1}$  (Figure 4.5b, black) reveals a decay time on the order of 0.5 ps in *n*-hexane. Strong oscillations are superimposed on the decay. They are located around the position of the initial 252  $\text{cm}^{-1}$  band as can be seen from the comparison of the high- and low-frequency part of the band integral (magenta and blue lines in Figure 4.5b, respectively). Ultrafast spectral changes are reflected by a 0.1 ps delayed rise of the low-frequency edge (blue). The dynamics described above can also be followed by monitoring the maximum of the 252  $\text{cm}^{-1}$  band (Figure 4.5c). Here again, oscillations



with a frequency of  $250\text{ cm}^{-1}$  are evident. In acetonitrile a similar evolution is observed; however the full decay is shortened to approximately 0.2 ps, and the oscillations are less discernable from the signal.

## 4.4 Discussion

### 4.4.1 *trans*-Stilbene

#### Transient Spectra and Band Assignment

Peak positions directly after actinic excitation and at delay times  $> 20$  ps are summarized in Table 4.1. The spectra at long delay times compare well to literature data obtained from picosecond spontaneous Raman spectroscopy under resonance and non-resonance conditions.<sup>[107,115–117]</sup> This suggests that the signal  $>270\text{ cm}^{-1}$  resembles conventional Stokes spectra. The Raman pulses are only resonant with a higher excited  $S_n$  state, so that only the blue shaded diagrams in Figure 2.7 (Section 2.4) have to be considered. From the simulations in Section 2.4 Raman emission at the  $S_1$  state vibrational frequencies is expected, mainly originating from the pathway  $R_{3e}^A$ . Since  $S_n$  should possess a short lifetime on the 0.1 ps time scale, signals from hot luminescence,  $R_{1e}^B$  and  $R_{2e}^A$  will be broad and are removed during background correction. The  $R_{4e}^B$  interaction starts from a vibrationally excited state on the Stokes side. For modes with frequencies  $>200\text{ cm}^{-1}$ , at room temperature mainly the vibrational ground state is populated. Therefore after vibrational cooling,  $R_{4e}^B$  is expected to affect only the sub- $200\text{ cm}^{-1}$  part of the spectrum. The inverse Raman bands around 100 and  $200\text{ cm}^{-1}$  suggest a change of the polynomial prefactor in equation (2.98). This agrees with the finding that the polynomial is most sensitive to resonance conditions in pathways where the sample interacts with the probe pulse first (indicated by the superscript  $B$ ). At earlier delay times,  $R_{4e}^B$  may also have contributions to bands that are vibrationally excited by Franck-Condon transitions or during intramolecular relaxation. In this context the dip at  $260\text{--}270\text{ cm}^{-1}$  may be interpreted as the originating from an  $R_{4e}^B$  contribution of the vibrationally excited  $\sim 290\text{ cm}^{-1}$  mode. The picosecond decay of this signal agrees with the return of the population to the vibrational ground state. For the higher-frequency modes similar behavior is not resolved.

The band assignment is discussed next. From  $^{13}\text{C}$  substitution experiments, significant involvement of ethylenic carbon atoms was identified for the modes at  $1570$ ,  $1528$ ,  $1241$ ,  $1179$ ,  $1150$ , and  $846\text{ cm}^{-1}$  (referring to the fully relaxed spectrum in *n*-hexane).<sup>[117]</sup> Vibrational modes  $>1300\text{ cm}^{-1}$  contain C=C stretching contributions. In particular, for the strongly shifting band around  $1550\text{ cm}^{-1}$  two underlying bands can be distinguished after thermalization. The peak at  $1570\text{ cm}^{-1}$  is attributed to the ethylenic C=C stretching mode  $\nu_8$  while the shoulder at  $1528\text{ cm}^{-1}$  corresponds to the phenylic C=C stretching mode  $\nu_9$ . The assignment follows the consensus in the literature<sup>[115,120]</sup> although, based on recent calculations, an alternative assignment to  $\nu_7$  ( $1570\text{ cm}^{-1}$ ) and  $\nu_8$  ( $1528\text{ cm}^{-1}$ ) was suggested.<sup>[118]</sup> The region from  $1000$  to  $1300\text{ cm}^{-1}$  is dominated by C-H bending modes ( $\nu_{14}\text{--}\nu_{18}$ ). The  $619$ ,  $846$  and  $979\text{ cm}^{-1}$  bands correspond to deformations

**Table 4.1:** Transient Raman peak positions of *trans*-stilbene excited in acetonitrile and *n*-hexane, with mode assignments. Band positions are given for time zero and after full vibrational relaxation ( $> 20$  ps delay). Literature values refer to picosecond-resolved spontaneous Raman measurements. ip/oop – in plane/out of plane.

Raman band positions / $\text{cm}^{-1}$			Assignment		
in acn at $t_0$	after rel.	at $t_0$ in <i>n</i> -hexane after rel.	literature <sup>a</sup>	symmetry	mode <sup>b</sup> motion <sup>b</sup>
100		90	83 95	$a_u$	$\nu_{37} + \nu_{36}$ , $2\nu_{37}$ phenyl/ethylene torsion
200	193	205		$a_g$	$\nu_{25}$ ip $C_{et}=C_{et}-C_\Phi$ bend
303	291	290	285	$a_g$	$\nu_{24}$ ip/oop bend
482		480			
620	619	617	619	$a_g$	$\nu_{22}$ ring deformation
770		752			
851	849	844	844	$a_g$	$\nu_{21}$ $C_{et}=C_{et}-C_\Phi$ bend + ring breathing
981	975	977	978	$a_g$	$\nu_{20}$ trig. ring deform.
1067	1071	1064	1077	$a_g$	$\nu_{18}$ $C_\Phi$ -H bend
1145	1145	1146	1148	$a_g$	$\nu_{16}$ $C_\Phi$ -H bend
1172	1179	1172	1179	$a_g$	$\nu_{15}$ $C_\Phi$ -H bend + $C_{et}-C_\Phi$ stretch
1237	1242	1233	1241	$a_g$	$\nu_{14}$ $C_{et}$ -H bend
1329	1331	1322	1334	$a_g$	$\nu_{12}$ ring vibration
1415	1418	1406/1446	1421	$a_g$	$\nu_{11}, \nu_{10}$ ring vibration
			1528	$a_g$	$\nu_9$ $C_\Phi$ - $C_\Phi$ stretch
1553	1550	1543	1566	$a_g$	$\nu_8$ $C_{et}=C_{et}$ stretch

<sup>a</sup> Values for the low-frequency  $a_u$  modes were deduced from the fluorescence excitation spectrum of jet-cooled *trans*-stilbene. [86]  
 Frequencies  $> 190 \text{ cm}^{-1}$  are taken from spontaneous resonance Raman measurements with 593 nm excitation at 10 ps delay. [115]  
<sup>b</sup> according to refs. 115, 118–120.



of the phenyl rings ( $\nu_{20}-\nu_{22}$ ), while the signal at  $289\text{ cm}^{-1}$  is assigned to in-plane and out-of-plane bending of the phenyl moieties ( $\nu_{24}$ ). The negative band at  $192\text{ cm}^{-1}$  corresponds to an in plane bending of the phenyl groups towards the central ethylenic moiety ( $\nu_{25}$ ). The  $\text{C}_{et}=\text{C}_{et}-\text{C}_{\Phi}$  angle decreases upon  $\text{S}_1 \leftarrow \text{S}_0$  transition, rendering this mode highly Franck-Condon active.<sup>[119]</sup>

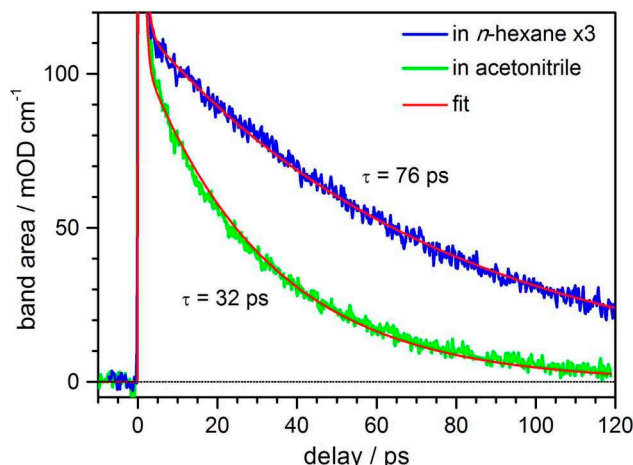
For *trans*-stilbene  $\text{C}_{2h}$  symmetry has been established.<sup>[119,121,122]</sup> As a consequence Raman transitions are formally allowed only for  $a_g$  and  $b_g$  modes. Enhancement of a Raman signal by resonance with a single electronic state further requires a change of the polarizability along the normal coordinate due to this transition; this is satisfied if a shift between the potential minima exists. Under the assumption that Herzberg-Teller coupling can be neglected and the molecular symmetry stays unchanged, only totally symmetric modes will be observed in resonance Raman spectroscopy.<sup>[123]</sup> In accordance, all modes previously discussed have  $a_g$  symmetry. The  $90\text{ cm}^{-1}$  band, however, forms an exception, since from calculations no  $a_g$  vibrations are expected below  $192\text{ cm}^{-1}$ .<sup>[118]</sup> This band has not been observed in spontaneous Raman experiments before,<sup>[115]</sup> and it decays already within the first picosecond. An unequivocal assignment can not be given here but several candidates for this band are discussed.

A phenyl torsion mode of  $b_g$  symmetry ( $\nu_{48}$ ) with a frequency of  $112\text{ cm}^{-1}$  was identified in laser-induced fluorescence measurements.<sup>[121]</sup> This mode may become active in resonance Raman spectroscopy if the Raman transition is affected by electronic or vibrational mixing of states.

Combinations of the  $a_u$  symmetric torsion modes  $\nu_{36}$  and  $\nu_{37}$  dominate the low-frequency region of fluorescence excitation spectra.<sup>[121,122]</sup> In particular,  $\nu_{37}$  describes in the ground state an in-phase phenyl torsion at very low frequency ( $\sim 10\text{ cm}^{-1}$ ) which is strongly anharmonic. Upon transition to the  $\text{S}_1$  state this mode gains significant ethylenic torsion character and is upshifted to  $48\text{ cm}^{-1}$ .<sup>[118,119,121]</sup> Due to the large frequency change between  $\text{S}_0$  and  $\text{S}_1$  and a considerable Duschinsky effect the mode has strong Franck-Condon activity. Although fundamentals of  $a_u$  symmetry are formally not Raman active, their higher harmonics and combinations, which lead to even changes of vibrational quanta, are totally symmetric and thus Raman allowed.<sup>[124]</sup> The  $90\text{ cm}^{-1}$  band could then correspond to the second harmonic  $2\nu_{37}$  or to the combination  $\nu_{37} + \nu_{36}$ .

Difference frequency generation offers an additional pathway for the generation of the  $90\text{ cm}^{-1}$  signal. As discussed above, the  $290\text{ cm}^{-1}$  mode  $\nu_{24}$  is proposed to be vibrationally excited at early delay times. Combination with  $\nu_{25}$  may then result in a Raman band around  $90\text{ cm}^{-1}$  ( $\nu_{24} - \nu_{25}$ ). In femtosecond stimulated Raman spectroscopy vibrational coupling and cascaded Raman sequences have been discussed as suitable schemes for difference frequency formation.<sup>[18,125,126]</sup> In the subsequent analysis evidence for coupling of high-frequency modes to vibrations with  $57$  and  $90\text{ cm}^{-1}$  will be shown, while they are unaffected by  $\nu_{25}$  and  $\nu_{24}$ . This contradicts a generation of the  $90\text{ cm}^{-1}$  signal by difference frequency formation. Instead, the assignment of the coupling vibrations to  $\nu_{37}$  and  $2\nu_{37}/\nu_{37} + \nu_{36}$  appears reasonable.

The interplay of modes and the influence of a polar solvent shell is now studied in detail by separating the spectral changes into three time-dependent observables: the Raman intensity, the frequency shift of the peaks, and the spectral widths of bands.

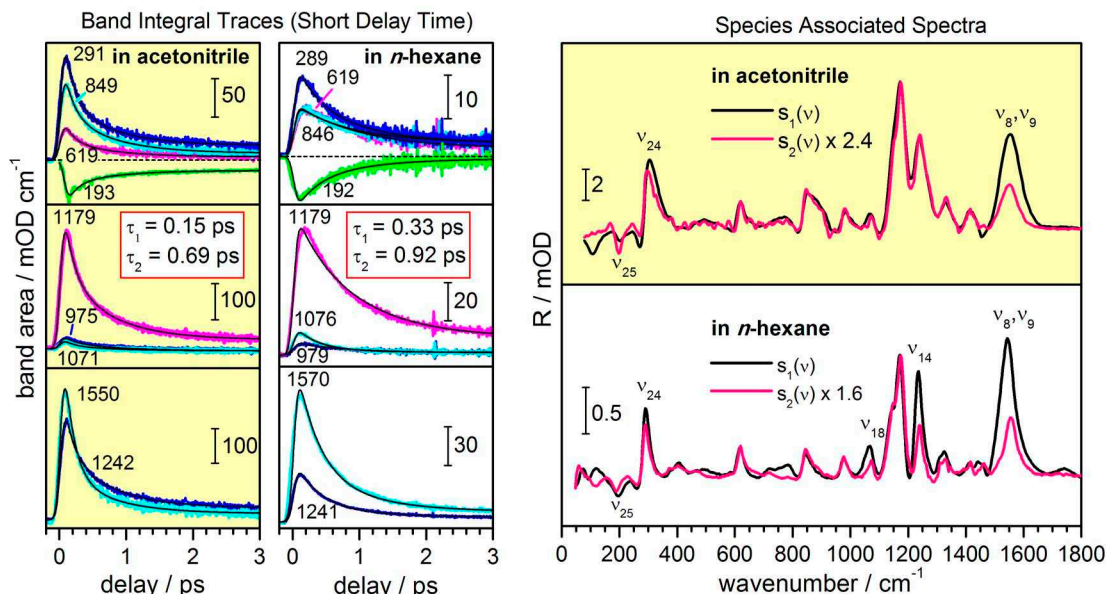


**Figure 4.7:** Time-dependent integral over the full *trans*-stilbene spectrum in acetonitrile and in *n*-hexane after excitation at 280 nm. The traces are fitted with biexponential functions (red); the long decaytimes are given (short decaytimes: 0.6-0.7 ps).

### Raman Intensities

Integration over individual Raman bands allows to investigate the temporal evolution of the intensity without contamination from spectral shift and narrowing phenomena. At longer delay times the spectrum stays unchanged and its decay is monitored by integrating over the full spectral window (Figure 4.7). An exponential fit gives decay times of 32 ps in acetonitrile and 76 ps in *n*-hexane which agree well with the lifetimes of the  $S_1$  state derived from other methods (*cf.* Figure 4.1).<sup>[93,101]</sup> Additional spectral changes that take place on the 10 ps time scale do not affect, within precision, the Raman intensity. The complete signal decay shows that, under the current resonance conditions, the Raman signal does not trace the population flow beyond the isomerization barrier. Subsequent transition to the ground electronic state is adequately monitored by transient absorption spectroscopy.<sup>[127]</sup> The femtosecond resonance Raman experiment allows on the other hand an investigation of the relaxation from the Franck-Condon region of the  $S_1$  state to its potential minimum.

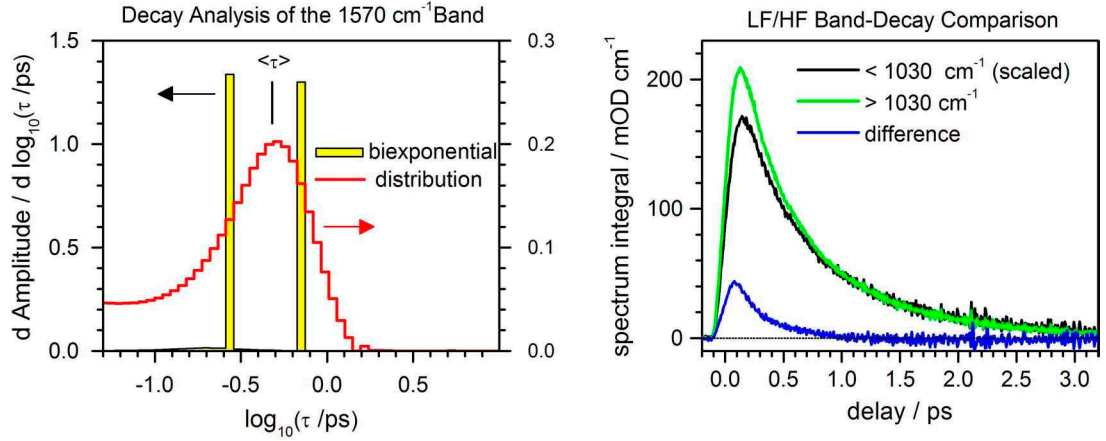
Information about the initial relaxation is obtained from the individual band integrals in Figure 4.8. Let us begin the discussion with *trans*-stilbene in *n*-hexane. As reasoned above, the sub-picosecond evolution is not caused by population loss from isomerization. Furthermore, solvation effects are negligible in the nonpolar solvent *n*-hexane.<sup>[128]</sup> The observed changes may therefore reflect vibrational relaxation in the  $S_1$  state. The sub-picosecond time scale is characteristic for intramolecular vibrational redistribution (IVR).<sup>[113]</sup> Excitation prepares the molecule with vibrational excess energy concentrated in the optically active modes. In stilbene the  $S_1 \leftarrow S_0$  ( $\pi \pi^*$ ) transition mainly affects the ethylene and phenyl stretching modes  $\nu_8$  and  $\nu_9$  which are represented by the broad Raman band around  $1550 \text{ cm}^{-1}$ .<sup>[118]</sup> Gas phase fluorescence excitation spectra revealed additional principle transitions for the following  $a_g$  modes: The  $C_{et}$ -H bending modes



**Figure 4.8:** Left: Time-dependent spectral integrals of *trans*-stilbene in acetonitrile and in *n*-hexane after excitation at 280 nm for the first 3 ps. The evolution of every mode is well reproduced by a global exponential fit (black lines); decay times are given as insets. The 193 cm<sup>-1</sup> trace in acetonitrile was corrected for underlying background. Right: Species Associated Spectra for a formal sequential model  $1 \rightarrow 2 \rightarrow 3$  where the conversion times were taken from the global fit. The spectra  $s_2(\nu)$  are scaled to equal intensities for the 619 cm<sup>-1</sup> band.

$\nu_{13}$  (not observed) and  $\nu_{14}$  (1241 cm<sup>-1</sup>), the ring deformation modes  $\nu_{20}$  (979 cm<sup>-1</sup>) and  $\nu_{21}$  (846 cm<sup>-1</sup>), and the C<sub>et</sub>=C<sub>et</sub> bending mode  $\nu_{25}$  (192 cm<sup>-1</sup>).<sup>[118,119,121]</sup> During IVR the excess energy is distributed over the full vibrational manifold, leading to a molecule which is in thermal equilibrium internally, at high temperature. Within this model, the prominent ultrafast loss of Raman cross-section for the 1570 cm<sup>-1</sup> band can be explained by decrease of Franck-Condon overlap with the resonant electronic state  $S_n$  as population drains out of the originally excited vibrational states in  $S_1$ . The origin of the concomitant unspecific signal decay of the other modes, however, remains unclear.

Intramolecular vibrational redistribution requires coupling between the normal modes of the system. The actual energy flow depends on the coupling strength and the number of modes which are accessible for energy exchange. As each mode may in principal behave differently, the decay of the band integral traces in Figure 4.8 was analyzed individually. The signal  $S(t)$  is described as the weighted sum of exponential functions convoluted with the system response  $G(t)$ , see Section 3.6. As an example we discuss results for the 1570 cm<sup>-1</sup> band. The signal can be modelled by a biexponential fit (Figure 4.9, left, yellow bars), but the decay times  $\tau_1 = 0.28$  ps and  $\tau_2 = 0.71$  ps are not well defined by the original signal: the Raman intensity decay is reproduced with equal  $\chi^2$  by the broad distribution given in red, which was found by maximum entropy methods



**Figure 4.9:** Band integral analysis for *trans*-stilbene in *n*-hexane. Left: Exponential analysis of the 1570 cm<sup>-1</sup> band integral in Figure 4.8. Right: Integrated signal for the spectral regions 250–1030 cm<sup>-1</sup> (black) and 1030–1700 cm<sup>-1</sup> (green) after subtraction of the long-time offset. The low frequency band integral was scaled by a factor of three.

(see Section 3.6). From similar comparisons for all modes, it is concluded that, at this stage, the only significant descriptor of the initial Raman loss dynamics is given by the correlation time  $\langle \tau \rangle$ :

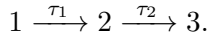
$$\langle \tau \rangle = \frac{\sum_j A_j \tau_j}{\sum_k A_k}. \quad (4.1)$$

The obtained values are compared in Table 4.2. The signal/noise level is also given as the deconvoluted amplitude at  $t = 0$  ps, divided by  $2\sigma$ , where  $\sigma$  is the rms deviation of the optimal fit. The correlation times range between 0.5 and 0.7 ps. With femtosecond pump-depletion spectroscopy and resonance-enhanced multiphoton ionization on *trans*-stilbene vapour, a time constant of 0.65 ps has been observed for the initial process.<sup>[114]</sup> The similarity with the present data supports the assignment to IVR and suggests that the *n*-hexane solvation shell does not affect IVR significantly. The decay, however, is not uniform: Raman bands  $> 1000$  cm<sup>-1</sup> decay faster ( $\sim 0.5$  ps) than bands  $< 1000$  cm<sup>-1</sup> ( $\sim 0.65$  ps). In order to test the significance of this observation the transient evolution is integrated over the spectral regions 250–1030 cm<sup>-1</sup> and 1030–1700 cm<sup>-1</sup>. The fast decaying parts of the resulting traces are given in Figure 4.9; to facilitate comparison, the long-time signal was subtracted. From 0.8 ps onwards low- and high-frequency traces show virtually identical temporal evolution. In contrast, at early times bands  $> 1030$  cm<sup>-1</sup> are subject to an additional intensity loss on the time scale of 0.3 ps. Interpreted in terms of IVR, one may conclude that despite the individuality of vibrations, the

**Table 4.2:** Results from the maximum-entropy analysis of the fast intensity decay for *trans*-stilbene in *n*-hexane: Signal/Noise S/N and correlation time  $\langle\tau\rangle$ .

mode	frequency / $\text{cm}^{-1}$	S/N	$\langle\tau\rangle$ / ps
$\nu_{25}$	192	6.4	0.61
$\nu_{24}$	289	11	0.55
$\nu_{22}$	619	5.8	0.68
$\nu_{21}$	846	8.0	0.68
$\nu_{20}$	979	4.6	0.64
$\nu_{18}$	1076	13	0.38
$\nu_{15}, \nu_{16}$	1179	34	0.49
$\nu_{14}$	1241	60	0.49
$\nu_8, \nu_9$	1570	90	0.48

redistribution may be divided into a fast and a slower process.



Here 1 corresponds to the system prepared by Franck-Condon transition at zero delay time and 3 to the internally equilibrated molecule. From a global fit of the band integrals in Figure 4.8 time constants of  $\tau_1 = 0.33$  ps and  $\tau_2 = 0.92$  ps are obtained. The transient Raman signal is now described at each individual frequency  $\nu$  as (see Section 3.6.2)

$$S(\nu, t) = a_1(t)s_1(\nu) + a_2(t)s_2(\nu) + a_3(t)s_3(\nu), \quad (4.2)$$

where  $s_i(\nu)$  is the spectrum of the virtual state  $i$ ; its population dynamics is given by  $a_i(t)$ . The Species Associated Spectra  $s_1(\nu)$  and  $s_2(\nu)$  reflect the spectral changes during the evolution with  $\tau_1 = 0.33$  ps. They are compared in Figure 4.8 (bottom right), scaled to equal intensity for the  $619 \text{ cm}^{-1}$  band. The strongest intensity loss during the fast IVR step is found for the following bands:  $1570 \text{ cm}^{-1}$  ( $\nu_8, \nu_9$ ),  $1241 \text{ cm}^{-1}$  ( $\nu_{14}$ ),  $1076 \text{ cm}^{-1}$  ( $\nu_{18}$ ),  $289 \text{ cm}^{-1}$  ( $\nu_{24}$ ) and  $192 \text{ cm}^{-1}$  ( $\nu_{25}$ ).

In this view, Raman intensity serves as an indicator for the progress of IVR. There seems to exist a correlation between the extent of initial intensity decay and Franck-Condon activity (see above). Here the following interpretation is suggested: the actinic pump pulse prepares the Franck-Condon modes in vibrationally excited states. Energy redistribution through inter-mode coupling is most efficient within a subset of modes which is probably governed by symmetry constraints. This preferential IVR is dominant during the fast 0.33 ps relaxation while equilibration over the full vibrational manifold is slower (0.92 ps).

Solvation effects are negligible in *n*-hexane, but in acetonitrile polar solvation should be taken into account. A global fit of the band integral traces in Figure 4.8 (left) gives decay times of  $\tau_1 = 0.15$  and  $\tau_2 = 0.69$  ps in acetonitrile. In contrast to *n*-hexane solution,

the two decay components can be distinguished directly in the signal. As before, for the longer decay ( $\tau_2$ ) no significant changes of the relative band intensities are resolved. For a discussion of the 0.15 ps evolution, the Species Associated Spectra  $s_1(\nu)$  and  $s_2(\nu)$  are compared in Figure 4.8 (top right), again scaled to equal intensities for the  $619\text{ cm}^{-1}$  band. A completely uniform decay of the spectrum is observed in the region  $400\text{--}1450\text{ cm}^{-1}$ . Strong additional intensity loss with  $\tau_1 = 0.15\text{ ps}$  is found for the  $1550\text{ cm}^{-1}$  band which corresponds to the highly Frack-Condon active modes  $\nu_8$  and  $\nu_9$ . Smaller changes are seen for bands at  $291\text{ cm}^{-1}$  ( $\nu_{24}$ ) and  $193\text{ cm}^{-1}$  ( $\nu_{25}$ ).

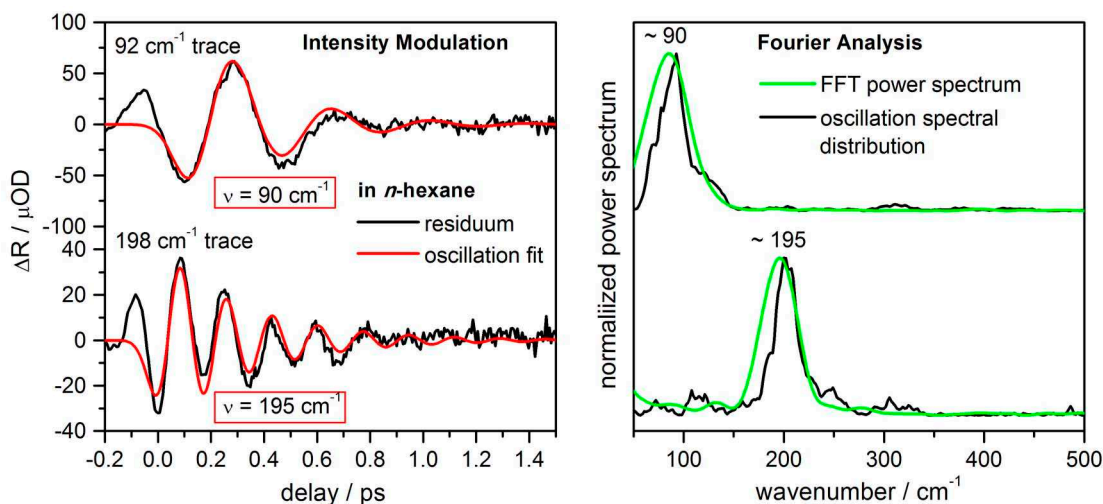
In a polar environment the apparent acceleration of the relaxation in this model suggests that the inter-mode coupling becomes more efficient. This would allow fast energy redistribution over a large vibrational manifold and could explain the uniform signal decay which is observed already at earliest times. Vibrational relaxation may be facilitated if the solvent environment breaks symmetry restrictions. In *n*-hexane, the  $\pi\pi^*$  excitation prepares the molecule in a state with biradicalic character. The polarization exchange model proposes that the biradicalic form is in equilibrium with a nearby zwitterionic state.<sup>[104,108–110]</sup> A polar solvent like acetonitrile can shift the equilibrium towards the ionic form, stabilizing stilbene in a momentarily polarized state. The concomitant breakage of symmetry may facilitate IVR. In agreement with this concept, transient infrared experiments have found symmetry-forbidden bands for *trans*-stilbene in acetonitrile.<sup>[129]</sup>

Dynamic reorientation of the solvent environment around the excited molecule may affect the transient spectral evolution. Time-resolved fluorescence measurements found a typical solvation time of 0.63 ps for acetonitrile.<sup>[128,130]</sup> The decay with  $\tau_2 = 0.69\text{ ps}$  may therefore be attributed to dynamic solvation by acetonitrile instead of a second IVR process. The strong decay of the  $1550\text{ cm}^{-1}$  band then indicates that the ethylenic stretching mode is affected by the polar solvent surrounding differently. More insight will be obtained from an analysis of the peak frequencies.

An alternative mechanism for subpicosecond decay of Raman signals arises from the evaluation of experiments on the flavin chromophore (Chapter 6): population depletion from the excited state induced by the intense Raman pulse. The initial decay characteristics are in this model mainly determined by the measurement conditions like Raman pulse duration and temporal overlap with the probe pulse. To explain differences in the evolution of the high- and low-frequency regions, one would have to consider the temporal phase: for a chirped probe pulse individual frequencies overlap at different delay times with the Raman pulse. Only depletion by the part of the Raman pulse that precedes the probe pulse can affect the measurement. Then the temporal evolution at early delay times would become frequency-dependent. A definite assignment of the effects observed here requires further experiments in future that systematically vary the measurement parameters.

### Evolution of the Inverted Raman Signals

Strong intensity oscillations overlay the exponential evolution of the Raman signals below  $250\text{ cm}^{-1}$ . Figures 4.4 and 4.11 show the residuals obtained after fitting single time traces in the low-frequency range with the parameters from the previous analysis of band



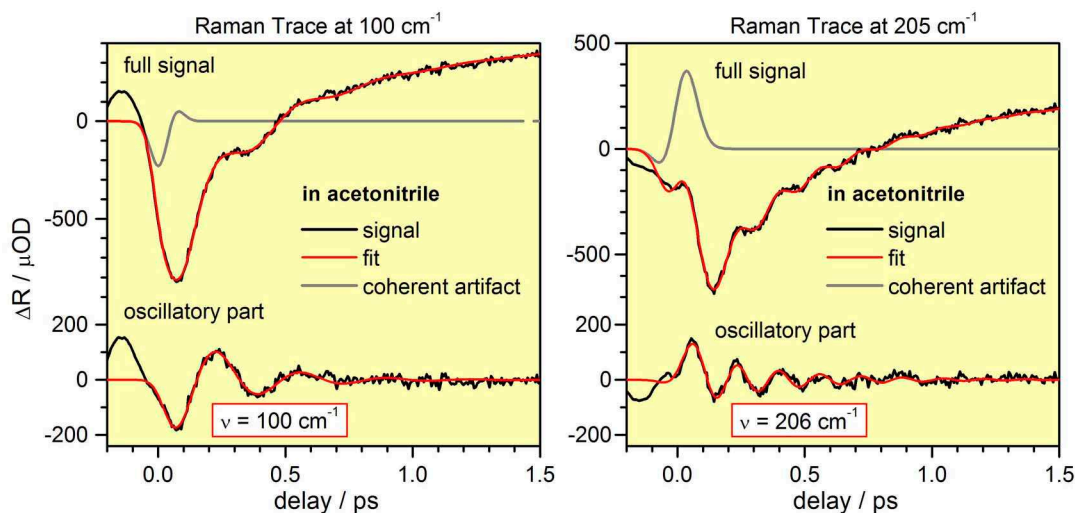
**Figure 4.10:** Left: Residuals from a global exponential fit of the Raman spectral evolution of *trans*-stilbene in *n*-hexane, with decay times taken from the band integral analysis. Signals (black) were smoothed by a Savitzky-Golay filter for presentation. In red: oscillation fits with the parameters  $\nu_1 = 90 \text{ cm}^{-1}$ ,  $\gamma_1 = 0.27 \text{ ps}$ , and  $\nu_2 = 195 \text{ cm}^{-1}$ ,  $\gamma_2 = 0.33 \text{ ps}$ , respectively. Right: FFT power spectra (green, normalized) of the experimental residues shown to the left. The normalized power distributions of the 90 and  $195 \text{ cm}^{-1}$  oscillations as a function of Stokes detuning are given in black.

integrals.<sup>2</sup> Strikingly, the intensities oscillate with the pertinent mode frequency ( $90$  or  $195 \text{ cm}^{-1}$  in *n*-hexane;  $100$  or  $206 \text{ cm}^{-1}$  in acetonitrile). Figure 4.4, right, demonstrates that these modulations are restricted to the Raman bands of the respective modes (black line). The large difference in the oscillation frequency of adjacent bands confirms that these features are not artefacts from insufficiently subtracted transient absorption signals. Modulations in Raman intensity, as observed here, were proposed theoretically for wavepacket dynamics.<sup>[41]</sup> It is therefore concluded that the oscillatory modulations derive from wavepacket motion along the potentials of the  $90$  and  $190 \text{ cm}^{-1}$  modes. In acetonitrile these frequencies are slightly higher, implying that the  $\text{C}=\text{C}-\text{C}_\Phi$  bending and phenyl/ethylene torsional modes are stiffened through solvation.

The observation that the  $90 \text{ cm}^{-1}$  signal oscillates with its pertinent frequency does not provide a sufficient criterium for a definite assignment. The fundamental  $\nu_{48}$  as well as the combination modes  $2\nu_{37} / \nu_{37} + \nu_{36}$  and  $\nu_{24} - \nu_{25}$  may exhibit such behavior.<sup>[125]</sup> An assignment to combinations of  $\nu_{37}$ , however, is supported by the strong Franck-Condon activity of this mode. The frequency shift of the  $90 \text{ cm}^{-1}$  band on the time scale of IVR may then be explained by the large anharmonicity of  $\nu_{37}$ . The influence that anharmonicity imposes on the peak frequency is amplified upon formation of combination modes or higher harmonics. Relaxation on such a potential should also affect the Frack-

<sup>2</sup>For the description of *trans*-stilbene in acetonitrile around time zero, a coherent term has to be added (grey in Figure 4.11), which is modeled by the weighted sum of a Gaussian and its first derivative.





**Figure 4.11:** Time traces of *trans*-stilbene in acetonitrile at  $100\text{ cm}^{-1}$  (left) and  $205\text{ cm}^{-1}$  (right). The full signal (top of each panel) was fitted with two exponential functions, one damped oscillation and a coherent artifact around time zero. The oscillatory part and its fit is shown at the bottom of each panel.

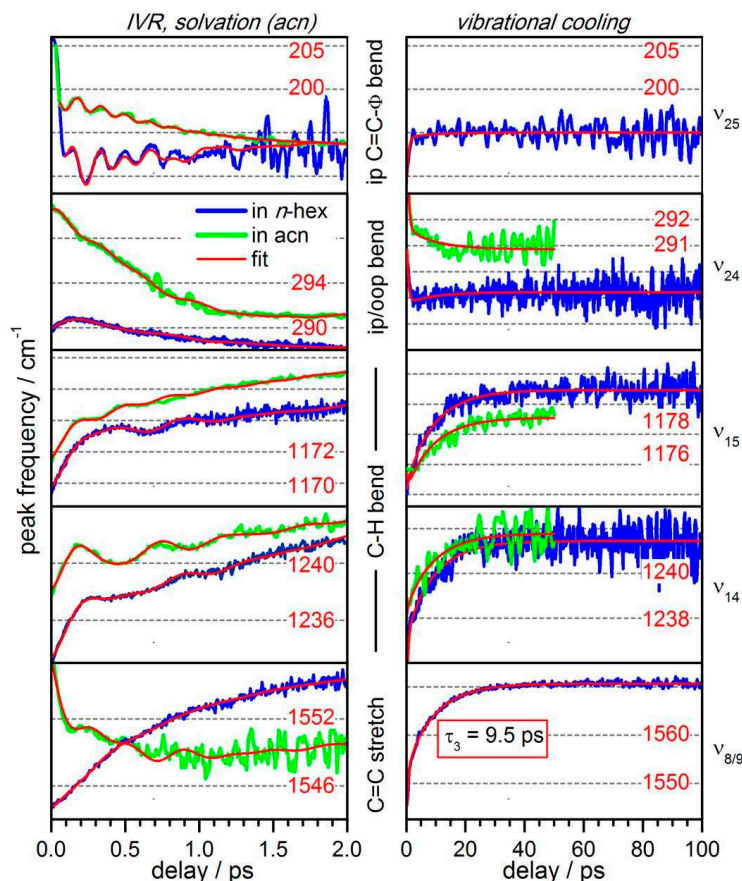
Condon overlap with higher electronic states and inter-vibrational coupling. This may readily explain the sub-picosecond decay of the  $90\text{ cm}^{-1}$  band.

In terms of third-order signal description, Raman bands below  $200\text{ cm}^{-1}$  are assigned to the pathway  $R_{4e}^B$ . As shown in Section 2.4.1 contributions to transient Raman bands can be described as the product of three Lorentzian functions multiplied with a second-order polynomial, which modifies the spectral shape of the bands. Since the polynomial prefactor is most sensitive to resonance conditions for *B*-type interaction sequences, it appears reasonable that the  $R_{4e}^B$  signal responds strongly to wavepacket motion on the excited-state potential. The spectral evolution of the low-frequency bands may then also be understood solely as a change of the signal shape without a shift of the vibrational frequency itself. Note that a single experiment can not easily distinguish between a change of the Raman band shape and a spectral shift of the underlying frequencies. To solve this ambiguity, future experiments should rigorously explore the subtle effects of resonance changes for each system individually. One can avoid the problem by favoring by the experimental conditions third-order pathways of the *A* type, since their contribution to the signal is less affected by resonance detuning. The high-frequency bands in the *trans*-stilbene measurements, for example, mainly arise from the  $R_{3e}^A$  pathway, so that stronger spectral shifts like that observed for the  $1570\text{ cm}^{-1}$  C=C stretching band may be assigned confidently to changes of the vibrational frequency.

### Band Shifts and Frequency Oscillations

Lorentzian spectral fits to the dominant transient Raman bands are obtained for each delay time. The time-dependent positions of representative peaks are compared in Fig-

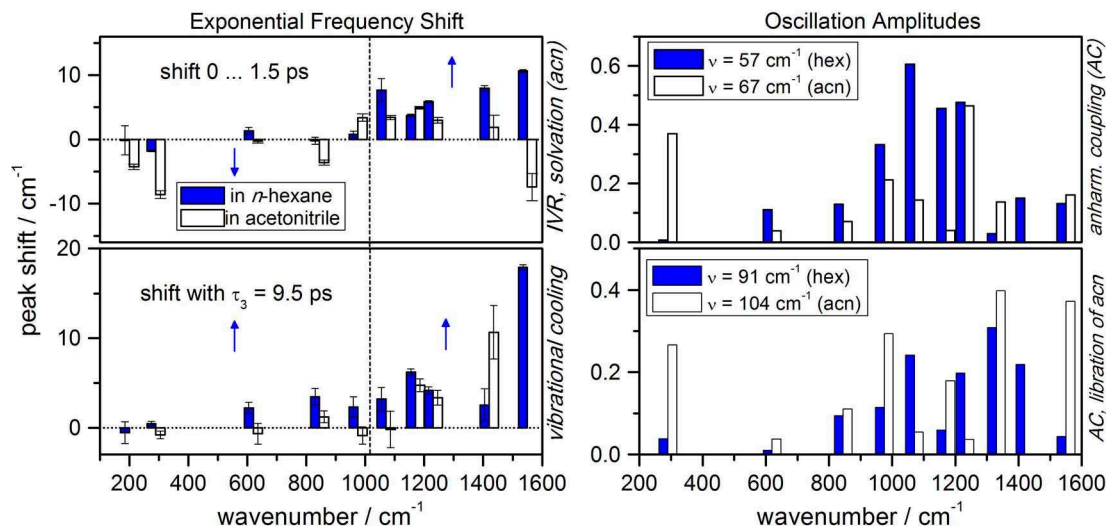




**Figure 4.12:** Time-dependent shift of representative transient Raman bands of *trans*-stilbene in *n*-hexane (blue) and in acetonitrile (green). For clearer presentation the acetonitrile data and the 190  $\text{cm}^{-1}$  trace for *n*-hexane solution were smoothed by a Sawitzky-Golay filter. For both solvents the shifts were fitted with three exponential functions (red) which reflect IVR (sub-picosecond) and cooling (9.5 ps), together with two oscillatory terms caused by anharmonic coupling to wavepacket motion.

ure 4.12.<sup>3</sup> It is immediately seen that a polar environment affects individual modes differently. The bands around 1175  $\text{cm}^{-1}$  ( $\nu_{15}$ ) and 1240  $\text{cm}^{-1}$  ( $\nu_{14}$ ) behave similarly in both solvents and exhibit an upshift with increasing delay. Other behavior is seen for the C=C stretching band  $\nu_8/\nu_9$  around 1550  $\text{cm}^{-1}$ : in *n*-hexane a strong upshift is observed and in acetonitrile a downshift. A similar divergence is found for the 192 and 289  $\text{cm}^{-1}$  bending modes ( $\nu_{25}$  and  $\nu_{24}$ , respectively). In addition oscillatory modulations

<sup>3</sup> Short and long-time measurements were recorded separately. The calibration precision for the absolute peak positions is estimated 1  $\text{cm}^{-1}$ . Fits in spectrally congested regions may show additional jitter for the absolute frequencies of up to 3  $\text{cm}^{-1}$ . These errors are systematic and do not affect relative changes within a single measurement. Spectral fits to a well defined band allow to monitor changes which are significantly below the pixel separation of the photodiode array.



**Figure 4.13:** Global fitting results for the time dependent peak-shifts of excited *trans*-stilbene (*cf.* Figure 4.12) in *n*-hexane (blue) and acetonitrile (white). *Left:* Oscillation-free shift during the first 1.5 ps (*top*) and long-time evolution (*bottom*, 9.5 ps component). The standard deviation of the residual up to 1.5 ps and 30 ps is given as error bars. The blue arrows illustrate the trends for the high- and low-frequency modes in *n*-hexane. *Right:* Amplitudes of the oscillations.

of the peak frequency are found within the first picoseconds. The shifts are therefore fitted with three exponential functions ( $\tau_1 = 0.2$  ps,  $\tau_2 = 0.6$  ps,  $\tau_3 = 9.5$  ps) and two damped oscillations ( $\nu_1 = 57/67$  cm $^{-1}$  and  $\nu_2 = 91/104$  cm $^{-1}$  in *n*-hexane/acetonitrile, respectively, with damping time  $\gamma = 0.6$  ps). Only the 190 cm $^{-1}$  band oscillates with its own frequency as already described in the analysis of Raman intensities. Here, the previously obtained parameters are applied. Due to the oscillations the sub-picosecond time-constants cannot be well distinguished. They were fixed in approximate agreement with the decay-times of the band integrals. The spectral shifts and oscillation amplitudes are compared in Figure 4.13.

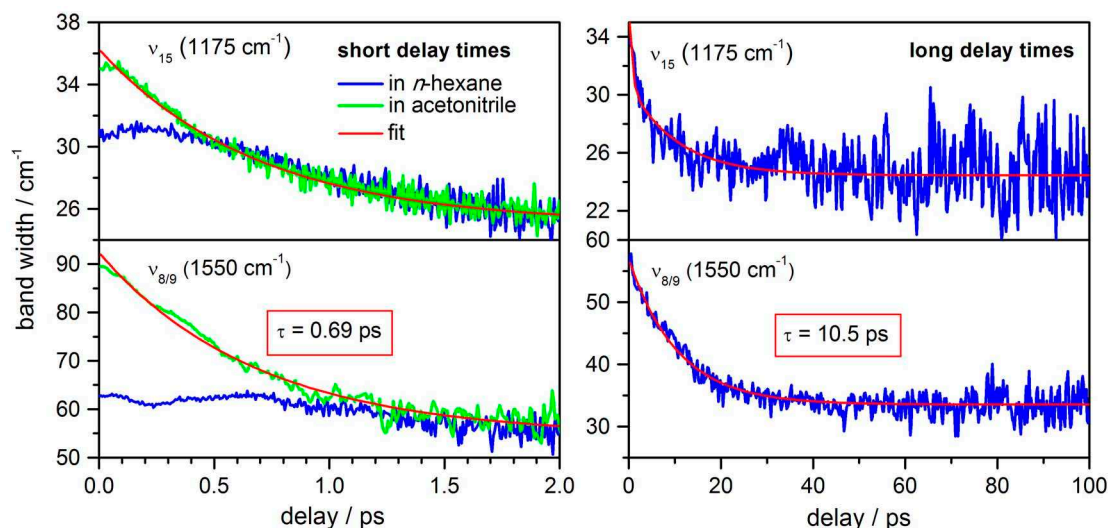
For a discussion of spectral shifts in *n*-hexane, consider relaxation on an anharmonic potential energy surface. On a Morse-like potential, a decline on the vibrational ladder leads to a shift of oscillation frequency to higher values. In the band integral discussion Franck-Condon active modes were located mainly in the spectral region  $> 1000$  cm $^{-1}$ . In accordance with the proposed model, an upshift is observed for these modes during sub-picosecond relaxation. In contrast, the low-frequency modes ( $< 1000$  cm $^{-1}$ ) gain excess vibrational energy during IVR and are expected, accordingly, to show a frequency downshift. Indeed, for some modes like  $\nu_{24}$  (289 cm $^{-1}$ ) such evolution is observed, but the effect is reduced by the large number of non-totally symmetric acceptor modes. Following the discussion of the band integral analysis, redistribution within a vibrational subset and equilibration over the complete vibrational manifold should be distinguishable by the peak shifts as well. The strong oscillations, however, prevent a clear separation

of the two processes, and the full shift during the first 1.5 ps is presented in Figure 4.13, instead. The band position could be further influenced by a gradual evolution along low-frequency modes; quantification of vibrational excitation requires anti-Stokes information from future experiments.

The influence of the polar acetonitrile environment is observed as a frequency downshift of the C=C stretching modes  $\nu_8/\nu_9$  (1550  $\text{cm}^{-1}$  band) and the phenyl bending modes  $\nu_{24}$  (291  $\text{cm}^{-1}$ ) and  $\nu_{25}$  (193  $\text{cm}^{-1}$ ). This additional relaxation process could indicate dynamic polar solvation. The excited chromophore is initially prepared in the solvation shell of the ground state, after which the solvent molecules reorient to stabilize a more polarized form of  $S_1$  stilbene. Calculations found elongation of the C=C bond lengths and a decrease of the  $C_{et}=C_{et}-C_\Phi$  angle upon  $S_1 \leftarrow S_0$  transition.<sup>[119]</sup> At early delay time the solvent shell may hinder oscillation along the new equilibrium geometry. Subsequent reorientation of the solvent molecules then allows a gradual relaxation of the potential minimum position for the affected modes. One may therefore conclude that the 0.69 ps component found in the band-integral analysis corresponds to dynamic solvation rather than to a separate IVR process. This component is most obviously identified for the low-frequency modes in Figure 4.12. The special role of the modes  $\nu_{25}$ ,  $\nu_{24}$  and  $\nu_8/\nu_9$  is further supported by a strong loss of Raman intensity during the 0.15 ps relaxation (Figure 4.8).

After IVR the molecule is internally equilibrated but still hot. Transfer of energy to the solvent (vibrational cooling) was reported to take place on a 10 ps time scale,<sup>[53,102–107]</sup> and for the 1570  $\text{cm}^{-1}$  mode ( $\nu_8$ ) a linear dependence of peak position and spectral width on temperature was confirmed.<sup>[105,131]</sup> The 9.5 ps shift in Figure 4.12 is in good agreement with this picture. In *n*-hexane all modes are upshifted in frequency as the full vibrational manifold loses excess energy to the solvent. Let us consider the different situation in acetonitrile. Like in IVR, the shifts of 1179  $\text{cm}^{-1}$  and 1240  $\text{cm}^{-1}$  bands exhibit analogous behavior in both solvents, while that of the 289  $\text{cm}^{-1}$  band seems to be reversed in acetonitrile. Here the position of the 1550  $\text{cm}^{-1}$  C=C stretching band cannot be determined with sufficient accuracy during the cooling process. However previous experiments found smaller shifts for this peak on the 10 ps time scale in acetonitrile than in *n*-hexane solution.<sup>[132,133]</sup> Within the proposed model these differences indicate that mixing with the zwitterionic state during solvation (0.69 ps) leads to a persistent modification of the potential energy surface along the main interacting modes.

Oscillatory frequency modulations are observed for most high-frequency bands even though the band integrals of the modes  $> 200 \text{ cm}^{-1}$  do not show similar variations. Modulation with 91 or 104  $\text{cm}^{-1}$  (*n*-hexane or acetonitrile) matches the frequency of the inverse Raman band which was tentatively assigned to a combination mode involving  $\nu_{37}$  ( $2\nu_{37}$  or  $\nu_{37} + \nu_{36}$ ). For this mode wavepacket motion was already directly identified by vibration of Raman intensity in Figure 4.10; here it is observed indirectly by anharmonic coupling to higher frequency modes. The strong anharmonicity of the  $\nu_{37}$  potential and its mixing to other modes further supports the assignment. Limited by Raman selection rules, the fundamental itself is not observed directly in the Raman spectrum. Following the evolution of the band positions, however, additional oscillations are seen with 57/67  $\text{cm}^{-1}$  which correspond well to the expected fundamental frequency of  $\nu_{37}$ .



**Figure 4.14:** Time-dependent spectral width of representative transient Raman bands of *trans*-stilbene in *n*-hexane (blue) and in acetonitrile (green).

The maximum amplitude for the  $57\text{ cm}^{-1}$  oscillation is found around  $1100\text{ cm}^{-1}$ , in the C-H bending region of the spectrum. The  $91\text{ cm}^{-1}$  modulation amplitude instead, appears to be associated with higher modes, where C=C stretching contributions are involved. These differences may result from a contribution of the  $\nu_{37} + \nu_{36}$  combination to the  $90\text{ cm}^{-1}$  vibration. A more sophisticated interpretation of the coupling strength requires quantum chemical calculations. The  $\nu_{37}$  and  $\nu_{36}$  modes describe a mixture of phenyl and ethylene torsion.<sup>[119]</sup> This motion can alter the electronic delocalization in the molecule and thus affect the curvature of the high-frequency modes. Here the different behavior of the  $192\text{ cm}^{-1}$  bending mode  $\nu_{25}$  should be stressed. For both, the  $90$  and the  $192\text{ cm}^{-1}$  mode, wavepacket motion is observed, but only the  $90\text{ cm}^{-1}$  mode couples efficiently to high-frequency vibrations. In this context it seems also unlikely that the  $90\text{ cm}^{-1}$  Raman signal is generated by difference frequency formation of  $\nu_{24}$  and  $\nu_{25}$ , giving further confidence to the proposed assignment.

In a polar environment the dynamics of the solvent should be taken into account. In transient absorption of a charge-transfer compound a coherent libration with  $100\text{ cm}^{-1}$  was found for acetonitrile.<sup>[134]</sup> The frequency is close to the value of  $104\text{ cm}^{-1}$  observed in the present experiment. Indeed, in acetonitrile the corresponding oscillation is significantly enhanced for the  $1550\text{ cm}^{-1}$  mode which feels the solvent influence strongest (see above). It may therefore be concluded that the  $104\text{ cm}^{-1}$  vibration reflects both coupling to internal low-frequency modes and to external libration of acetonitrile molecules.

### Band Widths

The time-dependent widths (full width at half maximum) for the  $1175$  and  $1550\text{ cm}^{-1}$  bands are shown in Figure 4.14. The signal is broad at early delay times and narrows

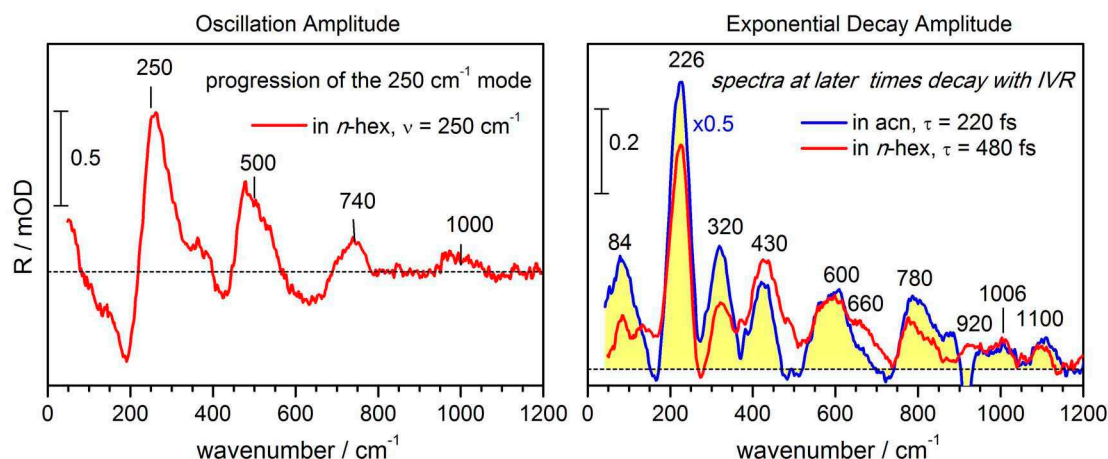
as the molecule cools down (shown for *n*-hexane solution only). An exponential fit yields a characteristic time-constant of 10.5 ps. The C=C stretching bandwidth at long delay times ( $34\text{ cm}^{-1}$ ) is somewhat higher than values reported in the literature ( $19\text{--}29\text{ cm}^{-1}$ ).<sup>[106,108]</sup> The determined widths depend on the instrumental function and the decomposition of the bands. Therefore the absolute values may be subject to systematic error; the temporal changes, however, reproduce the reported cooling dynamics well.

During the first picosecond, the band-width behavior in *n*-hexane solution is reversed: the bands directly after excitation are narrow and broaden on the time scale of IVR. Due to the limited bandwidth of the pump pulse, at the beginning the energy is concentrated in only few vibrational levels and the ensemble is prepared in a narrow energy distribution. IVR leads to a broader Boltzmann distribution where the energy is equilibrated over the complete vibrational manifold. The process is thus accompanied by inhomogeneous broadening of the bands. In acetonitrile this fast evolution is masked by additional broadening at initial delay times. The 0.69 ps exponential decay of the spectral width is in agreement with the dynamic solvation time. After excitation the random orientation of the polar solvent gives rise to additional inhomogeneous broadening. If during solvation an excited stilbene molecule is stabilized in the polarized state, then this leads to a strong ordering of the solvent shell and a concomitant narrowing of bands.

#### 4.4.2 *cis*-Stilbene

##### Spectral Evolution

Decomposition of the spectral evolution into underlying spectra is accomplished by a global fit. Each single frequency trace is described by the sum of one exponential function and two oscillations, resulting in the following fit parameters: decay time  $\tau = 0.48$  (0.2) ps, oscillation frequencies  $\nu_1 = 70$  (70)  $\text{cm}^{-1}$  and  $\nu_2 = 250$  (264)  $\text{cm}^{-1}$  with damping times  $\gamma_1 = 0.07$  (0.07) ps and  $\gamma_2 = 0.13$  (0.08) ps in *n*-hexane (acetonitrile). The respective amplitude spectra are shown in Figure 4.15. The strongly damped  $70\text{ cm}^{-1}$  oscillation (not presented in the figure) phenomenologically models the ultrafast spectral changes during the first 0.15 ps. The uniform decay thereafter plays out on the same time scale as IVR in *trans*-stilbene. With *cis*-stilbene it is not possible to distinguish two successive relaxation regimes (remember, 0.33 and 0.92 ps for *trans*-stilbene in *n*-hexane), but the 0.48 ps decay time may be interpreted to describe the average IVR behavior corresponding to the correlation time  $\langle\tau\rangle$  in Table 4.2. Similarly, the 0.2 ps decay in acetonitrile approximately matches the corresponding time constant in *trans*-stilbene (0.15 ps); the 0.69 ps solvation dynamics is not resolved here. In contrast to *trans*-stilbene, the *cis*-stilbene signal completely vanishes on the time scale of IVR, and no equilibrium spectrum could be obtained. As before, Raman induced population depletion an mechanism for the initial intensity decay, alternative to IVR and solvation. The contribution of this process to the total signal is difficult to estimate on the basis of the current results.



**Figure 4.15:** Global analysis of the spectral evolution of *cis*-stilbene in acetonitrile (blue) and *n*-hexane (red) after excitation with 280 nm. Each time trace was fitted to one exponential function and two oscillations convoluted with a Gaussian response function. The spectrum associated with the 250 cm<sup>-1</sup> oscillation is shown to the left, the decay associated spectra are given on the right.

### Raman Band Shapes and Resonance Conditions

Resonance conditions need to be taken into account for a discussion of the *cis*-stilbene Raman signal. At 620 nm, the Raman pulse is resonant with both  $S_n \leftarrow S_1$  excited state absorption and  $S_1 \rightarrow S_0$  stimulated emission. Therefore the FSRR spectra of excited *cis*-stilbene may be affected by all 12 optical processes represented by the diagrams in Figure 2.7, top. The relative contributions of each pathway is determined by the detuning of the Raman pulse from the involved transitions. The simulation of the Raman signal in Section 2.4.2 suggests that sequences with mixed transitions (Figure 2.7, blue/yellow) play only a minor role, so that the discussion is focused here on the influence of pathways involving solely  $S_n-S_1$  (blue in Figure 2.7) or  $S_1-S_0$  (yellow in Figure 2.7) transitions.

In the transient absorption spectrum around 620 nm, the stimulated emission is buried under a much stronger excited state absorption, and one may expect also stimulated Raman spectra to be dominated by  $S_n-S_1$  resonance. In the limit that the influence of transitions to the ground state is negligible, the same situation as in *trans*-stilbene is encountered, *i.e.* emissive Raman bands are observed at the positions of  $S_1$  state vibrational frequencies. In agreement with this expectation, the Raman signal in Figures 4.5 and 4.6 is mainly positive (emissive). Vibrational relaxation does not complete within the measured time window, but at least at later times spectra should be dominated by the  $R_{3e}^A$  pathway, whereas directly after excitation also the  $R_{4e}^B$  pathway may contribute as well. The shape of Raman signals with a *B*-type interaction sequence is sensitive to the Raman detuning (see Section 2.4.1). In this context a contribution of  $R_{4e}^B$  could explain the dispersive shape observed in *n*-hexane at earliest delay times.

For  $S_1-S_0$  resonance the simulation indicates Raman absorption (negative bands) at

the positions of the ground state vibrational frequencies. A clear signature of such ground state Raman features is absent in the transient spectra in Figures 4.5 and 4.6, but a contribution of these pathways can not be completely excluded here. In particular, dispersive band shapes, similar to those observed for *cis*-stilbene in *n*-hexane, were found for bacteriorhodopsin as well, and there assigned to the *hot luminescence* pathways  $R_{5e}^B$  and  $R_{6e}^A$ .

### Changes within the first 0.15 ps

The ultrafast spectral evolution can be characterized by the initial spectrum at 50 fs delay and the decay-associated spectrum ( $\tau = 0.48$  or 0.2 ps, respectively). The band positions are compared in Table 4.3 to results obtained from picosecond spontaneous Raman measurements on *cis*-stilbene in *n*-hexane<sup>[111]</sup>. The spontaneous Raman signal is dominated by the  $R_{3e}^A$  process (see Section 2.4.2). Since the experiment has only picosecond time resolution it integrates over the evolution in *cis*-stilbene. Compared with the present measurements, the spontaneous Raman spectrum thus comprises contributions from both, the initial spectrum (at 50 fs) and the spectrum after the early evolution is complete (spectrum associated with  $\tau = 0.48$  ps). In particular, the strong bands at 226 and 252  $\text{cm}^{-1}$  are, within precision, both found in the literature spectrum. The composition of the spontaneous Raman signal will depend on the temporal structure of the picosecond Raman pulse and its overlap with the pump pulse. Consistently, in a previous publication a spectrum was reported that resembles better the signal observed here at early times.<sup>[112]</sup> The agreement of results from stimulated and spontaneous Raman scattering corroborates that the  $R_{3e}^A$  pathway is the dominant contribution to the signal. The comparison further shows that the ultrafast changes originate from a relaxation process of the excited molecule, and not from coherent  $\chi^{(5)}$  interaction of pump, Raman and probe pulses during the cross-correlation time. Note that similar ultrafast changes are observed for the 192  $\text{cm}^{-1}$  mode in *trans*-stilbene.

The spectral changes during the first 0.15 ps can be interpreted in two ways: either a shift of the main bands of a single spectrum is observed, as described for the *trans*-stilbene high-frequency modes, or two spectra interconvert, which differ from each other. In the present experiment it is not possible to distinguish between the two variants directly. However the spectrum at late time exhibits only positive bands of approximately Gaussian shape. The absence of dispersive contributions suggests that resonance conditions change during relaxation. Within this model, the existence of two interconverting spectra seems natural; they originate from the same electronic state  $S_1$ , but differ in spectral shape and the relative enhancement of vibrational modes.

Raman peak positions can be well correlated to the calculations of ref. 111. The 250  $\text{cm}^{-1}$  band (270  $\text{cm}^{-1}$  in acetonitrile) is assigned to the ethylenic torsion mode  $\nu_{34}$ , the 226  $\text{cm}^{-1}$  band to the phenylic torsion mode  $\nu_{35}$  (Table 4.3). In ref. 100 the 252  $\text{cm}^{-1}$  band was attributed to  $\nu_{33}$ , instead. In the present experiment, however, in the decay-associated spectrum also a band at 320  $\text{cm}^{-1}$  is resolved and assigned to this mode. In addition for the low-frequency modes at 45-60  $\text{cm}^{-1}$  and 84  $\text{cm}^{-1}$ , counterparts are found in the calculated spectrum ( $\nu_{37}$  and  $\nu_{36}$ , respectively).



**Table 4.3:** Transient Raman peak positions of *cis*-stilbene in acetonitrile and in *n*-hexane, comparison to ps-measurements, and mode assignment. The spectrum at 50 fs delay, the spectral distribution of the 250 cm<sup>-1</sup> oscillation and the exponentially decaying component ( $\tau = 0.48$  ps, obtained from a global data analysis) are given. The progression of  $\nu_{34}$  is marked grey. In acetonitrile the decay associated spectrum ( $\tau = 0.2$  ps, *cf.* Figure 4.15) is similar to that in *n*-hexane.

Raman band positions / cm <sup>-1</sup>						Assignment <sup>b</sup>	
at t = 50 fs acn	50 fs <i>n</i> -hex	250 cm <sup>-1</sup> <i>n</i> -hex	osc. $\tau = 0.48$ ps <i>n</i> -hex	ps-measurement <sup>a</sup> <i>n</i> -hex	calculated <sup>b</sup>	mode	motion
~ 45	60		84	226	48	$\nu_{37}$	C <sub>et</sub> -C <sub>Φ</sub> torsion
					71	$\nu_{36}$	C <sub>et</sub> =C <sub>et</sub> torsion
					181	$\nu_{35}$	C <sub>et</sub> -C <sub>Φ</sub> torsion
270	252	250	229	252	255	$\nu_{34}$	C <sub>et</sub> =C <sub>et</sub> torsion
			320		325	$\nu_{33}$	C <sub>et</sub> =C <sub>et</sub> torsion
			430		418	$\nu_{32}$	
465	480			457	471	$\nu_{31}$	
		500			510	$2\nu_{34}$	
525	525			520	519	$\nu_{30}$	
			600		597	$\nu_{29}$	
680			660		662	$\nu_{28}$	
	724			709	731	$\nu_{27}$	
		740		755	765	$3\nu_{34}$	
783	765		780		756	$\nu_{26}$	
					813	$\nu_{25}$	
875					839	$\nu_{24}$	
			920		900	$\nu_{23}$	
					957	$\nu_{22}$	
1000	1000	1000	1006		1020	$4\nu_{34}$	
			1100				

<sup>a</sup>from ref. 111. Experimental conditions: 267 nm excitation, 630 nm Raman wavelength, spontaneous Raman experiment with ps-resolution. <sup>b</sup>from ref. 111. CIS calculations with a 6-31+G(d) basis set. Harmonics of  $\nu_{34}$  were calculated as integer multiples of the fundamental frequency.



### Intensity Oscillations

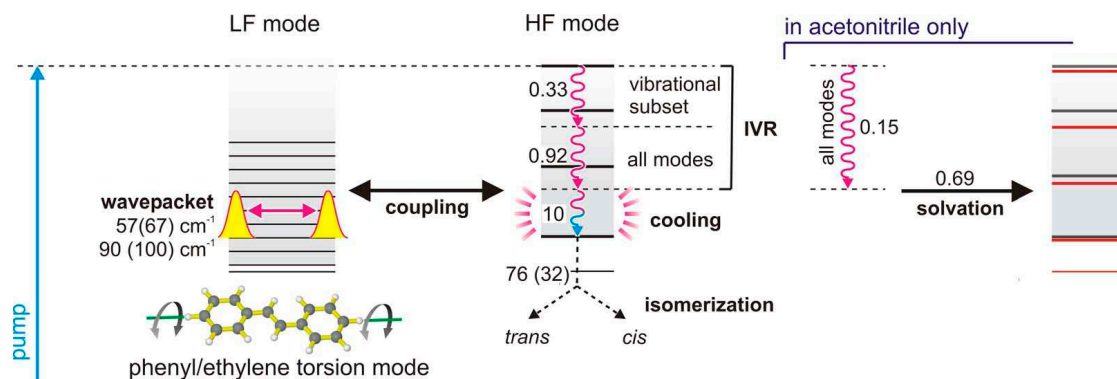
The spectral distribution of the  $250\text{ cm}^{-1}$  oscillation in *n*-hexane is shown in Figure 4.15 (left). Strikingly, the largest amplitude is observed for the  $250\text{ cm}^{-1}$  band itself. In agreement with the upshifted band in acetonitrile, the oscillation is there observed with  $264\text{ cm}^{-1}$ . It is concluded that the oscillatory modulation derives from wavepacket motion along the potential of this mode. In time-resolved pump-depletion spectroscopy, a strongly Franck-Condon active mode was seen in the same spectral region and its evolution followed in time.<sup>[100]</sup> Returning to the observations in the present work, a Raman progression of  $\nu_{34}$  up to the 4<sup>th</sup> harmonic is visible in the oscillation spectrum (Figure 4.15) indicating strong displacement in the resonant state. A similar conclusion was drawn from ps-Raman measurements although there definite identification of the features was hindered by congestion with other bands.<sup>[111,112]</sup> Note also that in the pump-depletion experiment mentioned above, the time-domain vibrational signal was generated by dumping population to the ground state, thereby creating a hole in  $S_1$  which oscillates. As a consequence, the experiment follows the evolution of modes that are Franck-Condon active between  $S_0$  and  $S_1$  states exclusively. In contrast, Raman spectroscopy as presented here is also sensitive to modes that are enhanced by resonance with higher electronic states. The stimulated Raman spectra seem to be dominated by this contribution, at least at later delay times.

Finally for this section it is emphasized that the changes observed here only reflect relaxation towards the local  $S_1$  minimum. The existence of an excited-state barrier is confirmed by the well-defined fluorescence spectrum (Figure 4.2). The motion over the barrier towards isomerization is not seen here.

## 4.5 Conclusions

The relaxation pathways proposed for *trans*-stilbene are illustrated in Figure 4.16, the observed changes for both isomers are summarized in Table 4.4. The following points are concluded:

(1) After excitation intramolecular vibrational redistribution (IVR) takes place which is seen as subpicosecond decay of Raman intensity, shift of spectral band position, and broadening of the Raman signal. These changes are observed with a correlation time of 0.5–0.7 ps for both isomers. For *trans*-stilbene a detailed analysis of the intensity decay further allows to distinguish two processes which may be described with a biexponential fit ( $\tau_1 = 0.33\text{ ps}$ ,  $\tau_2 = 0.92\text{ ps}$ ). It is suggested that at early delay times, vibrational energy is redistributed within a subset of modes which is probably governed by symmetry constraints. Increased intensity loss for individual bands, mainly situated in the spectral region  $> 1000\text{ cm}^{-1}$  may be assigned to this process. A subsequent uniform decay of the transient spectrum with 0.92 ps is then interpreted as equilibration over the full vibrational manifold. The energy drain from Franck-Condon active modes is observed as an upshift of high-frequency modes  $> 1000\text{ cm}^{-1}$ . In agreement with the polarization exchange model acetonitrile may facilitate symmetry breakage and accel-



**Figure 4.16:** *trans*-stilbene relaxation pathways after excitation at 280 nm (blue arrow). Time constants are given in ps. In *n*-hexane, sequential IVR (intramolecular vibrational redistribution) takes place with 0.33 and 0.92 ps. In acetonitrile only one process with 0.15 ps is found. The molecule vibrationally cools with 10 ps and isomerizes with 76 ps (32 ps in acetonitrile). High-frequency modes are coupled to low-frequency phenyl torsion modes and are modulated with their frequency. In acetonitrile dynamic solvation modifies the potential with 0.69 ps.

erates IVR to monoexponential evolution with about 0.15 ps. As an alternative model for early decay of Raman signals in Chapter 6 population loss due to depletion by the Raman pulse is suggested. Raman measurements on flavin indicate a dependence of the initial intensity loss on the measurement parameters, but the peak positions appear to be unaffected. A clear assignment of the observed effects requires further experiments.

(2) Wavepacket motion modulates the amplitude and peak position of low-frequency Raman bands in both isomers. For *trans*-stilbene in *n*-hexane (acetonitrile), the 90(100)  $\text{cm}^{-1}$  and the 192(193)  $\text{cm}^{-1}$  bands vibrate with their own frequencies. For *cis*-stilbene oscillations with 250(264)  $\text{cm}^{-1}$  reveal a spectral progression of the corresponding torsional mode up to the fourth harmonic.

(3) Anharmonic coupling, the driving force of IVR, shows up as oscillations of *trans*-stilbene peak frequencies. Wavepacket motion along the 90(100)  $\text{cm}^{-1}$  and a 57(67)  $\text{cm}^{-1}$  mode modulate the C-H bending and C=C stretching potentials. These low-frequency modes are assigned to the phenyl/ethylene torsion vibration  $\nu_{37}$  and its combination modes  $2\nu_{37}/\nu_{37} + \nu_{36}$ .

(4) Decay and shift of the 90(100)  $\text{cm}^{-1}$  band during the first 0.25 ps suggests torsional changes during IVR.

(5) Dynamic solvation by acetonitrile gives rise to additional band shift and narrowing in *trans*-stilbene with a time constant of 0.69 ps. Affected are mainly the 1550  $\text{cm}^{-1}$  ethylenic stretch  $\nu_8/\nu_9$  and the 291  $\text{cm}^{-1}$  and 193  $\text{cm}^{-1}$  bending modes ( $\nu_{24}$  and  $\nu_{25}$ ,

respectively). It is proposed that the adaption of the excited state geometry is hindered along these modes and follows the reorientation of the solvent shell. An increased  $100\text{ cm}^{-1}$  oscillatory component for the C=C stretching band in acetonitrile may be attributed with coherent libration of solvent molecules.

(6) Cooling of the vibrationally equilibrated molecules expresses itself in *trans*-stilbene as further shifts in peak position and spectral narrowing on a time scale of 10 ps.

(7) Ultrafast spectral changes on the 0.1 ps time scale are found in the low-frequency regions of *cis*- and *trans*-stilbene. They may either reflect a change of resonance enhancement for different vibrational modes or a strong spectral shift of a single vibration during relaxation. Global analysis allows temporal decomposition of the  $S_1$  spectral evolution.

In future, comparison to anti-Stokes spectra should help quantify the vibrational population flow, thus completing the picture of relaxation at earliest times.<sup>[135–137]</sup> To avoid ambiguity, it is necessary to exclude potential effects from population depletion by the Raman pulse. The present experiment is limited to following the relaxation to the excited state minimum. The involved processes are expected to play a crucial role for the subsequent isomerization. Frequency doubling of the Raman pulses will allow to monitor evolution beyond the isomerization barrier and will provide a unified view of stilbene photophysics. On this basis, shaped pulses could be designed that efficiently drive population through a specific isomerization path.

**Table 4.4:** Proposed relaxation processes for *cis*- and *trans*-stilbene in *n*-hexane and acetonitrile excited with 280 nm; as derived from observed changes.

Process	Observed Changes			Parameters	
	Intensity	frequency	width	in <i>n</i> -hex	in acn
<b>IVR</b>					
<i>trans</i>	———— change of the 192 cm <sup>-1</sup> band ( $\nu_{25}$ ) —————			$\tau < 0.1$ ps	$\tau < 0.1$ ps
	preferential decay of hf bands	upshift of hf, downshift of lf bands	broadening	$\tau_1 = 0.33$ ps,	
	decay	—	broadening	$\tau_2 = 0.92$ ps	$\tau = 0.15$ ps
<i>cis</i>	———— change of the 250 cm <sup>-1</sup> band ( $\nu_{34}$ ) —————			$\tau \sim 0.1$ ps	$\tau \sim 0.1$ ps
	decay			$\tau = 0.48$ ps	$\tau = 0.2$ ps
<b>solvation</b>					
<i>trans</i>	decay	downshift of $\nu$ (C=C) and C=C- $\Phi$ bending modes $\nu_8$ , $\nu_{24}$ and $\nu_{25}$	narrowing		$\tau = 0.69$ ps
<b>vibr. cooling</b>					
<i>trans</i>		upshift of all bands (in <i>n</i> -hexane)	narrowing	$\tau = 10$ ps	$\tau = 10$ ps
<b>wavep. motion</b>					
<i>trans</i>		osc. of hf bands		$\nu = 57$ cm <sup>-1</sup>	$\nu = 67$ cm <sup>-1</sup>
	osc. of 90 cm <sup>-1</sup> band	osc. of hf-bands		$\nu = 90$ cm <sup>-1</sup>	$\nu = 100$ cm <sup>-1</sup>
	osc. of 200 cm <sup>-1</sup> band	osc. of 200 cm <sup>-1</sup> band		$\nu = 195$ cm <sup>-1</sup>	$\nu = 206$ cm <sup>-1</sup>
<i>cis</i>	osc. of $\nu_{34}$ progression	osc. of 250 cm <sup>-1</sup> band ( $\nu_{34}$ )		$\nu = 250$ cm <sup>-1</sup>	$\nu = 264$ cm <sup>-1</sup>
<b>isomerization</b>					
<i>trans</i>	decay			$\tau = 76$ ps	$\tau = 32$ ps

# 5 Structural Changes upon Internal Conversion of a “Parent Cyanine”

## 5.1 Introduction

In the previous chapter the relaxation of stilbene on the excited-state potential energy surface was studied in detail. Due to resonance conditions, however, the isomerization reaction itself could not be followed. In this chapter transient Raman measurements are extended beyond the isomerization barrier for another aromatic dye, 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC), whose structure is shown as an inset to Figure 5.1. This molecule has not been studied by ultrafast spectroscopy, before, even though it can be regarded as the “parent molecule” for the important family of cyanine dyes.

Previous experiments on thiazole orange<sup>[138]</sup> and calculations<sup>[139]</sup> suggested that the photoreaction is initiated by a stretch and conrotatory twisting, followed by an asymmetric distortion. It was proposed that conversion to the ground state occurs from a perpendicular structure, which carries charge-transfer character.

A current application of cyanines is the use as indicator dyes for DNA hybridization: the chromophore is inserted into the helix in place of a nucleobase. Binding to a complementary strand reduces the free volume of the dye and prevents the isomerization. As a consequence, the fluorescence quantum yield increases by orders of magnitude. To draw conclusions about the nature of the pairing event itself, it is crucial to acquire detailed information about the isomerization event.

Here the reaction is studied by a combination of femtosecond absorption, fluorescence and stimulated Raman spectroscopy. Decay and shift of the stimulated emission reports on the relaxation in the excited state. Internal  $S_1 \rightarrow S_0$  conversion is identified by a rising signature of the hot ground state. Transient Raman spectroscopy is used to obtain vibrational spectra of the educt, intermediate and product states.

## 5.2 Materials and Methods

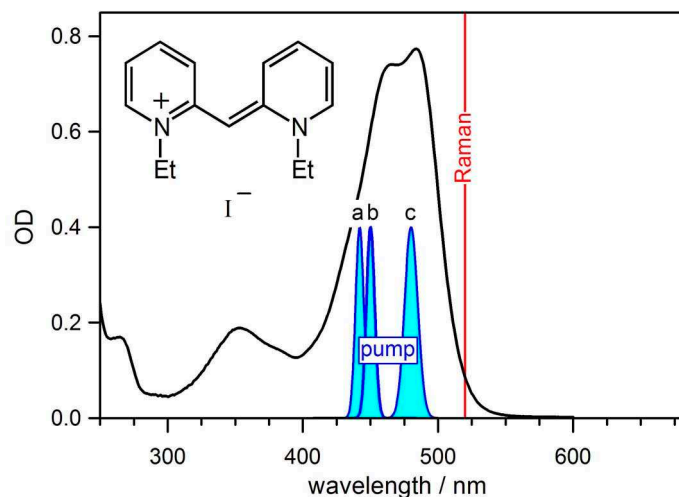
### 5.2.1 Synthesis and Characterization

*Synthesis.* 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC) was synthesized and characterized by Matthias Pfaffe in cooperation with Rainer Mahrwald.<sup>1</sup>

Briefly, a concentrated solution of 2-iodopyridine ethiodide and  $\alpha$ -picoline ethiodide in *n*-propanol was treated with triethylamine, yielding a deeply colored product<sup>[27,140]</sup>

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<sup>1</sup>Department of Chemistry, Humboldt-Universität zu Berlin, Brook-Taylor-Str. 2, 12489 Berlin, Germany.



**Figure 5.1:** Stationary absorption spectrum of 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC) in methanol, at the concentration and optical path length used in the femtosecond transient absorption measurements. The power spectra of the actinic pump pulses are also shown in blue (a – transient absorption, b – fluorescence upconversion, c – FSRs). The red line indicates the wavelength of the Raman pulse.

that was purified by column chromatography (chloroform/ethanol 4:1). The obtained substance was identified as 1,1'-diethyl-2,2'-pyrido cyanine iodide by its optical absorption spectrum and its decomposition into the 2,2'-bispyridinium salt, which could be characterized by X-ray diffraction.

The stationary absorption spectrum of PC in methanol is shown in Figure 5.1 for the concentration and optical pathlength used in the transient-absorption experiments (typically,  $OD_{max} = 0.8$  over  $350\ \mu\text{m}$ ). At such high concentrations, association leads to a 4% deviation from the Lambert-Beer law at the absorption peak. For excitation with  $\lambda_{pump} > 440\ \text{nm}$  the contribution of excited associated molecules is negligible. The solvent for all measurements reported here was methanol (Merck UVASOL).

## 5.2.2 Transient Absorption

Broadband transient absorption spectra were recorded with optical pumping at 442 nm ( $0.5\ \mu\text{J}$ , a in Figure 5.1). A broad spectral range was probed with the supercontinuum setup based on the FEMTOLASERS *sPro* system (Chapter 3); the maximal bleach signal was  $\Delta OD_{max} = -0.160$ . A pump-probe intensity cross-correlation time of 86 fs (fwhm) was determined from the coherent spike in solvent measurements.

### 5.2.3 Fluorescence Upconversion

Time-dependent fluorescence spectra of PC in methanol were provided by Mohsen Sajadi.<sup>2</sup> Measurements were performed with the broadband fluorescence upconversion setup described in the experimental chapter. The sample solution was excited with 40 fs, 450 nm pulses (0.6  $\mu$ J, b in 5.1). The absorbance over 0.4 mm internal path length was adjusted to  $\sim 0.6$  at the absorption peak. Photometric calibration and time correction was performed with several dyes and dye mixtures. As a result, transient fluorescence photon distributions over visible wavelengths were obtained with 132 fs time resolution (fwhm of apparatus function). They were smoothed with a Gaussian filter to a spectral resolution of 4.7 nm (fwhm) and transformed into the corresponding spectra for stimulated emission.

### 5.2.4 Femtosecond Stimulated Raman Scattering (FSRS)

Time-dependent Stokes-shifted Raman spectra of PC in methanol were obtained with the Raman setup described in Chapter 3. Transient measurements were carried out by exciting the sample at 480 nm with 0.6  $\mu$ J (c in 5.1). Narrowband Raman pump pulses at 520 nm (0.5  $\mu$ J, red line in Figure 5.1) were generated with the *nb*-OPA from Section 3.5.1. Spectral integration of the background gives a fifth-order intensity correlation function from which a time resolution of 70 fs (fwhm) is inferred.

The spectral resolution is 12  $\text{cm}^{-1}$  (fwhm). To cover the full evolution, measurements were recorded in time steps of 6 and 100 fs. For presentation of the transient spectra in Figure 5.7 a 5-point (30 fs/500 fs) moving average filter in time was applied.

As shown in Figure 5.2 the ground-state Raman spectrum of PC in solution contains a methanol contribution (dashed red) with strong bands at 1034, 1109, and 1454  $\text{cm}^{-1}$ . The methanol spectrum was measured separately and subtracted to give the pure PC Raman spectrum (Figure 5.2, bottom).

### 5.2.5 Quantum-chemical Calculations

Quantum-chemical calculations were provided by Fabrizio Santoro,<sup>3</sup> Roberto Improta,<sup>4</sup> and Vincenzo Barone.<sup>5</sup>

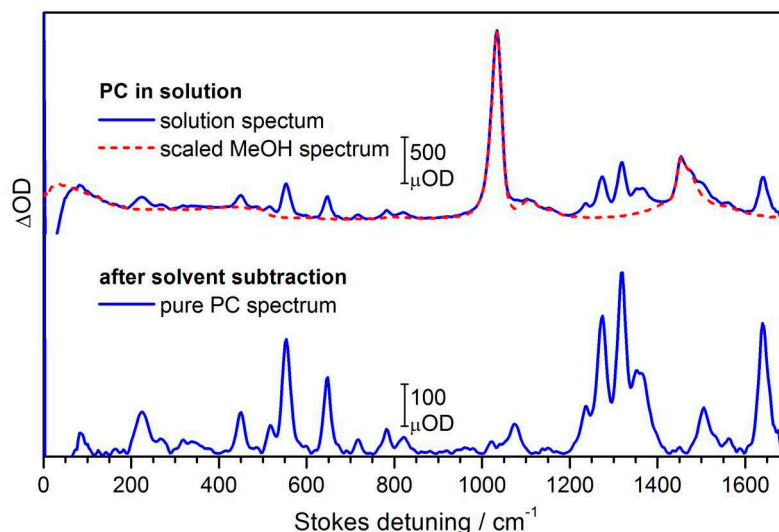
As a model for PC, the homologous 1,1'-dimethyl-2,2'-pyridocyanine (Me-PC) was studied on the DFT and TD-DFT (for electronic excited states) levels of theory. Two sets of functionals were used: (i) B3LYP, which gives accurate results for valence transitions without charge-transfer character, and (ii) long-range corrected CAM-B3LYP to treat charge-transfer effects.<sup>[141,142]</sup> Solvent effects were included through the Polarizable

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**Figure 5.2:** Ground-state Raman spectrum of PC in MeOH (blue, top). Removal of the solvent spectral features (dashed red) gives the pure cyanine spectrum (bottom).

Continuum Model (PCM),<sup>[143]</sup> with both a standard linear response (LR) and a state specific (SS) implementation.<sup>[144,145]</sup>

For optical absorption and resonance Raman calculations of all isomers Herzberg Teller effects were neglected, and the normal modes and frequencies of  $S_1$  were supposed to be equal to those of  $S_0$ . Geometry displacements were obtained from a suitably constrained  $S_1$  optimisation or derived from the  $S_1$  potential energy surface gradient at the equilibrium geometry of  $S_0$ . Harmonic frequencies were scaled by a factor of 0.9614.<sup>[146–148]</sup> Duschinsky effects were included by the recently developed method FCclasses.<sup>[149]</sup>

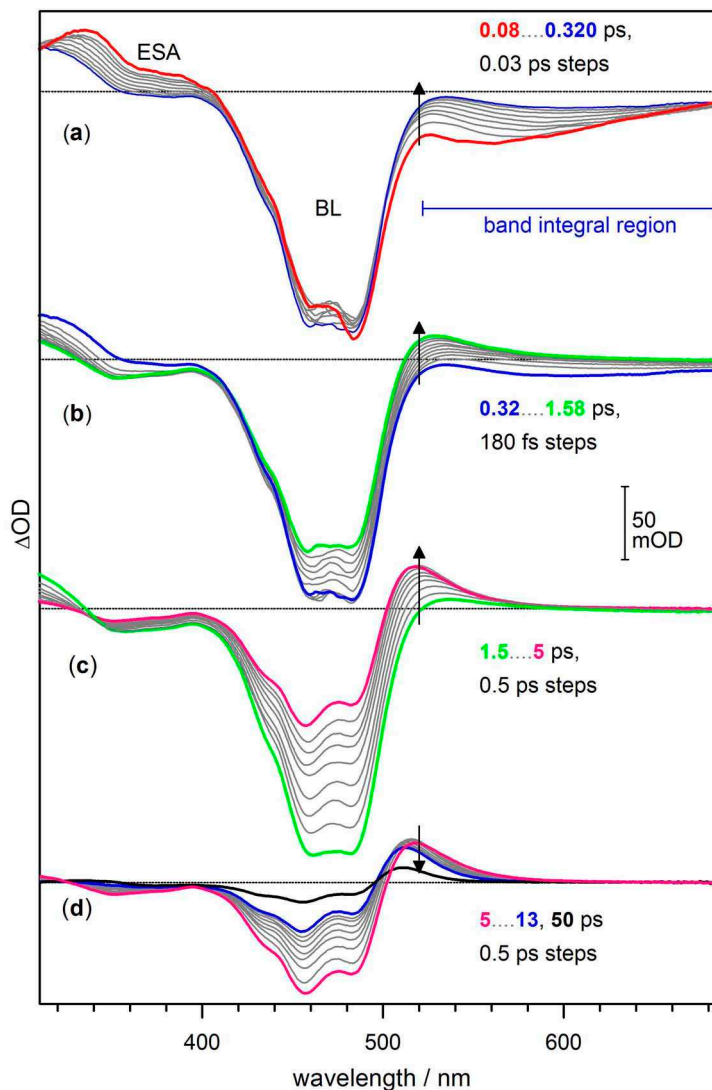
## 5.3 Results and Discussion

### 5.3.1 Transient Absorption

The spectral evolution of PC in methanol is shown in Figure 5.3. Immediately after excitation at 442 nm (Figure 5.3 a) one observes stimulated emission (SE) in the fluorescence region. The emission band shifts to the red and broadens within  $\sim 300$  fs. During this time the bleach stays constant. From about 300 fs to 1.5 ps (Figure 5.3 b) the SE band disappears, *i.e.* the induced optical density turns positive in the fluorescence region; at the same time the bleach begins to decay. These observations are consistent with internal conversion to a hot electronic ground state for which the absorption lobe around 525 nm is characteristic. The latter grows to a maximum around 5 ps (panel c). Thereafter, slow decay (d) indicates vibrational cooling. At long delay times (black in panel d) an offset spectrum stays, which can be assigned to partial isomerization to the *trans* form.

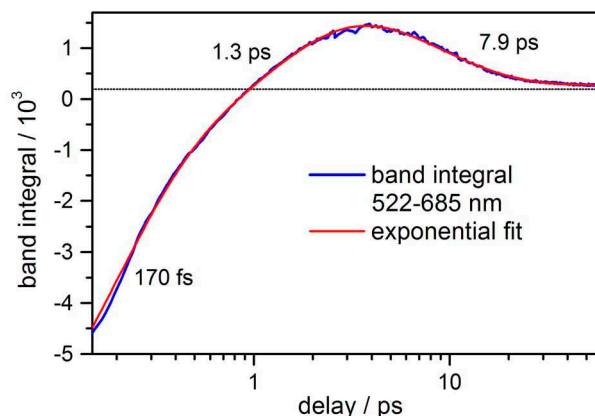
The dynamics of the evolution is captured by the band integral (see Section 2.2.2)





**Figure 5.3:** Transient absorption (TA) spectra of DP in methanol after excitation at 442 nm (a–d). The time resolution was 86 fs (fwhm of apparatus function) in this case. BL – bleached absorption, SE – stimulated emission, ESA – excited-state absorption. Arrows indicate the spectral evolution, and also the wavelength of the Raman pump in the FSRS experiments.

over the spectral region 522–685 nm; the resulting trace is shown in Figure 5.4. A multi-exponential fit (red, see Section 3.6 for the procedure) finds time constants of 170 fs, 1.3 ps, and 7.9 ps. Note that the band integral does not cover the full stimulated emission, which extends further to the red beyond the measured spectral window. Hence, the short-time rise of the transient absorption band integral reflects both internal conversion to  $S_0$  and a dynamic Stokes of the stimulated emission band. Population transfer to the hot



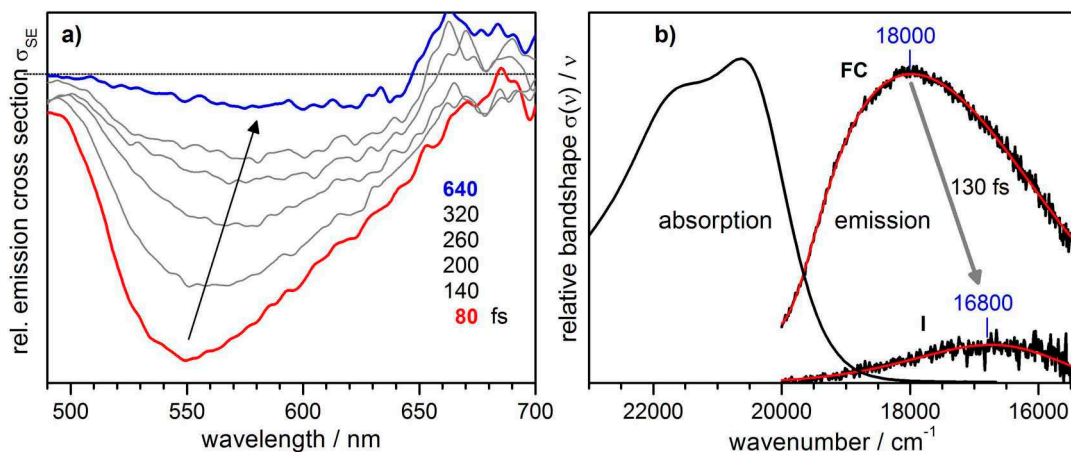
**Figure 5.4:** Time-dependent band integral of the transient absorption of PC over the spectral region 522–685 nm (blue bar in Figure 5.3). A multi-exponential fit is given in red; the obtained time constants are indicated.

ground state is seen here as a rise of the band integral above the zero level. Vibrational cooling is reflected by a subsequent decay of the band integral with 7.9 ps. This time constant is close to the 10 ps evolution found in the previous section for the cooling of stilbene.

### 5.3.2 Transient Fluorescence Upconversion

Time-resolved fluorescence allows to monitor the excited-state evolution, free from contributions of bleach and excited state absorption. Emission spectra for delay times from 80 to 640 fs are shown in Figure 5.5 a. To characterize the decay and spectral shift, all time traces are fitted globally to a sum of exponentials convoluted with the apparatus function. Two time constants of  $\tau_1 = 130$  fs and  $\tau_2 = 880$  fs are needed for the description. The decay times are slightly shorter than in transient absorption, since the global fit captures not only internal conversion, but also the fluorescence band shift due to relaxation on the excited state potential. The global fit may be recast into a kinetic scheme involving an initial Franck-Condon state FC which relaxes ( $\tau_1 = 130$  fs) into an intermediate excited state I, which in turn decays ( $\tau_2 = 880$  fs) to zero. Band shape<sup>6</sup> spectra associated with FC and I are compared in Figure 5.5 b to the stationary absorption band shape. Directly after excitation, the emission spectrum is approximately mirror-symmetric to the first absorption band, but it lacks vibrational structure and is slightly broader. With 130 fs the band shifts by  $1200\text{ cm}^{-1}$  to the red; the formation of the intermediate I is accompanied by a strong intensity loss ( $\sim 88\%$ ). The transient absorption bleach stays constant on this time scale, indicating that the signal decay is not related to a return of population to the ground state. Similar evolution was seen also for thiazole orange,<sup>[138]</sup> and there assigned to a loss of oscillator strength for the  $S_1 \rightarrow S_0$  transition due to conrotatory distortion of the molecule. Details about the relaxation in

<sup>6</sup>see Section 2.2.2 for the definition of the band shape.



**Figure 5.5:** a: Time-resolved fluorescence, presented as emission cross-section spectra  $\sigma_{SE}$ . b: band shapes  $\sigma(\nu)/\nu$  of the species associated spectra for a virtual kinetic sequence  $\text{FC} \rightarrow \text{I} \rightarrow 0$ , and comparison to the absorption bandshape. Black: from global analysis, red: fit by lognormal functions.

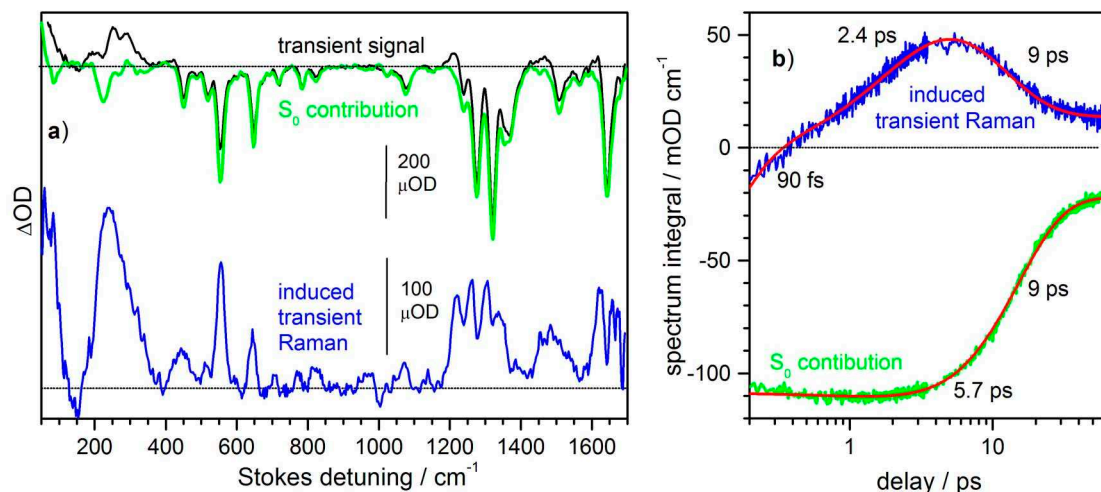
PC will be revealed by the results from quantum-chemical calculations.

### 5.3.3 Femtosecond Stimulated Raman Spectroscopy

#### Resonance Conditions

The Raman pulse was tuned to 520 nm, to the red flank of the ground-state absorption. The hot ground state populated by internal conversion from  $S_1$  has a characteristic red-shifted absorption, as seen by the lobe around 520 nm in the transient absorption signal (Figure 5.3). The Raman wavelength is thus ideally suited to record resonance-enhanced spectra of the hot intermediate in the electronic ground state.

Additional contributions to the signal need to be considered in stimulated Raman spectroscopy, see Section 2.4.1 for a detailed discussion and simulations. Since the Raman pulse still overlaps with the  $S_1 \leftarrow S_0$  absorption, a Raman bleach contributes to the signal as long as the population has not returned to the equilibrated initial ground state  $S_0$ . The bleach has the shape of the negative ground state Raman spectrum. A comparison with the transient absorption spectra in Figure 5.3 shows that in the  $S_1$  excited state, before internal conversion to  $S_0$ , the Raman pulses are also resonant with stimulated emission, but not with excited state absorption, which is seen only around 340 nm. Hence, the third-order pathways represented by the yellow-shaded ladder diagrams in Figure 2.7 contribute to the Raman signal in the excited state. As shown in the simulations of Section 2.4.2, negative, *i.e.* absorptive bands are expected at the positions of the excited *and* ground state frequencies. In addition, the shape of the signal may be distorted depending on the resonance conditions, as described by the polynomial prefactor in equation (2.98). Especially prone to such distortion are contributions of B-type sequences, here  $R_{5e}^B$  and  $R_{8e}^B$ . Note that  $S_0$  vibrational signatures from resonance



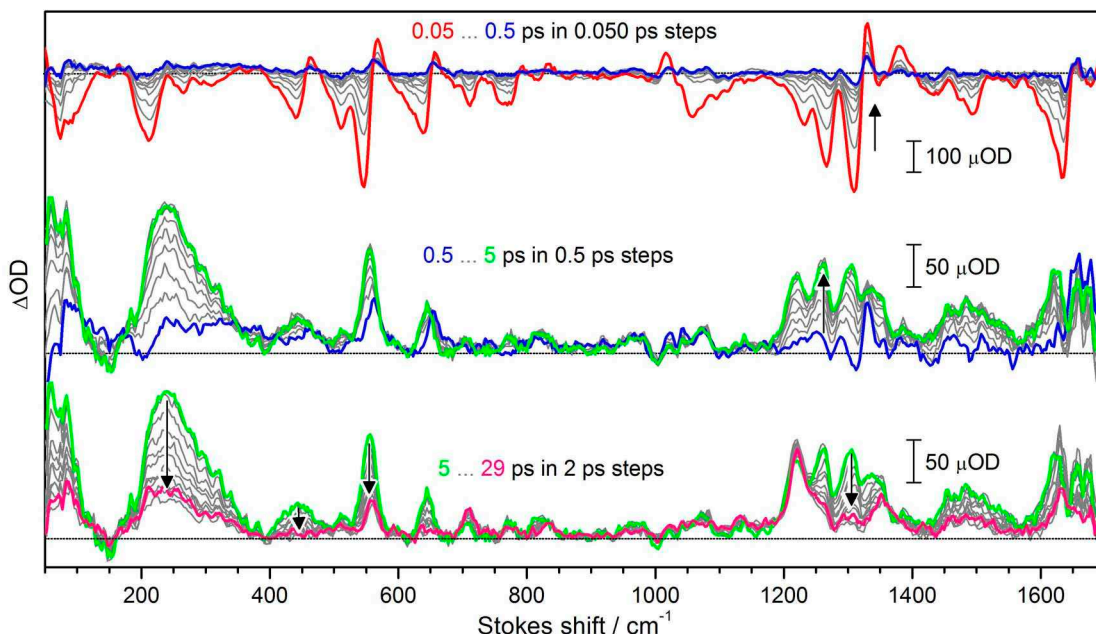
**Figure 5.6:** a: transient Raman signal of PC in methanol at 5 ps (black, top). The bands are absorptive, generally shown by negative ordinate values. At every delay time the ground-state Raman spectrum of PC at room temperature ( $S_0$  contribution, green) is scaled and subtracted such that  $\int (\partial \nu / \partial \nu)^2 d\nu$  is minimal. The optimal residuum (blue) represents the induced transient Raman spectrum which is subsequently discussed. b: time evolution of the  $S_0$  contribution and induced transient Raman spectrum, with time constants from multi-exponential fits (red).

with stimulated emission can be different from the bleach, since they report on the  $S_0$  vibrations, but with the molecule in the excited state geometry.

### Decomposition of the Raman Signal

Typical transient signal, recorded 5 ps after the actinic pump, is shown in Figure 5.6 a (black). As expected from the resonance conditions, mostly Raman absorption, *i.e.* negative features are seen. The contribution of the ground state Raman spectrum is now estimated at every delay time (green, from independent measurements). Because sharp structure can be recognized better than background, spectral derivatives are used for the estimate. Thus, a scaled ground-state Raman spectrum is subtracted from the transient signal and  $\int (\partial \text{residuum} / \partial \nu)^2 d\nu$  calculated. Minimization gives the optimal residuum (blue line in Figure 5.6 a) which is denoted throughout the rest of the Chapter as the “induced transient Raman spectrum”.

The amplitudes of the  $S_0$  contribution and induced Raman spectra are shown in Figure 5.6 b as a function of time, together with time constants from multiexponential fits. At longer delay times, after internal  $S_1 \rightarrow S_0$  conversion to the hot ground state, the  $S_0$  contribution to the transient Raman spectra corresponds solely to the bleach. In agreement with transient absorption results, the bleach found decays with 9 ps as the molecule cools down. At early delay times, when population is still in  $S_1$ , also resonance with stimulated emission occurs and leads to Raman bands at ground state vibrational



**Figure 5.7:** Time evolution of the induced transient Raman spectrum (i.e. after the  $S_0$  contribution has been removed) of 1,1'-diethyl-2,2'-dipyridocyanine iodide in methanol, after actinic excitation at 480 nm.

frequencies, which in contrast to the bleach may not be reproduced by stationary Raman spectra from  $S_0$ . This deviation is seen as an initial plateau of the  $S_0$  contribution in Figure 5.6.

The band integral over the induced Raman absorption monitors also the initial relaxation. It rises with 90 fs and 2.4 ps and reaches a maximum around 5 ps. The ultrafast 90 fs time constant may describe the same relaxation process as the 130 fs time constant in transient fluorescence; however, it is close to the temporal resolution of the Raman spectrometer (70 fs). The 2.4 ps rise is slower than the evolution in transient absorption and fluorescence. This may be an artefact from the incomplete description of the  $S_0$  signal in the excited state. Also depletion of population by the Raman pulse, as proposed in next Chapter, should be considered. After the rise of the hot ground state signal is complete, induced transient Raman evolves consistently with the other spectroscopic results: the band integral decays with the cooling time of 9 ps.

At long delay times, the bleach and the induced transient Raman signal do not approach the baseline, indicating that part of the population stays permanently in a state that is different from the equilibrated ground state of *cis*-PC. It may be concluded that the ground-state minimum of the *trans*-isomer has been populated.

### Spectral Evolution

The time evolution of the induced Raman spectrum is shown in Figure 5.7. For the initial period  $< 0.5$  ps the spectrum is mainly absorptive and has dispersive character, indicating the influence of third-order pathways, where the first interaction is with the probe pulse. This initial signature decays ultrafast (90 fs); thereafter Raman emission grows in, to a peak at 5 ps, and then changes gradually to the “final” induced Raman spectrum at 29 ps (magenta).

### Global Analysis and Raman Spectra of the involved Species

The time constants obtained in the previous analysis are now used to describe the spectral evolution by a global fit. Applying a model of sequential interconversion the spectra of the intermediate species are obtained. They are shown in 5.8 together with the ground-state Raman spectrum.

Let us follow the relaxation path: The chromophore is excited into the Franck-Condon state FC, characterized by the time-zero Raman spectrum. The shape of the signal is here too complicated to extract the vibrational information about the  $S_1$  state. At this point additional theoretical and experimental work is required to quantify resonance effects on the signal shape.

The signal vanishes with 90 fs almost completely to the baseline (species associated spectrum not shown in Figure 5.8). Assuming that this change correlates with the ultrafast shift and decay of fluorescence, the evolution monitors relaxation on the excited state potential towards a twisted geometry. More insights into underlying processes will be obtained from the quantum-chemical calculations.

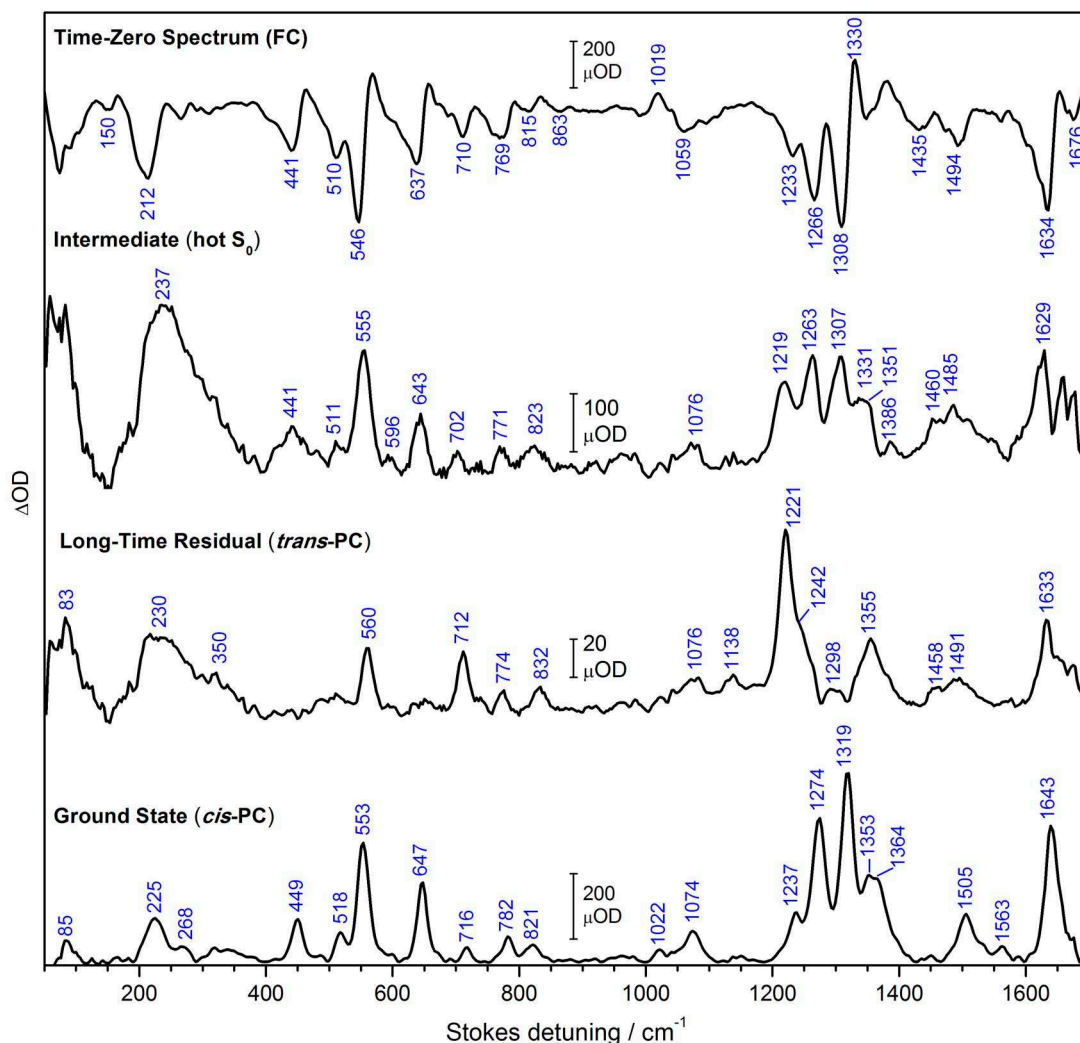
With 2.4 ps a new Raman emission spectrum rises; it is assigned to the vibrationally hot ground state after internal conversion. The excess energy is distributed to the surrounding solvent with 9 ps. During this relaxation branching occurs: part of the population returns to the minimum of the *cis* isomer, another part relaxes into the minimum of the *trans* isomer. The long-time offset is therefore assigned to the spectrum of *trans*-PC. Compared to the Raman spectrum of *cis*-PC, mainly the multi-peak structure around  $1320\text{ cm}^{-1}$  splits into two bands at  $1221$  and  $1355\text{ cm}^{-1}$ . Also the low-frequency region differs: The offset spectrum shows a broad band at  $230\text{ cm}^{-1}$  with a shoulder around  $350\text{ cm}^{-1}$ ; sharp bands are found at  $560$  and  $712\text{ cm}^{-1}$ . Strikingly, the spectrum of the hot  $S_0$  state contains spectral features from both isomers. This is consistent with the idea of branching after internal conversion. The hot  $S_0$  spectrum then corresponds either to a still undetermined geometry or to a mixture of hot *cis*- and *trans*-PC.

### 5.3.4 Mechanistic Conclusions from Quantum-chemical Calculations

#### Resonance Raman Spectra

Figure 5.9 compares Resonance Raman spectra of *cis*- and *trans*-PC from quantum-chemical calculations (presented as stick spectra) to experimental results from the previous analysis. The optimized ground-state geometries are also shown. The theoretical





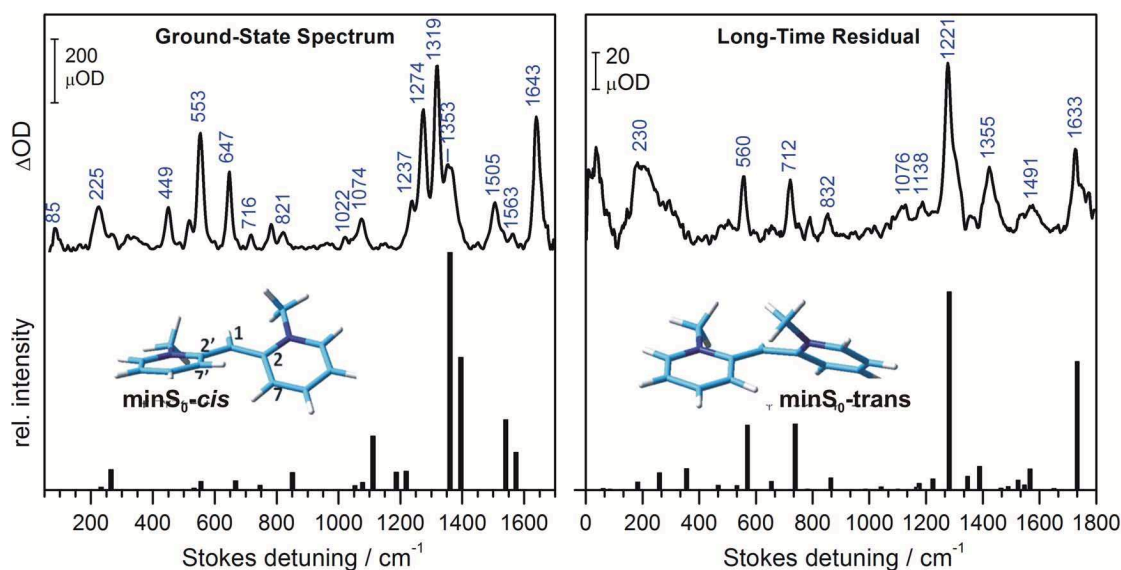
**Figure 5.8:** Species associated spectra from a global fit of the transient Raman evolution under assumption of sequential interconversion.

spectra reproduce the main spectral pattern for both isomers. In particular, for *trans*-PC, the characteristic bands at 1221, 712, and 560  $\text{cm}^{-1}$  are also found by calculation, thus confirming that the *trans*-isomer is formed.

The assignment of the vibrational bands was not yet complete at the time of the submission of this work.

### Potential Energy Surfaces and Model

Based on calculations of the minimum energy path, the model in Figure 5.10 gives the most likely explanation of all spectral measurements on PC here. Species, for which Raman spectra were obtained in this work (Figure 5.8) are marked by red dots. The



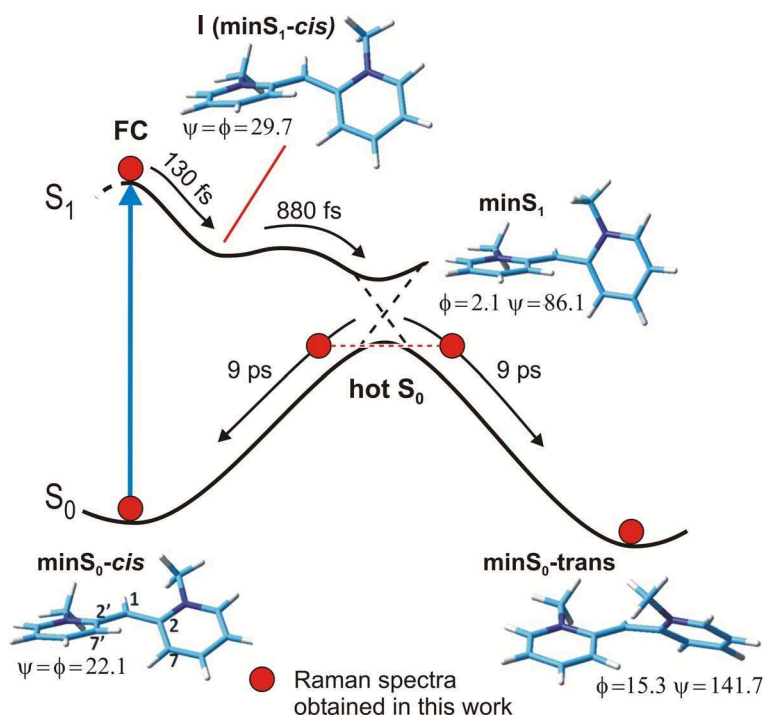
**Figure 5.9:** Comparison of experimental and calculated Resonance Raman spectra. Calculations were carried by Santoro, Improta, and Barone on the CAM-B3LYP/6-31G(d) level of theory with the VG approximation.

ground-state structure of the cyanine<sup>7</sup> is  $C_2$  symmetric, with the phenyl groups rotated by  $22.1^\circ$  against the central C-H bond (dihedral angles  $\phi(7'2'1\ 2)$  and  $\psi(7\ 2\ 1\ 2')$  are given next to the structures). The excited state potential is very shallow; a local minimum is found for a  $C_2$  symmetric structure with dihedral angles of  $30^\circ$ . Loss of conjugation upon distortion of the molecule could explain the decay and shift of fluorescence (Figure 5.5). Then the ultrafast  $\sim 130$  fs evolution would reflect relaxation towards the  $C_2$  minimum, which is consequently assigned to the intermediate I. Note that for stilbene a different situation was encountered: there calculations found almost planar structures for the minima on the excited state potential; distortion towards a  $90^\circ$  twisted geometry occurs for stilbene after passing the barrier towards the phantom state p (Figure 4.1). Due to resonance conditions the associated spectral evolution could not be followed in that case.

Returning to cyanine, the global minimum on the  $S_1$  potential surface is not the  $C_2$  structure ( $\text{min}S_1\text{-cis}$ ), but an asymmetric structure with one phenyl group planar and one perpendicular to the central C-H bond ( $\text{min}S_1$ ). Electronically, this structure has charge-transfer character. Since the  $S_1$  potential is shallow, it is not clear whether the global minimum is reached within the residence time in the excited state. The time constant of 880 fs probably describes a combination of relaxation to  $\text{min}S_1$  and internal conversion to the hot ground state. Polar solvation progressively stabilizes the  $S_1$  state during relaxation and destabilizes  $S_0$ , thus facilitating transition to the ground state. After internal conversion, the molecule is vibrationally hot. It cools down into the

<sup>7</sup>here the dimethyl analogue of PC was calculated.





**Figure 5.10:** Model for the relaxation of excited PC and structures from quantum-chemical calculations. Species, for which Raman spectra were obtained (Figure 5.8) are marked by red dots.

minima of the *cis* and *trans* isomers.

## 5.4 Conclusions

Photoinduced isomerization of 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC) was traced with femtosecond transient absorption, fluorescence, and stimulated Raman spectroscopy. The mechanistic interpretation was supported by quantum-chemical calculations.

Upon excitation the stimulated emission band shifts by  $1200\text{ cm}^{-1}$  already within 130 fs, accompanied by an intensity decay. The evolution is assigned to the conrotatory motion of the phenyl groups to a dihedral angle of  $30^\circ$ . The ultrafast fluorescence quenching makes PC a promising dye for future DNA intercalation experiments with high contrast. Molecular relaxation on the S<sub>1</sub> potential proceeds with 880 fs towards a  $90^\circ$  twisted structure with charge-transfer character, until internal conversion to the ground state occurs. The generated hot molecule cools down into the minima of *cis*- and *trans*-PC with 9 ps.

## 5 Structural Changes upon Internal Conversion of a “Parent Cyanine”

Raman spectra of the Franck-Condon region, the hot ground state, and the *cis* and *trans* isomers could be obtained from a global analysis. Experimental ground-state resonance Raman spectra were reproduced by calculations.

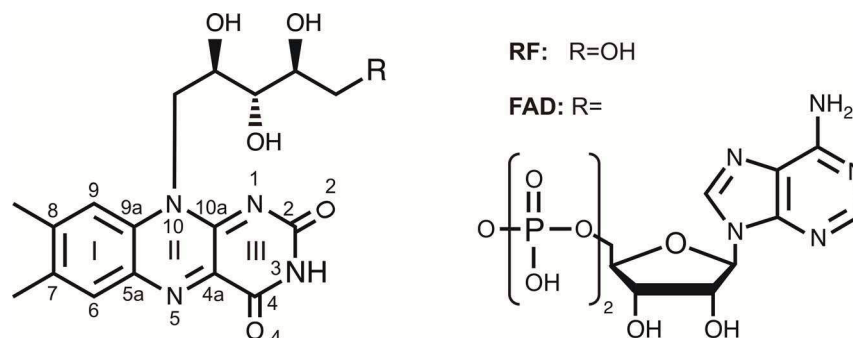
It could be shown that for population on the  $S_0$  potential the bleach can be described by the scaled stationary spectrum, and subtracted from the Raman signal. The resulting contributions can be analyzed separately. In the excited state, when resonant with stimulated emission, the spectrum is complicated; to extract vibrational information, resonance with the  $S_1 \rightarrow S_0$  transition should be avoided at the current stage (as in the measurements on *trans*-stilbene).

## 6 Excited Flavin: A Femtosecond Stimulated Raman Study

### 6.1 Introduction

Flavin plays a key role in biology as a chromophore of blue-light photoreceptors.<sup>[83,150,151]</sup> The flavin family is based on the heteroaromatic ring-system of 7,8-dimethyl-isoalloxazine, Figure 6.1. Biologically relevant flavin chromophores derive from riboflavin (RF, vitamin B<sub>2</sub>); common derivatives are flavin mononucleotide (FMN) and flavin adenine dinucleotide (FAD). Whereas the primary activation step for other photoactive co-factors like phytochromobilin, retinal, and coumaric acid involves E/Z isomerization, the flavin structure prevents strong conformational changes.<sup>[151]</sup> Nonetheless, flavin is a tremendously versatile reactant whose specific activation mechanism is determined by the protein environment. In LOV (Light Oxygen Voltage) domains, it is established that formation of the signalling state involves inter-system crossing to the triplet state, followed by covalent addition of a nearby cysteine on the microsecond time scale.<sup>[152–156]</sup> Flavin photochemistry in other photoreceptors is less well understood. In cryptochromes, one-electron reduction of flavin to its semiquinone form leads to conformational changes of the protein, but the underlying mechanism is still under debate.<sup>[157–160]</sup> BLUF (Blue Light Using Flavin) photoreceptors show only subtle changes upon illumination. Signalling state formation is accompanied by an approximately 10 nm red-shift of the absorption spectrum.<sup>[161–163]</sup> Infrared and Raman difference spectroscopy found a concomitant weakening of the C<sub>2</sub>-O<sub>2</sub> and C<sub>4</sub>-O<sub>4</sub> bonds.<sup>[162,164]</sup> Femtosecond-resolved transient absorption and infrared studies indicated that signalling state formation occurs on the 100 ps time scale in the singlet state manifold and involves electron and proton transfer.<sup>[165–168]</sup> It was suggested that these reactions stimulate a rearrangement of the hydrogen bond network around the chromophore.<sup>[164–167,169–171]</sup> Discussed mechanisms are a 180° rotation of a glutamine residue,<sup>[165]</sup> and a change in the orientation of a tryptophan residue.<sup>[171]</sup>

The spectroscopy of blue-light photoreceptors is frequently complicated by conformational heterogeneity in the electronic ground state,<sup>[165,167]</sup> causing the observed spectral evolution to become multi-exponential. Also light-induced effects are small and different contributions overlap spectrally. Both effects hamper the conclusion of mechanistic details for the early stages of signal transduction. In a complementary approach we concentrate instead on the excited flavin chromophore by itself, free in solution. If its electronic and structural properties were accurately known, including the response to changes in the solvent environment, then this information could be used to deconvolve



**Figure 6.1:** Structure of riboflavin (RF) and of flavin adenine dinucleotide (FAD).

the inhomogeneity in proteins, for example. For this purpose flavin is examined by femtosecond stimulated-Raman, absorption, and fluorescence spectroscopies. By correlating the results, electronic and structural changes upon photoexcitation of RF and FAD are quantified.

The photochemistry of flavin depends on its redox state: the oxidized flavoquinone, the semireduced flavosemiquinone, and the fully reduced flavohydroquinone, which may undergo protonation or deprotonation depending on pH.<sup>[172]</sup> Whereas in the electronic ground state flavoquinone is protonated at N(1), transient absorption experiments on FMN suggest an increased basicity for N(5) in the excited state.<sup>[173]</sup> In the current experiments, flavin is in the neutral oxidized form that is dominant in the pH range 2 to 8 in polar solvents and constitutes the chromophore in blue-light photoreceptors. Its lowest singlet and triplet states were assigned to  $\pi\pi^*$  symmetry, but calculations find a dark state of  $n\pi^*$  symmetry in the vicinity of  $S_1$ .<sup>[174–177]</sup> Solvatochromism<sup>[178]</sup> and Stark effect spectroscopy<sup>[179]</sup> indicate that the charge-transfer character of the  $S_1 \leftarrow S_0$  transition is small. Nonetheless, in femtosecond transient absorption<sup>[180]</sup> and picosecond fluorescence<sup>[181]</sup> experiments, signal changes on the time-scale of solvent reorientation were observed. It was proposed that dynamic polar solvation controls vibronic coupling between the  $S_1$  state and the nearby  $n\pi^*$  state, and a dependency on the pump wavelength for this process was found.<sup>[180]</sup> Excited into  $S_1$ , RF fluoresces with a lifetime of  $\sim 5$  ns and  $\sim 20$  % quantum yield.<sup>[180,182]</sup> As a side-reaction, inter-system crossing to the triplet state takes place with 20–30% quantum yield in aqueous solution.<sup>[183]</sup> Fluorescence is quenched by aromatic amino acids and purines, a finding that was explained by an intermediate reduction of the isoalloxazine ring.<sup>[184–189]</sup> For FAD in solution, intramolecular electron transfer from the adenine to the flavine was proposed. In polar solvents FAD favors a stacked arrangement of the flavin and adenine moieties.<sup>[190–194]</sup> The subset of molecules that adapts the  $\pi$  stacked conformation, may return to the ground electronic state via reversible electron transfer. Transient absorption, fluorescence, and infrared spectroscopy find an associated time constant of 5–10 ps.<sup>[159,190,195–197]</sup> Although this mechanism is commonly accepted, it is still under debate whether the charge-transfer intermediate can be captured by spectroscopy.

Structural changes can be monitored by time-resolved vibrational spectroscopy, *i.e.* by observing changes of normal modes of the chromophore upon photoexcitation. Femtosecond mid-infrared spectroscopy has been applied to flavin derivatives in solution<sup>[196,198,199]</sup> and embedded in blue-light photoreceptors.<sup>[155,165]</sup> However the spectral window was limited by the accessible probe frequencies and solvent absorption, and the generation and detection of femtosecond infrared pulses requires significant effort. A general difficulty is presented by ground-state bleaching of IR bands, which – at least for flavins – is superimposed on the photogenerated IR bands, causing dispersive line shapes that are hard to quantify. An alternative approach to measure vibrational spectra with high time resolution is offered by femtosecond stimulated resonance Raman (FSRR) spectroscopy.<sup>[8,16,18,19,80]</sup> In contrast to infrared spectroscopy, Raman and stimulating probe pulses may be applied in the UV-visible or near-infrared spectral region. Resonance enhancement allows chromophore-selective spectroscopy with minimal disturbance from the bulk environment. This renders the technique particularly valuable for the investigation of biological samples in aqueous media. Most importantly, resonance conditions can be chosen such that Raman bands are observed free of corresponding bleach, as will be shown here. Recently FSRR spectroscopy has been applied to study the primary photochemistry of bacteriorhodopsin,<sup>[14]</sup> phytochrome,<sup>[21]</sup> and green fluorescent protein.<sup>[23]</sup> Spectral oscillations of Raman bands were seen which indicate wavepackets in low-frequency modes.<sup>[23,200]</sup> This new two-dimensional perspective on photochemical reactivity with flavin chromophores is explored here. Raman spectra in the excited state are provided, and the influence of solvent and resonance conditions on the signal is investigated. Also artefacts that distort the temporal evolution are addressed.

The assignment of vibrations observed in IR or (resonance) Raman spectra is greatly facilitated by quantum chemical calculations. Several previous publications report on the vibrational analysis of flavins in their ground electronic state  $S_0$ .<sup>[164,198,201–203]</sup> Fewer works deal with vibrations in the first excited state  $S_1$ .<sup>[198,203]</sup> In most cases the vibrational assignment was based on normal mode analysis, in some cases IR vibrational spectra (*i.e.*, peak positions and intensities) were calculated. In ref. 203, vibrationally resolved  $S_1 \leftarrow S_0$  absorption and  $S_1 \rightarrow S_0$  emission spectra were determined for riboflavin. Also from there, information on (some) vibrations is gained, albeit more indirectly. So far no resonance Raman spectra have been computed for RF or FAD to the best of my knowledge. For the current work, a vibrational analysis based on hybrid density functional theory was provided by Bastian Klaumünzer<sup>1</sup> for riboflavin and FAD in the ground state  $S_0$  (normal mode analysis and resonance Raman), and for RF in the first excited state,  $S_1$ . Solvent effects of DMSO and water are included, using a polarizable continuum model. For water, in addition a microsolvation environment consisting of four water molecules is considered, to account for hydrogen-bonding at the polar (isoalloxazine) side of flavin.

Electronic transitions are monitored by transient absorption and emission spectroscopy.

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<sup>1</sup>Bastian Klaumünzer, Department of Chemistry, Universität Potsdam, Karl-Liebknecht-Str. 24/25, 14476 Potsdam-Golm, Germany.

py. The influence of the solvent environment, the excitation wavelength, and the effect of the adenine moiety in FAD on spectral evolution is investigated. A well-known difficulty of the analysis is that transient absorption spectra consist of several overlapping terms which can not be separated uniquely. The pump-induced spectra report simultaneously on the evolution of the excited state via its absorption and stimulated emission properties, and on the population of the ground state via the bleach. Thus, if wavepacket motion is observed by transient absorption, the experiment gives no clue as to whether an oscillating  $S_1 \rightarrow S_0$  emission band or an oscillating  $S_n \leftarrow S_1$  absorption band is behind the phenomenon, for example. Using a novel fluorescence technique, broadband upconversion, this ambiguity is removed. The rise, spectral change and eventual decay of the flavin emission band is measured with 100–350 fs time resolution (fwhm of the instrumental response function). The photometric accuracy of the femtosecond fluorescence spectra allows quantitative comparison with transient absorption spectra. No evidence is found for an initial fluorescence contribution around 500 nm from the  $n\pi^*$  state. Flavin transient absorption is decomposed with the help of fluorescence-upconversion results and present pure excited-state absorption spectra of RF and FAD, allowing to optimize Raman resonance conditions.

## 6.2 Experimental Details

### 6.2.1 Transient Absorption Spectroscopy

Transient absorption spectra were recorded with the pump-supercontinuum probe setup that is based on the FEMTOLASERS *sPro* laser system. Pump pulses at 400 nm (40 fs fwhm) were generated by frequency doubling the fundamental. Pulses at 440 nm (50 fs fwhm) were obtained by mixing the 800 nm fundamental with the frequency-doubled output of the *TOPAS* parametric amplifier. The sample was excited with 0.35  $\mu$ J of the compressed pulses. The temporal apparatus function is well described by a Gaussian with 50–60 fs width. The spectral range was extended up to 1030 nm by additional measurements with the *CPA 2001* laser system (CLARK MXR). For actinic excitation 30 fs NOPA pulses were used, centered at 475 nm.

### 6.2.2 Transient Fluorescence Spectroscopy

Fluorescence upconversion measurements were recorded with actinic excitation at two different wavelengths: pulses at 400 nm (4  $\mu$ J, 40 fs) were generated by frequency-doubling part of the fundamental; pulses at 440–450 nm (0.5  $\mu$ J, 50 fs) were provided by a *TOPAS* (Lightconversion) in combination with frequency mixing (see above). Measured spectra were corrected for instrumental factors such that transient spectra at long delay times match the stationary fluorescence. The apparatus function is described by a Gaussian with the following widths (fwhm): 160 fs (400 nm excitation) and 350 fs (440 nm excitation) for measurements of FAD/H<sub>2</sub>O; 100 fs for RF/DMSO with 400 nm excitation and RF/H<sub>2</sub>O with 450 nm excitation.

### 6.2.3 Transient Raman spectroscopy

For Raman excitation at 776 nm, part of the amplifier output was filtered in a monochromator to yield 3.7  $\mu\text{J}$  pulses with a spectral width of 5.5  $\text{cm}^{-1}$ . The stimulating probe pulses were obtained from a noncollinear optical parametric amplifier, centered at 855 nm and broad enough to cover the detection window. The actinic pump was provided by a second noncollinear optical parametric amplifier centered at 467 nm. The compressed output pulses had a duration of  $\sim 50$  fs (fwhm) and a pulse energy of 1  $\mu\text{J}$ .

Narrowband Raman pulses at 500 and 523 nm were generated in the home-built optical parametric amplifier (see Section 3.5.1), which delivered 1  $\mu\text{J}$  pulses with a spectral width of 12  $\text{cm}^{-1}$  (fwhm) at 500 nm and 20  $\text{cm}^{-1}$  at 523 nm.<sup>2</sup> For actinic excitation, NOPA pulses at 475 nm with a duration of 30 fs (fwhm) and a pulse energy of 0.8  $\mu\text{J}$  were used.

For the presentation of transient spectra at different delay times in Figure 6.6, top, the full spectral evolution, measured in data sets of 660 spectra in 10 and 100 fs time steps, respectively, was filtered by 11-point moving averages in time.

### 6.2.4 Other Experimental Details.

In all time-resolved experiments the optical density was adjusted to 0.5–0.8 at the maximum of the first absorption band for RF in DMSO and FAD in water, and to 0.2–0.3 for RF in water. The sample was circulated through a flow cell at a speed sufficient to replace the sample after each shot. Experiments were carried out under parallel ( $\parallel$ ) and perpendicular ( $\perp$ ) polarizations of actinic pump and probe or Raman/probe pulses; Raman measurements at short delay times and fluorescence-upconversion measurements on RF in water were only recorded with parallel polarization conditions. The magic angle signal was calculated as  $S_{ma} = (S_{\parallel} + 2S_{\perp})/3$ . The full delay-time window for a transient method was covered by recording individual measurement runs with different time steps. Typically, for given step size and polarization conditions, data from 5–10 runs was averaged. An overview of time-dependent observables was achieved by scaling and combining traces from various measurements. For presentation oscillation traces shown in Figures 6.13 and 6.14 were smoothed by a second-order, 60 fs wide Sawitzky-Golay filter, and the data underlying the contour plot in Figure 6.14 were filtered by a moving average of 30 fs temporal and 15  $\text{cm}^{-1}$  spectral width.

### 6.2.5 Spectral Fits

Spectral fits of time-dependent fluorescence spectra allow to study intensity decay and frequency shift separately (Figure 6.5 c-d). For measurements recorded with 440 nm, the shape of the emission spectrum stays nearly constant during the entire evolution. Therefore each transient spectrum was fitted by a scaled and shifted copy of the stationary emission spectrum. When pumping with 400 nm, the spectral shape at earliest delay times deviates slightly from that of the stationary emission band. In this case the

<sup>2</sup>For the experiment with 523 nm Raman pulses, directly the output of the *nb*-OPA after the amplification was used without additional filtering in a second monochromator.

spectra were fitted by log-normal functions  $F(\nu; t)$  instead,<sup>[128,204]</sup>

$$\sigma_{SE}(\nu; t) = \begin{cases} h \exp[-\ln(2)(\ln(1 + \alpha)/\gamma)^2] & \alpha > -1 \\ 0 & \alpha \leq -1 \end{cases} \quad (6.1)$$

where

$$\alpha \equiv 2\gamma(\nu - \nu_p)/\Delta;$$

the parameters are: amplitude  $h$ , peak frequency  $\nu_p$ , asymmetry  $\gamma$ , and width  $\Delta$ .

### 6.2.6 Chemicals

Riboflavin (Sigma Aldrich, 98%) and FAD (Sigma Aldrich,  $\geq 95\%$ ) were used as received. Sample solutions in fluorescence-grade dimethyl sulfoxide (DMSO; Aldrich) or water were freshly prepared before each measurement. Deionized water or deuterium oxide (eurisotop, 99.97 % D) was used for aqueous solutions, except for transient and stationary fluorescence measurements of FAD, in which case 10 mM Tris buffer (FLUKA, pH8) with additional 50 mM NaCl was used. In previous experiments the rate of FAD excited-state deactivation did not change with the pH in the range pH 5–10.<sup>[173]</sup> Hence, the influence of buffer and salt appears negligible and is not indicated explicitly.

## 6.3 Quantum Chemical Calculations

Quantum chemical calculations and assignments were provided by Bastian Klaumünzer from the group of Prof. Peter Saalfrank.<sup>3</sup>

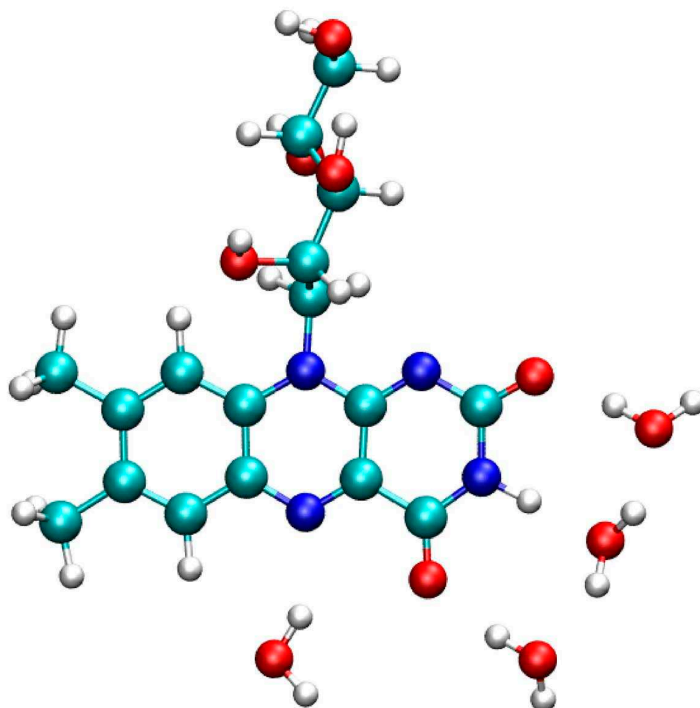
### 6.3.1 Methods and Models

All quantum chemical calculations were performed with hybrid density functional theory on the (TD-)B3LYP<sup>[205,206]</sup>/TZVP<sup>[207]</sup> level of theory using the program GAUSSIAN09.<sup>[208]</sup> Riboflavin was chosen as a model compound for most of the calculations. For comparison also FAD in the ground state  $S_0$  was considered. The chromophore was optimized in  $S_0$ , and vertical excitation energies to excited states ( $S_1$ ,  $S_2$ , ...) were determined by TD-B3LYP. For the  $S_1$  state, geometry optimizations were carried out as well. Solvent effects were included first of all using the PCM model.<sup>[209,210]</sup> Dielectric constants of  $\epsilon = 46.1$  for DMSO and  $\epsilon = 80.35$  for water were chosen. Since a major effect of the protic solvent is H-bonding to the isoalloxazine ring of flavin, for RF and FAD also microsolvation models with four water molecules in addition to the PCM field were considered. Figure 6.2 shows the optimized ground state geometry for this case and RF, indicating hydrogen bonds in particular to the  $O_4$  and  $O_2$  atoms, and to the  $N_3$ -H unit.

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<sup>3</sup>see footnote on page 107.





**Figure 6.2:** Riboflavin in the microsolvation model, i.e. with four water molecules placed around the polar isoalloxazine moiety. The structure was optimized on the B3LYP/TZVP level of theory.

### 6.3.2 Vibrational Assignment

Ground and excited state vibrations were assigned on the basis of a normal mode analysis in the optimized geometries. To allow for a more unambiguous assignment, also isotope substitution experiments were simulated by calculating vibrations after replacing the four water molecules by heavy water,  $D_2O$ , and in addition exchanging the H atom at  $N_3$  with deuterium, D.

Resonance Raman spectra were calculated for the ground state  $S_0$  of RF by resonant transitions to  $S_1$  only. This was done by the time-dependent method of Heller *et al.*,<sup>[211–213]</sup> as implemented in the ORCA-ASA<sup>[214]</sup> module of the ORCA 2.8<sup>[215]</sup> quantum chemistry program suite. In this approach, under the Born-Oppenheimer and Condon approximations the resonance Raman polarizability elements  $(\alpha_{\rho\lambda})_{I\rightarrow F}$  (with  $\rho, \lambda = x, y, z$ ) for vibrational transitions between vibrational state  $I = (i_1, i_2, \dots, i_N)$  and final state  $F = (f_1, f_2, \dots, f_N)$  on the ground state surface  $S_0$  ( $N$  is the number of

vibrational modes in the molecule), are given as

$$(\alpha_{\rho\lambda})_{I \rightarrow F} = \frac{i}{\hbar} \mu_{01,\rho} \mu_{01,\lambda} \int_0^\infty \langle \phi_F | \phi_I(t) \rangle e^{i(\omega_L + \omega_{I0} + i\Gamma_1)t} dt \quad (6.2)$$

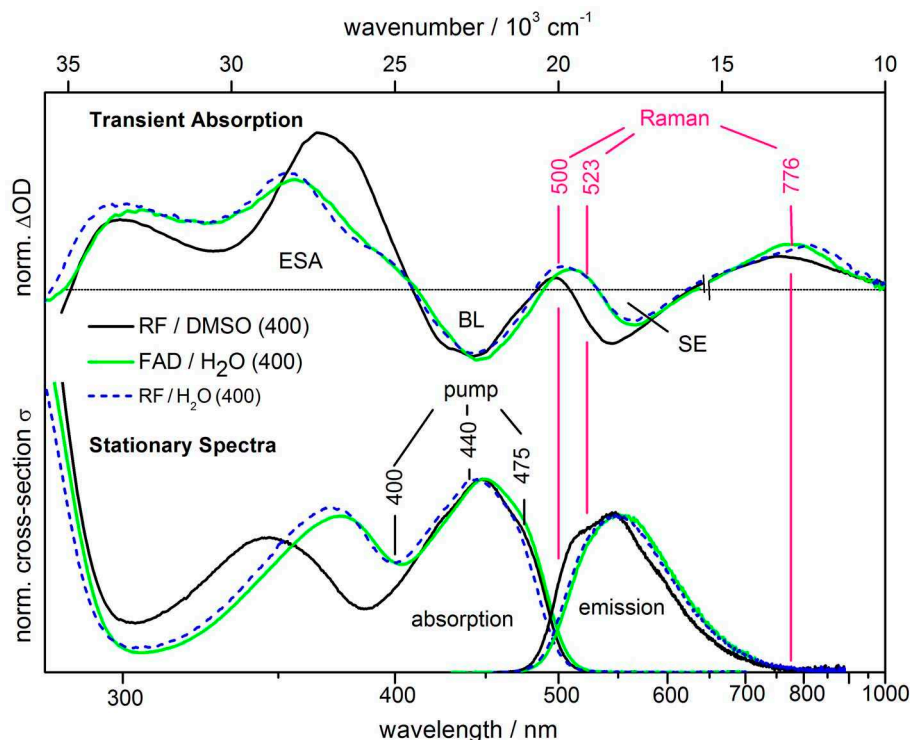
Here,  $\phi_F$  is the ground state vibrational wavefunction corresponding to index  $F$ ,  $\phi_I(t) = \exp(-i\mathcal{H}_1 t/\hbar) \phi_I$  the ground state vibrational wave function  $\phi_I$  when propagated under the influence of the excited state nuclear Hamiltonian  $\mathcal{H}_1$ ,  $\mu_{01,\rho}$  is the  $\rho$ -th component of the transition dipole moment  $\underline{\mu}_{01} = \langle \Psi_0 | \hat{\underline{\mu}} | \Psi_1 \rangle$  (with  $\Psi_0$  and  $\Psi_1$  being electronic wavefunctions for states  $S_0$  and  $S_1$  and  $\hat{\underline{\mu}}$  the dipole operator),  $\omega_L$  is the frequency of the exciting laser,  $\omega_{I0}$  the vibrational frequency of the initial vibrational state, and, finally,  $\Gamma_1$  a homogeneous lifetime parameter for the  $S_1$  state. The correlation function  $\langle \phi_F | \phi_I(t) \rangle$  can be evaluated analytically if both ground and excited state potentials are assumed to be harmonic.<sup>[211]</sup> In particular, under the independent mode, displaced harmonic oscillator (IMDHO) model used here it is further assumed that there is only a displacement of the excited state  $S_1$  potential, but no frequency and/or normal mode changes, relative to  $S_0$ , *i.e.*, frequency shifts and Duschinsky rotation are neglected. As a consequence, the resonance Raman signals are obtained from ground state normal modes and frequencies, and the displacement of the excited state, where the latter can be calculated locally using the gradients of  $S_1$  at the Franck-Condon point as outlined elsewhere.<sup>[214,216]</sup> All resonance Raman signals were computed for an excitation energy of  $\hbar\omega_L = 23000 \text{ cm}^{-1}$  (435 nm) (the computed, vertical  $S_0$ – $S_1$  energy difference is  $23600 \text{ cm}^{-1}$  (423 nm) for RF/DMSO, see below), and homogeneously broadened with a width parameter  $\hbar\Gamma_1 = 10 \text{ cm}^{-1}$ . The resonance Raman intensity for a  $I \rightarrow F$  transition is proportional to the spatially averaged, and squared polarizabilities.<sup>[211,214]</sup> All quantum chemical calculations will be presented together with discussion in Section 6.5.1.

## 6.4 Experimental Results

### 6.4.1 Resonance Conditions.

Stationary and transient absorption spectra of flavin are compared in Figure 6.3 for three different conditions: RF in the polar aprotic solvent DMSO (black), RF in polar protic water (dashed blue), and FAD in water (green). The spectral shape appears to be mainly controlled by the solvent environment. While the  $S_1 \leftarrow S_0$  absorption band is insensitive to the flavin surrounding and peaks uniformly around 450 nm, the maximum of the  $S_2 \leftarrow S_0$  transition is red-shifted in water by approximately  $1900 \text{ cm}^{-1}$ . This effect has been attributed to the electronic influence of hydrogen-bond changes.<sup>[217]</sup> A similar trend is observed for the  $S_1 \rightarrow S_0$  emission: when changing from DMSO to water solution, the stationary emission spectrum red-shifts by  $\sim 400 \text{ cm}^{-1}$ .

Most transient absorption and fluorescence-upconversion measurements were carried out with actinic excitation at 440 nm, near the maximum of the first absorption band. In order to evaluate the dependence of excited state relaxation on the pump photon energy, some experiments were performed with 400 nm excitation. In aqueous solution

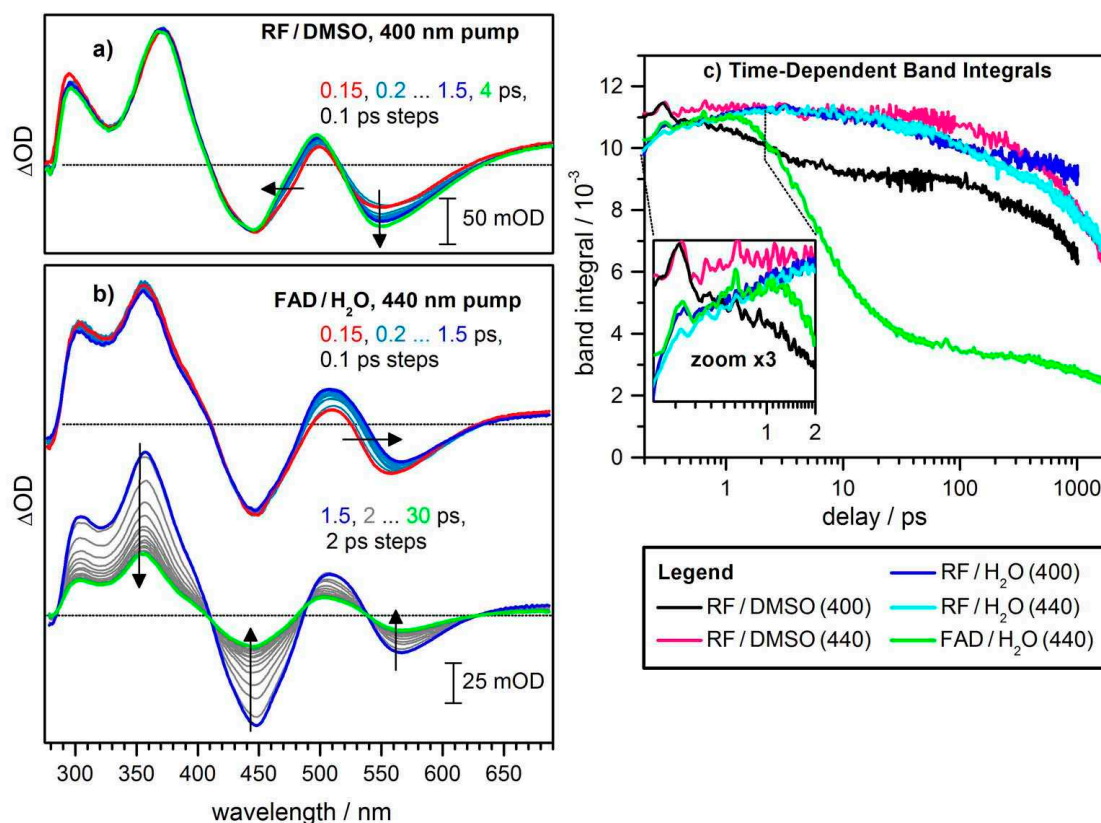


**Figure 6.3:** Transient absorption at 0.4 ps delay (*top; magic angle conditions*) compared to stationary absorption and emission (*bottom*). Induced absorption spectra have been normalized at the minimum of the bleach band, and stationary spectra were set to equal oscillator strengths for the  $S_1 \leftarrow S_0$  and  $S_1 \rightarrow S_0$  bands. Vertical lines indicate the "actinic" pump and Raman wavelengths of this work. (Note that the range 645–1000 nm was observed separately following excitation at 475 nm.)

the  $S_1 \leftarrow S_0$  and  $S_2 \leftarrow S_0$  absorption bands overlap at this wavelength. In DMSO this overlap is small, and transition to the  $S_1$  state dominates upon excitation with  $\geq 400$  nm.

Typical transient absorption spectra are shown in the upper panel of Figure 6.3, for 0.4 ps delay. Positive signals indicate excited state absorption (ESA), whereas negative features to the red of the ground-state absorption indicate stimulated  $S_1 \rightarrow S_0$  emission (SE). The bleach of the  $S_0$  population (BL) causes an additional negative contribution of the ground-state absorption spectrum. As in stationary spectroscopy, the shape of the transient signal depends on the solvent. In DMSO, BL and SE bands have similar induced optical density, while in water the minimum of the SE band is significantly red-shifted and shows a decreased amplitude.<sup>[180]</sup> In addition the ESA band peaking at 366 nm in DMSO blue-shifts to 354 nm in water.

Time-resolved Raman spectra were collected after actinic excitation at 467–475 nm, on the red side of the first absorption band. The effect of different resonance conditions on the transient signal was explored by performing experiments with Raman excitation at



**Figure 6.4:** Transient absorption of the flavin chromophore (a,b) and band integrals  $BI(t)$  (c) over the induced spectra. Solvents and excitation wavelengths are generally given as inset. For better comparison, the black, magenta, blue and cyan time traces were scaled by factors of 0.6, 1.3, 2.6, 3.4 respectively.

500, 523, and 776 nm. At 776 nm the Raman pulse is resonant only with the excited-state absorption band in the near infrared. In contrast, at 500 nm several electronic transitions are accessed: the Raman pulse not only overlaps with the excited-state absorption in this region, but also with ground-state absorption and  $S_1 \rightarrow S_0$  emission. Therefore, for this experiment, at least three electronic levels have to be considered to describe the resonance Raman signal: the ground state  $S_0$ , the first excited state  $S_1$ , and a higher excited state  $S_n$ . In a third experiment the Raman pulse was tuned to 523 nm, now still resonant with excited-state absorption and stimulated emission, but only preresonant with ground-state absorption.

#### 6.4.2 Evolution of Induced Absorption Spectra

*Transient absorption spectra* of RF in DMSO upon excitation with 400 nm are shown in Figure 6.4 a. During the first picoseconds the stimulated emission band rises, accompa-

nied by small changes of the excited state absorption band around 500 nm. This rise is not observed when exciting with 440 nm, see the Supporting Material. The fluorescence lifetime of flavin in the absence of quenching is 3–6 ns depending on the solvent.<sup>[180,184]</sup> In agreement with these values, in all transient absorption experiments nanosecond decay of the induced spectrum is found. A long-time spectral offset corresponds to the flavin triplet.

Transient absorption spectra of FAD in water at different delay times are shown in Figure 6.4 b. During the first 1.5 ps the bleach and most of the excited-state absorption stay unchanged: the molecule is still excited and does not return to the electronic ground state on this time-scale. Relaxation on the excited-state potential energy surface is observed as an increase of transient signal between 480 and 580 nm. At this point it can not be distinguished whether the changes originate from a shift of the stimulated emission or from a rise of ESA around 510 nm; details will be obtained from a comparison with time-resolved fluorescence measurements. The subsequent evolution up to 30 ps is for FAD dominated by uniform decay of the transient spectra indicating internal conversion to the ground state. The residual spectrum continues to decay on the nanosecond time-scale (not shown), leaving a long-time offset which resembles the flavin triplet spectrum.<sup>[152,218]</sup>

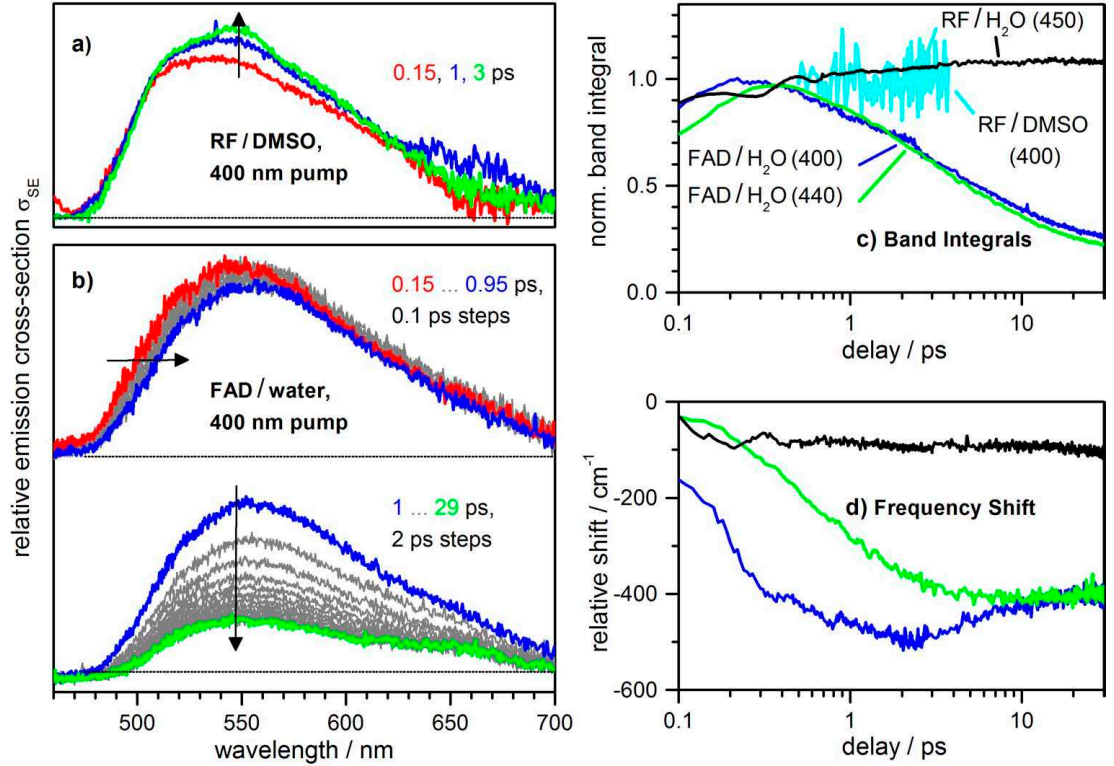
The *integral signal evolution* is studied next, by forming the band integral over the full transient spectra,

$$BI(t) = \int_{290nm}^{690nm} d\lambda \sigma(t, \lambda)/\lambda, \quad (6.3)$$

where  $\sigma(t, \lambda)/\lambda$  is the band-shape function (see Section 2.2.2). In order to systematically evaluate the influence of the adenine moiety, the surrounding solvent shell, and pump photon energy, transient absorption measurements were performed under the following conditions: RF in water with excitation at 400 nm (blue) and 440 nm (cyan), FAD/water with excitation at 440 nm (green), RF/DMSO with excitation at 400 (black) and 440 nm (magenta). All results are compared in Figure 6.4 c. The simplest evolution is observed after exciting RF in DMSO at 440 nm (magenta). In this case the band integral does not change within the first 100 ps and finally decays in the nanosecond regime. Upon excitation with 400 nm (black), 20% of the band integral decays on the picosecond time-scale, reflecting the rise of the stimulated emission band in Figure 6.4 a.

Unlike in DMSO, in water (blue and cyan) a sub-picosecond rise is identified for RF. The initial rise describes the spectral changes in Figure 6.4 b and is reproduced by the FAD trace (green, see also the inset in Figure 6.4 c). Therefore this effect has to be assigned to the dynamic influence of the water surrounding. Additional signal decay is found on the 100 ps time scale. As already demonstrated in a previous publication,<sup>[180]</sup> this component depends on the excitation wavelength and derives from small changes in the region  $< 500$  nm, see also the Supporting Material.

In the case of FAD (green), recovery of the ground state is observed as a decay on



**Figure 6.5:** (a,b) Transient fluorescence of the flavin chromophore under different conditions, shown as inset, with magic-angle detection. Fluorescence spectra are generally expressed as cross-sections  $\sigma_{SE}(\nu)$  for stimulated emission. (c) Normalized fluorescence band integrals  $BI(t)$ . (d) Frequency of the fluorescence peak relative to that of the initial spectrum.

the 5–10 ps time scale, amounting to  $\sim 65\%$  signal loss. It will later be assigned to quenching by adenine.

*Wavepacket motion* shows up as oscillation of the transient absorption signal. In the band integral traces this is most clearly observed as a hump at  $\sim 300$  fs delay. This feature originates from a  $\sim 290$  cm<sup>-1</sup> in-plane deformation mode that was reported before.<sup>[180]</sup> Fourier analysis of the time-domain signal provides an alternative approach to low-frequency vibrational spectra of the excited state, as will be shown further below.

### 6.4.3 Evolution of Fluorescence Spectra

*FAD in water:* the spectral evolution of the emission cross section after 400 nm excitation is shown in Figure 6.5 b. During the first picosecond a dynamic red-shift is observed, accompanied by slight intensity decay. As in transient absorption, on the 10 ps time-scale strong decay of the signal takes place, indicating population drain from the  $S_1$

state. Time-dependent emission band integrals are compared in Figure 6.5 c. Around zero delay time the signal rises according to the apparatus function ( $\sim 0.16$  ps with 400 nm pump and  $\sim 0.35$  ps with 440 nm pump wavelength). Otherwise the traces for both excitation wavelengths (blue and green) are indistinguishable.

The time-dependent shift of the peak frequency provides complementary information on the excited state dynamics, Figure 6.5 d. For 440 nm excitation (green), no significant band shift is observed on the 10 ps time scale. Early relaxation becomes apparent as a continuous shift to longer wavelengths during the first 2 ps; the total shift is  $410\text{ cm}^{-1}$ . When pumping at 400 nm (blue), an additional ultrafast red-shift within the first 0.2 ps contributes. On the 5–10 ps time scale the frequency-shift reverts in this case, and the emission peak position approaches the long-time offset from below.

*RF in water:* due to low solubility, the fluorescence-upconversion signal is small (see Supporting Information) and the first 0.5 ps is obscured by a coherent contribution. Thereafter the band integral (cyan) stays unchanged within precision. As with FAD, the dynamic Stokes shift is small on the time scale 0.5–3 ps; the signal/noise ratio prevents a detailed analysis of the band position.

*RF in DMSO:* transient emission spectra after excitation at 400 nm are shown in Figure 6.5 a. In contrast to FAD/water, no spectral shift is observed. The spectrum at longer times (green) resembles the mirror image of the first absorption band, and the vibrational structure is reproduced. At early delay times (red) the spectrum lacks the vibrational structure and has decreased amplitude in the range 526–620 nm. During the first picosecond, fluorescence intensity rises in this region, but the spectrum (blue) is still void of structure. The characteristic hump at 545 nm then builds up during several picoseconds. The rise of the band integral, shown in Figure 6.5 c (black), resembles the decay kinetics of the transient absorption signal discussed before. Following the evolution of the emission spectrum with a log-normal fit, the asymmetric rise of the signal is reflected by a  $25\text{ cm}^{-1}$  red-shift of the peak maximum, although the band itself does not appear to shift overall, Figure 6.5 d. Both band integral and peak frequency do not evolve monotonically, but are modulated by an oscillation with a frequency of  $\sim 100\text{ cm}^{-1}$  (see also Figure 6.18).

Generally, fluorescence appears as a single emission band at all delay times; no evidence is found for additional early emission around 500 nm.

#### 6.4.4 Femtosecond Stimulated Raman Spectra

*Transient spectra  $R(\nu)$  upon 776 nm Raman excitation* are shown in Figures 6.6 a,b for RF/DMSO and FAD/H<sub>2</sub>O. In both cases well-resolved, emissive, vibrational bands are seen, which decay with only minor spectral changes. The appearance of new bands is not observed. The time behavior is shown in Figure 6.6 c where the integral over the range 1100–1650  $\text{cm}^{-1}$  is considered. It is fitted by a sum of exponential functions; optimal decay times and relative amplitudes are summarized in Table 6.1. It is noted

**Table 6.1:** Time constants from multi-exponential fits of the transient Raman evolution under different experimental conditions.<sup>a</sup>

RF (DMSO)	FAD (H <sub>2</sub> O)
<i>Raman excitation at 500 nm, 1.2 ps, 1 <math>\mu</math>J</i>	
0.15 (0.34)	0.15* (0.32)
1.2 (0.16)	1.2* (0.15)
	6.8 (0.37)
	68 (0.05)
2000* (0.27)	2900* (0.08)
offset (0.23)	offset (0.03)
<i>Raman excitation at 523 nm, 0.73 ps, 1 <math>\mu</math>J</i>	
	0.33 (0.16)
	6.8 * (0.69)
	offset (0.15)
<i>Raman excitation at 776 nm, 2.7 ps, 3.7 <math>\mu</math>J</i>	
0.84 (0.42)	1.2 (0.69)
	7.8 (0.19)
offset (0.58)	offset (0.12)

<sup>a</sup> integrated over the range 1100–1650 cm<sup>-1</sup>. Time constants in ps, with the relative exponential amplitudes in brackets. Asterisks mark time constants that were fixed during the fit. Underlayed grey: evolution attributed to depletion by the Raman pulse. Raman pulse durations are given for transform-limited Gaussian pulses.

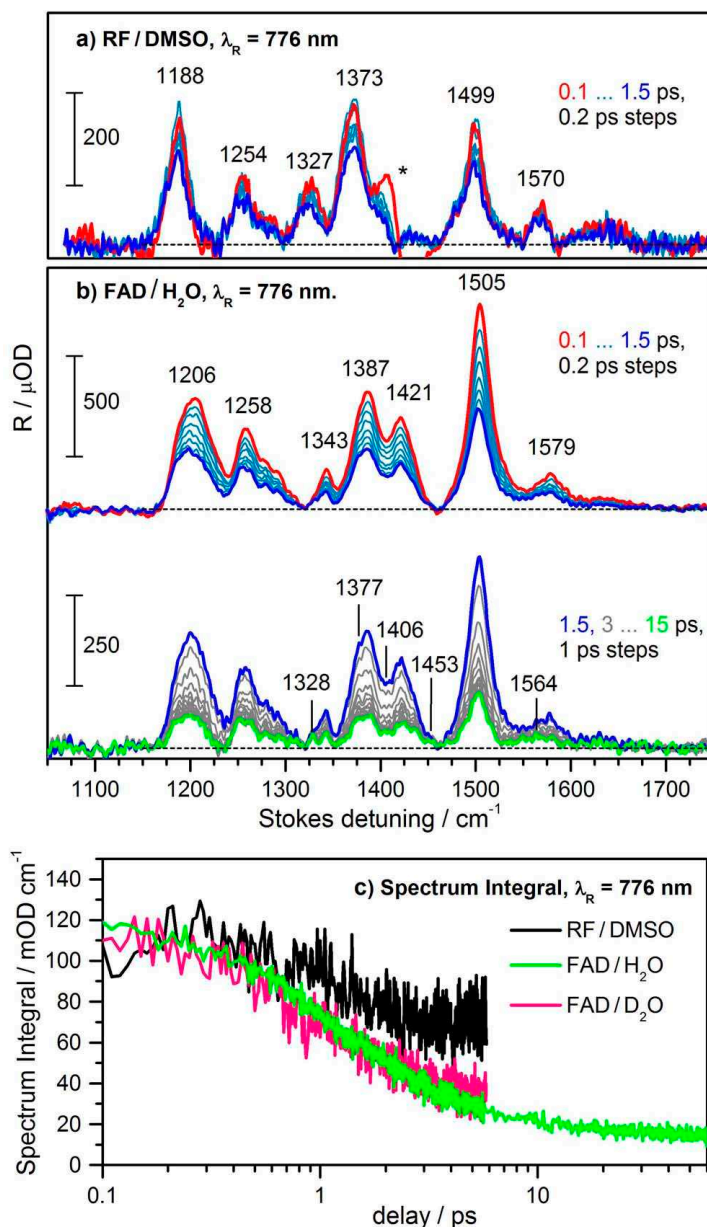
here already that the initial decay, until  $\sim 3$  ps, is probably related to depletion of the  $S_1$  state by the ps Raman pulse, as will be argued later.

Small spectral changes have molecular significance, though. Raman peaks shift slightly to lower frequencies on a 3 ps time scale, by  $\sim 1$  cm<sup>-1</sup> for RF/DMSO and  $\sim 2.5$  cm<sup>-1</sup> for FAD/water. A larger downshift is seen only for the 1579 cm<sup>-1</sup> band of FAD, albeit at low signal/noise. The shifts are accompanied by narrowing of the Raman bands, leading to better resolved spectra at longer delay times. For FAD/water this allows to identify peaks at 1328, 1377, 1406, and 1453 cm<sup>-1</sup>, which are only recognized as shoulders in initial spectra.

Spectral comparison of different experiments (sample, solvents, actinic excitation wavelength) is best done at  $t=0$ , before each system relaxes along different pathways. To generate the time-zero Raman spectrum global exponential analysis of the spectral evolution is employed. Two or three exponential functions were included, and the parameters varied freely to obtain the best fit of the time-dependent spectra.

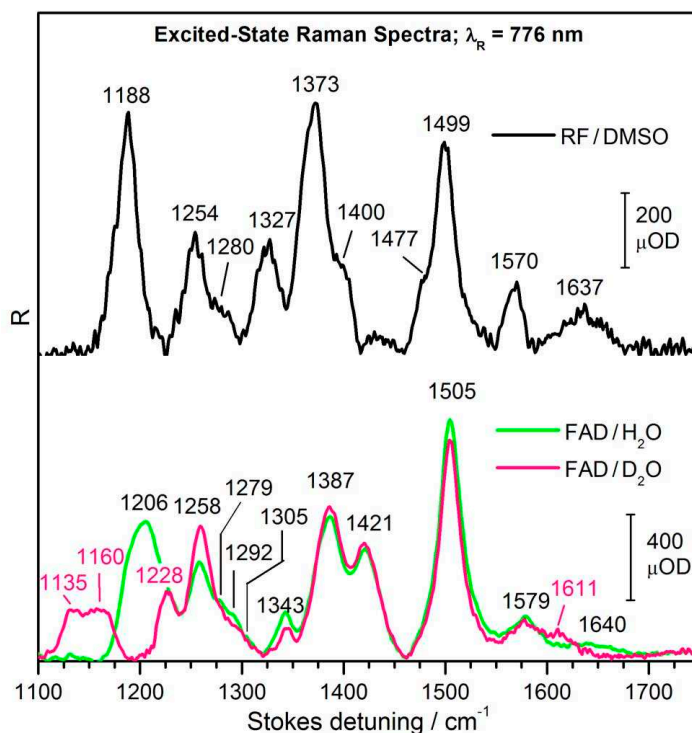
Time-zero spectra for measurements with 776 nm Raman excitation are shown in Figure 6.7. Compared to RF/DMSO (black), the bands of FAD/H<sub>2</sub>O (green) are observed





**Figure 6.6:** (a,b) Excited-state stimulated Raman spectra  $R(\nu)$  of flavin, from Raman pulses at 776 nm that are resonant with excited-state absorption only. (c) Time-traces of  $\int R(\nu)d\nu$  integrated over the range 1100–1650  $\text{cm}^{-1}$ . Spectra were recorded with parallel polarizations, and nonresonant solvent signal has been subtracted. The asterisk in (a) indicates a dispersive solvent residuum at earliest delay times.

at higher frequencies by up to 21  $\text{cm}^{-1}$ . Smaller shifts are observed for the bands at 1254  $\text{cm}^{-1}$ , with a shoulder around 1280 and 1499  $\text{cm}^{-1}$ . These changes are accompanied by a decrease in relative intensity for the bands at 1188, 1327, 1373, 1570, and 1637  $\text{cm}^{-1}$ .

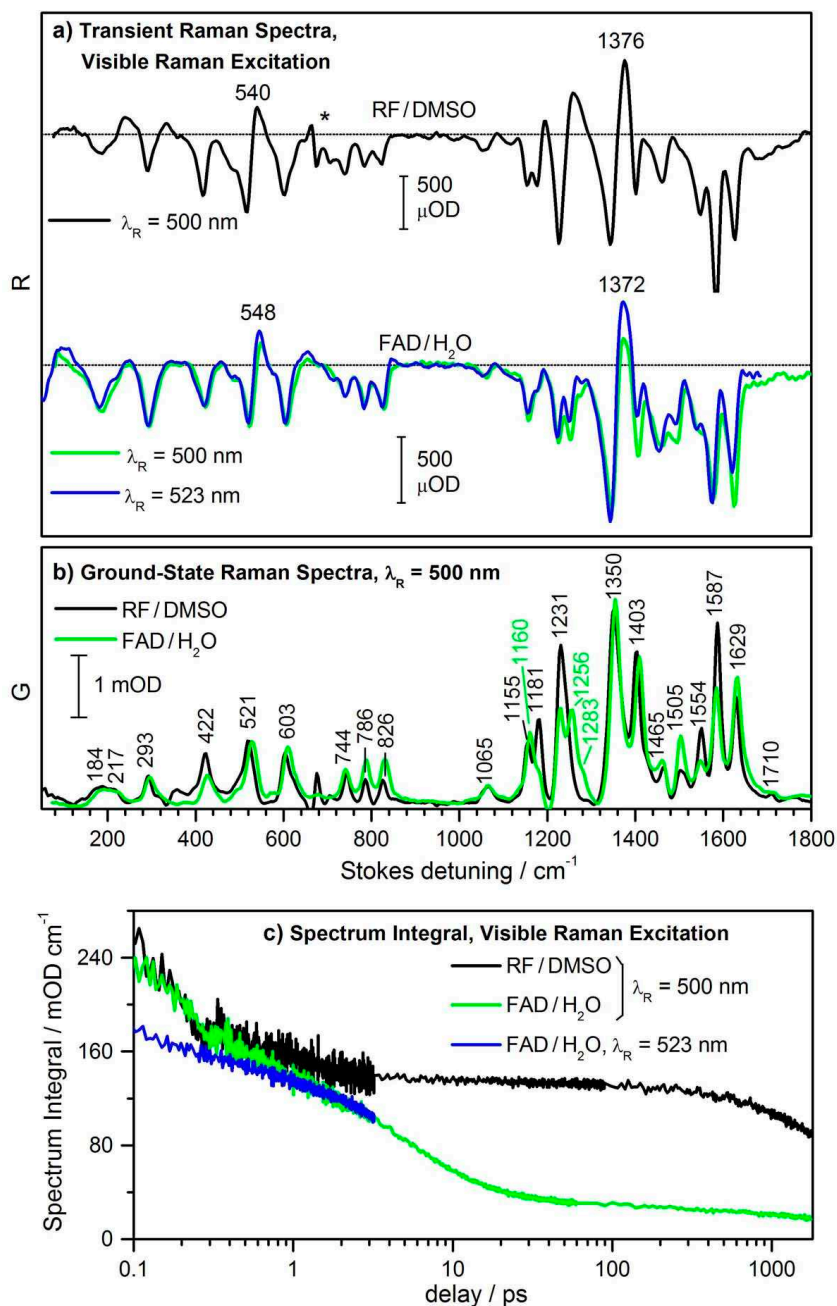


**Figure 6.7:** Excited-state stimulated Raman spectra  $R(\nu)$  at zero delay time, from Raman pulses at 776 nm, as in Figure 6.6 a,b. Shown are extrapolations to  $t = 0$  from a global multi-exponential fit of each evolution.

In heavy water (magenta) most of the FAD Raman signals are reproduced in position and relative amplitude. The strongest isotope effect is found for the  $1206\text{ cm}^{-1}$  band: it splits in  $\text{D}_2\text{O}$ , giving rise to a weaker band with two maxima at  $1135$  and  $1160\text{ cm}^{-1}$  and a band at  $1228\text{ cm}^{-1}$ . The position of the  $1258\text{ cm}^{-1}$  band is not affected by isotope substitution, but the band has slightly increased intensity in  $\text{D}_2\text{O}$ . Another change is found for the broad low-intensity band at  $1640\text{ cm}^{-1}$ , which shifts to  $1611\text{ cm}^{-1}$  in  $\text{D}_2\text{O}$ .

*Ground-state spectra  $G(\nu)$  upon 500 nm Raman excitation* are shown in Figure 6.8 b. Here Raman resonance with the first absorption band is used. Similar spectra are obtained upon pre-resonance at 523 nm (not shown). Compared to RF/DMSO (black), FAD/water (green) generally shows slightly up-shifted peak frequencies. Strong differences are observed between 1100 and  $1300\text{ cm}^{-1}$ : for FAD, bands around 1180 and  $1230\text{ cm}^{-1}$  have decreased intensity, and additional bands are found at 1256 and  $1283\text{ cm}^{-1}$ .

*Transient spectra  $R(\nu)$  upon 500–523 nm Raman excitation* also decay uniformly with only minor spectral changes, similar to what was observed above. Time-zero spectra are compared in Figure 6.8 a. They are dominated by negative features which resemble the ground-state Raman signal, and thus differ seriously from those which were observed in



**Figure 6.8:** (a) Transient stimulated Raman spectra  $R(\nu)$  of flavin after optical excitation, from Raman pulses at 500 and 523 nm. Now resonance originating from the  $S_1$  state involves not only excited-state absorption but also stimulated emission. Shown are extrapolations to  $t=0$  from a global multi-exponential fit of each evolution. Negative signal is largely due to the bleached  $S_0$  state. (b) Ground-state resonance Raman spectra  $G(\nu)$ . (c) Time-traces of  $\int |R(\nu)| d\nu$  integrated over the range 1100-1650 cm<sup>-1</sup>. Results for 523 nm Raman resonance have been scaled for better comparison.

the near-infrared. Positive (= emissive) contributions are found mainly around  $540\text{ cm}^{-1}$  and  $1370\text{ cm}^{-1}$ . In contrast to excitation at  $500\text{ nm}$ , the  $523\text{ nm}$  Raman pulse is only pre-resonant with the  $S_1 \leftarrow S_0$  transition. Spectra for FAD/ $\text{H}_2\text{O}$  under both resonance conditions are compared in Figure 6.8 a, and found to be identical within precision. The influence of various electronic transitions on the Raman signal was modelled in Section 2.4.2.

Time-dependent integrals are shown in Figure 6.8 c. Since  $R(\nu)$  now comprises positive and negative parts, the absolute values  $|R(\nu)|$  are integrated, as before over the range  $1100\text{--}1650\text{ cm}^{-1}$ . For  $t \geq 3\text{ ps}$  the results from transient absorption spectroscopy (Figure 6.4) are well reproduced. For example, with RF/DMSO only nanosecond decay of Raman intensity is found, whereas FAD exhibits again an additional loss on the  $5\text{--}10\text{ ps}$  time scale.

## 6.5 Discussion

### 6.5.1 Assignment of FSRS Bands Based on Quantum Chemistry

#### Ground state vibrations

Experimental and theoretical resonance Raman peak positions for the ground electronic state  $S_0$  are compared in Table 6.2. The experimental spectra of Figure 6.8 b for RF/DMSO, RF/ $\text{H}_2\text{O}$ , and FAD/ $\text{H}_2\text{O}$  have their theoretical analogue in Figure 6.9. The theoretical spectra were calculated by the IMDHO model as outlined above, with microsolvation (plus PCM) in the case of water, and only PCM for DMSO.

The resonance Raman spectra compare well to reports in the literature.<sup>[219–224]</sup> Band positions and intensities were found to be largely independent of the residue at  $\text{N}_{10}$  ( RF *vs.* FAD), but are sensitive to the proton donating properties of the solvent (DMSO *vs.* water).<sup>[224]</sup> The spectra are characterized by a high-frequency region above  $> 1000\text{ cm}^{-1}$  with characteristic high-intensity modes, and a low-frequency, low-intensity region  $< 1000\text{ cm}^{-1}$ . The latter contains in- and out-of plane vibrations of the ribityl chain or the flavin ring system, which are not analyzed in this section. Focusing on the  $> 1000\text{ cm}^{-1}$  fingerprint region and RF/DMSO first, dominant peaks are found at  $1629$ ,  $1587$ ,  $1554$ ,  $1403$ ,  $1350$ ,  $1231$ ,  $1181$ , and  $1155\text{ cm}^{-1}$  in the experimental spectrum, Figure 6.8 b. In the theoretical spectrum strong bands are found at  $1675$ ,  $1664$ ,  $1597$ ,  $1550$ ,  $1409$ ,  $1361$ ,  $1235$ ,  $1191$ , and  $1163\text{ cm}^{-1}$ .

The band assignment in Table 6.2 is consistent with the assignment of refs. 198,201, 203. For RF/DMSO the theoretical  $1675\text{ cm}^{-1}$  peak, for example, is assigned to the  $\text{C}_2\text{--O}_2$  stretching vibration. In the experiment no peak can be found in this region, probably because it is hidden under the broad  $1629\text{ cm}^{-1}$  band. Note that in the resonance Raman spectra the signals of the C-O stretch modes are weak – in contrast to IR signals, which are sensitive to the change of dipole moment upon vibration. For the calculations a small homogeneous bandwidth of  $10\text{ cm}^{-1}$  was chosen so that the peak is resolved, despite being weak.

The experimental  $1629\text{ cm}^{-1}$  band belongs to the  $\text{C}_6\text{--C}_7$ ,  $\text{C}_8\text{--C}_9$ ,  $\text{N}_5\text{--C}_{4a}$  stretching

mode, which is blue shifted to  $1664\text{ cm}^{-1}$  in theory. The experimental band at  $1587\text{ cm}^{-1}$  corresponds to the  $1597\text{ cm}^{-1}$  theoretical peak and belongs to the  $\text{C}_8\text{-C}_9$ ,  $\text{N}_5\text{-C}_{4a}$ ,  $\text{C}_{9a}\text{-C}_{5a}$  stretching vibration. The experimental  $1554\text{ cm}^{-1}$  peak is related mainly to the  $\text{C}_{10a}\text{-N}_1$  and  $\text{N}_{10}\text{-C}_{10a}$  stretching vibration, and appears at  $1550\text{ cm}^{-1}$  in theory. Further, the  $\text{C}_4\text{-N}_3$  and  $\text{C}_{10a}\text{-C}_{4a}$  stretching is found at  $1403\text{ cm}^{-1}$  experimentally, and at  $1409\text{ cm}^{-1}$  according to theory. Isoalloxazine framework vibrations are found at  $1350\text{ cm}^{-1}$  experimentally and  $1361\text{ cm}^{-1}$  theoretically for RF/DMSO. At  $1231\text{ cm}^{-1}$  (experiment) and  $1235\text{ cm}^{-1}$  (theory) the  $\text{C}_6\text{-H}$  bending is found,  $\text{C}_7\text{-C}_8$ ,  $\text{C}_{4a}\text{-C}_{10a}$ , and  $\text{C}_2\text{-N}_3$  stretching vibrations. The  $\text{C}_{4a}\text{-C}_{10a}$  and  $\text{C}_4\text{-N}_3$  stretching vibrations are assigned to the  $1181\text{ cm}^{-1}$  band experimentally, which appears at  $1191\text{ cm}^{-1}$  in theory. Further assignments can be found in Table 6.2.

The experimental resonance Raman spectrum changes when replacing DMSO by water, according to Table 6.2, in agreement with ref. 221 and ref. 225:

- (i) The band at  $1154\text{ cm}^{-1}$  shifts up to  $1161\text{ cm}^{-1}$ ;
- (ii) a weak  $1285\text{ cm}^{-1}$  band becomes more intense, shifting at the same time to  $1283\text{ cm}^{-1}$  (FAD);
- (iii) a new peak at  $1258\text{ cm}^{-1}$  appears, and the  $1231\text{ cm}^{-1}$  signal shifts to  $1229\text{ cm}^{-1}$  with its intensity decreasing;
- (iv) the intensity of the  $1505\text{ cm}^{-1}$  band increases, while that of the  $1554\text{ cm}^{-1}$  band decreases;
- (v) the band at  $1587\text{ cm}^{-1}$  downshifts slightly to  $1586\text{ cm}^{-1}$  and decreases in intensity.

The calculated resonance Raman spectra reproduce well the experimental trends, see Figure 6.9. The following analogous changes are found in the theoretical spectrum when replacing DMSO by water as a solvent:

- (i) the  $1163\text{ cm}^{-1}$  band shifts up to  $1166\text{ cm}^{-1}$ ;
- (ii) a new band at  $1293\text{ cm}^{-1}$  appears in the theoretical RF / water spectrum;
- (iii) the band at  $1235\text{ cm}^{-1}$  decreases in intensity and shifts to  $1233\text{ cm}^{-1}$ , where it is hidden under the peak at  $1252\text{ cm}^{-1}$ , which appears as a new signal;
- (iv) the  $1550\text{ cm}^{-1}$  band decreases in intensity, the two bands at  $1518$  and  $1530\text{ cm}^{-1}$  merge into a single band at  $1525\text{ cm}^{-1}$ ;
- (v) the band at  $1597\text{ cm}^{-1}$  downshifts to  $1593\text{ cm}^{-1}$ .

**Table 6.2:** Ground state ( $S_0$ ) Raman bands from 500 nm resonance of flavins in DMSO and water (in  $\text{cm}^{-1}$ ). Assignment based on B3LYP/TZVP calculation using resonance Raman signals obtained from the IMDHO model.

Experimental			Calculated			Mode Assignment <sup>a</sup>
RF/ DMSO <sup>b</sup>	RF/ H <sub>2</sub> O <sup>c</sup>	FAD/ H <sub>2</sub> O	RF/ DMSO <sup>d</sup>	RF/ H <sub>2</sub> O <sup>e</sup>	FAD/ H <sub>2</sub> O <sup>e</sup>	
1760	–	1781	1776	1784	1774	comb. band: 179+1597 (DMSO), 191+1593 (water)
1710	1714	1717	1718	1717	1715	C <sub>4</sub> -O <sub>4</sub> str
under 1629 <sup>f</sup>	under 1632	–	1675	1675	–	C <sub>2</sub> -O <sub>2</sub> str
1629	1632	1632	1664	1664	1663	C <sub>6</sub> -C <sub>7</sub> str, C <sub>8</sub> -C <sub>9</sub> str, N <sub>5</sub> -C <sub>4a</sub> str
1587	1586	1584	1597	1593	1595	C <sub>8</sub> -C <sub>9</sub> str, N <sub>5</sub> -C <sub>4a</sub> str, C <sub>9a</sub> -C <sub>5a</sub> str
1554	1550	1548	1550	1550	1550	C <sub>10a</sub> -N <sub>1</sub> str, N <sub>10</sub> -C <sub>10a</sub> str, C <sub>7</sub> -C <sub>8</sub> str, C <sub>5a</sub> -C <sub>9a</sub> str, N <sub>3</sub> -H (wag) (water)
1505	1503	1503	1518	1517	1506	C <sub>9</sub> -C <sub>9a</sub> str, N <sub>5</sub> -C <sub>5a</sub> str, N <sub>10</sub> -C <sub>10a</sub> str, HCH(CH <sub>3</sub> ) bend
1465	1466	1462	1489	1488	1477	C <sub>4a</sub> -N <sub>5</sub> str, N <sub>1</sub> -C <sub>10a</sub> str, C <sub>9</sub> -C <sub>9a</sub> str, C <sub>6</sub> -C <sub>7</sub> str, H-C-H (CH <sub>3</sub> ) bend
1403	1412	1409	1409	1430	1431	C <sub>4</sub> -N <sub>3</sub> str, C <sub>10a</sub> -C <sub>4a</sub> str
1350	1355	1354	1361	1362	1369	isalloxazine framework vibs., H-CH bend
			1315	1320	1330	from modes 1312/1319 (DMSO) and 1310/1324 (water),
1285	–	1283	–	1293	1301	N <sub>10</sub> -C <sub>7</sub> str, N <sub>5</sub> -C <sub>5a</sub> str, C <sub>6</sub> -H bend, C <sub>9</sub> -H bend, CHR <sup>g</sup> bend
–	1258	1256	–	1252	1263	N <sub>1</sub> -C <sub>2</sub> str, C <sub>2</sub> -N <sub>3</sub> , N <sub>10</sub> -C <sub>10a</sub> , C <sub>6</sub> -H bend, C <sub>9</sub> -H bend, CHR bend
						C <sub>2</sub> -N <sub>3</sub> str

... continued on next page

... Table 6.2 continued.

Experimental				Calculated			Mode Assignment <sup>a</sup>
RF/ DMSO <sup>b</sup>	RF/ H <sub>2</sub> O <sup>c</sup>	FAD/ H <sub>2</sub> O	FAD/ H <sub>2</sub> O	RF/ DMSO <sup>d</sup>	RF/ H <sub>2</sub> O <sup>e</sup>	FAD/ H <sub>2</sub> O <sup>e</sup>	
1231	1229	1230	1230	1235	under 1252	1228	C <sub>6</sub> -H bend, C <sub>7</sub> -C <sub>8</sub> str, C <sub>4a</sub> -C <sub>10a</sub> str, C <sub>2</sub> -N <sub>3</sub> str (only DMSO), C <sub>9</sub> -H bend, N <sub>1</sub> -C <sub>2</sub> str, CHR bend, O-H bend (ribityl chain)
1181	1183	1182	1182	1191	1205	1209	C <sub>6</sub> -H bend, C <sub>9</sub> -H bend, C <sub>4a</sub> -C <sub>10a</sub> str, C <sub>4</sub> -N <sub>3</sub> str, C <sub>5a</sub> -N <sub>4</sub> str, C <sub>9a</sub> -N <sub>10</sub> str
1154	1161	1160	1160	1163	1166	1177	N <sub>1</sub> -C <sub>2</sub> str, C <sub>7</sub> -N <sub>10</sub> str, N <sub>1</sub> -C <sub>10a</sub> str, C <sub>4a</sub> -C <sub>3</sub> str, C <sub>8</sub> -CH <sub>3</sub> -str
1065	–	1066	1066	1094	1069	1066	CHR bend

<sup>a</sup>str=stretch, wag=wagging, bend=bending, comb.=combination.<sup>b</sup>stimulated resonance Raman of RF in DMSO, Raman pulse at 500 nm.<sup>c</sup>from ref. 225.<sup>d</sup>Polarizable Continuum Model (PCM) only.<sup>e</sup>microsolvation by water molecules plus PCM.<sup>f</sup>peaks hidden “under” the corresponding band.<sup>g</sup>CHR=CH ribityl chain bending vibrations.

**Table 6.3:** Excited state ( $S_1$ ) Raman bands from 776 nm resonance of flavins in DMSO, water, and heavy water (in  $\text{cm}^{-1}$ ). Assignment based on TD-B3LYP/TZVP normal mode analysis.

Experimental			Calculated		Mode Assignment <sup>a</sup>
RF/ DMSO <sup>b</sup>	FAD/ H <sub>2</sub> O/D <sub>2</sub> O <sup>c</sup>	RF/ DMSO <sup>b</sup>	RF/ H <sub>2</sub> O/D <sub>2</sub> O <sup>c</sup>		
–	–	1674	1691/1641	C <sub>4</sub> -O <sub>4</sub> str	
1637	1640/1611	1658	1668/1635	C <sub>2</sub> -O <sub>2</sub> str	
1637		1621		C <sub>6</sub> -C <sub>7</sub> str, C <sub>8</sub> -C <sub>9</sub> str	
	1579 (broad)		1634/1539	C <sub>5a</sub> -C <sub>6</sub> str, C <sub>8</sub> -C <sub>9</sub> str	
1570		1534		C <sub>10a</sub> -N <sub>1</sub> str, C <sub>7</sub> -C <sub>8</sub> str, C <sub>4a</sub> -C <sub>10a</sub> str, C <sub>5a</sub> -C <sub>6</sub> str, C <sub>9</sub> -C <sub>9a</sub> str	
1499	1505	1505	1512/1509	C <sub>9a</sub> str	
1477	1505	1499	1490/1498	C <sub>4a</sub> -C <sub>10a</sub> str, C <sub>5a</sub> -C <sub>9a</sub> str, C <sub>7</sub> -C <sub>8</sub> -str, C <sub>10a</sub> -N <sub>1</sub> str	
1477	1505	1484	1483	CHR bend, C <sub>9</sub> -C <sub>9a</sub> str, C <sub>5a</sub> -C <sub>6</sub> str, C <sub>6</sub> -C <sub>7</sub> str,	
				CHR bend, C <sub>7</sub> -C <sub>8</sub> str, C <sub>5a</sub> -C <sub>9a</sub> str, C <sub>9</sub> -C <sub>9a</sub> str, C <sub>10a</sub> -N <sub>1</sub> str	
1477	1505	1479	1477	CHR bend, C <sub>10a</sub> -N <sub>1</sub> str, C <sub>9a</sub> -N <sub>10</sub> str, N <sub>5</sub> -C <sub>4a</sub> str,	
1400	1421	1429	1430	CHR bend, C <sub>4</sub> -C <sub>4a</sub> str, C <sub>4a</sub> -N <sub>5</sub> str, C <sub>8</sub> -C <sub>9</sub> str	
1400	1421	1407	1409	C <sub>6</sub> -H wag, N <sub>1</sub> -C <sub>2</sub> str, CHR wag	
1400	1421	1394	1397	C <sub>10a</sub> -N <sub>1</sub> , CHR	
1373	1387	1374	1377	C <sub>4a</sub> -C <sub>10a</sub> str, C <sub>5a</sub> -C <sub>9a</sub> str, C <sub>6</sub> -C <sub>7</sub> str, N <sub>1</sub> -C <sub>2</sub> str	
1327	1343	1354	1363	f.v.	
1327	1343	1338	1342	f.v.	
1327	1305	1301	1316	f.v., N <sub>3</sub> -H wag, C <sub>6</sub> -H wag, C <sub>9</sub> -H wag	
1254	1291	1284	1291/1292	N <sub>10</sub> -C <sub>10a</sub> , C <sub>9</sub> -H wag,	
1254	1258	1272	1275/1276	N <sub>5</sub> -C <sub>5a</sub> , N <sub>3</sub> -C <sub>4</sub> , ring I open, in D <sub>2</sub> O: N <sub>3</sub> -H wag	
–	–	–	1249/1238	CH-ribityl chain	
–	–	–	1226/1228	CH-ribityl chain, (D <sub>2</sub> O bending)	

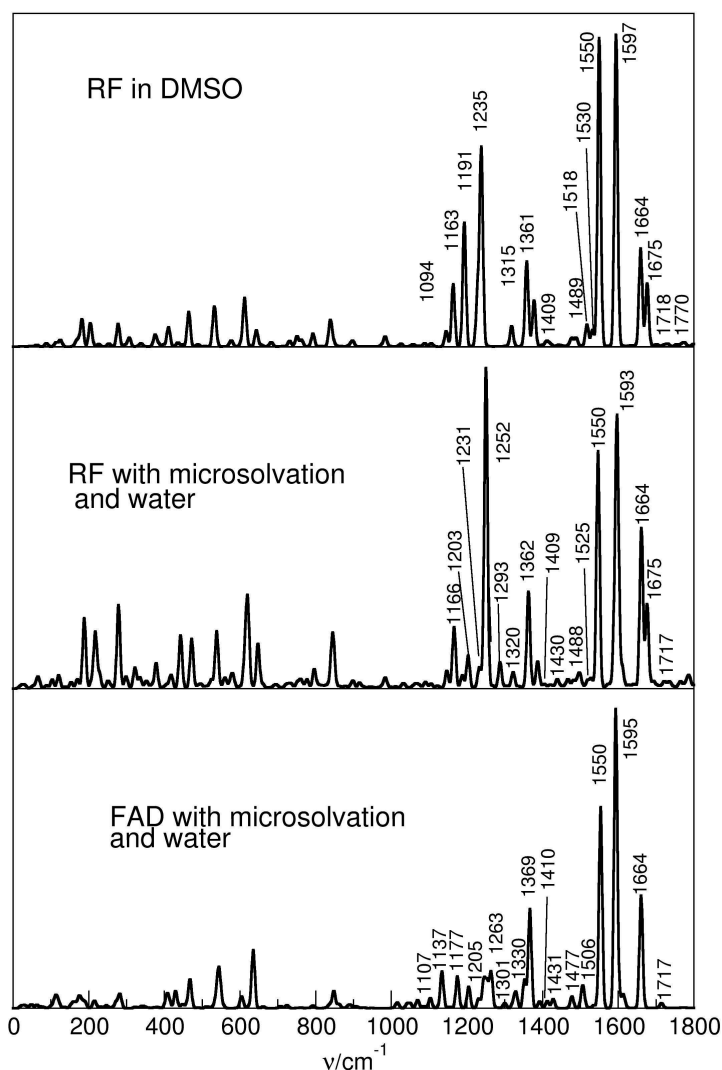
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... Table 6.3 continued.

Experimental		Calculated		Mode Assignment <sup>a</sup>
RF/ DMSO <sup>b</sup>	FAD/ H <sub>2</sub> O/D <sub>2</sub> O <sup>c</sup>	RF/ DMSO <sup>b</sup>	RF/ H <sub>2</sub> O/D <sub>2</sub> O <sup>c</sup>	
1188	1206/1228	1184	1208/1203+1266	C <sub>2</sub> -N <sub>3</sub> , C <sub>4a</sub> -C <sub>10a</sub> , N <sub>5</sub> -C <sub>5a</sub> , C <sub>6/9</sub> -H wag, in D <sub>2</sub> O: N <sub>3</sub> -H wag
under <sup>d</sup> 1188	under 1206	1184	1200/1176	C <sub>2</sub> -N <sub>3</sub> , C <sub>5a</sub> -N <sub>5</sub> , C <sub>6</sub> -C <sub>7</sub> , in D <sub>2</sub> O: N <sub>3</sub> -H wag
under 1188	under 1206/1160	1156	1161/1151	N <sub>1</sub> -C <sub>2</sub> (in D <sub>2</sub> O), N <sub>3</sub> -C <sub>4</sub> (in H <sub>2</sub> O), N <sub>10</sub> -C <sub>1</sub> , CH <sub>3</sub> -C <sub>8</sub> str, N <sub>5</sub> -C <sub>5a</sub> , in D <sub>2</sub> O: N <sub>3</sub> -H wag
under 1188	under 1206/1135	1140	1144/1136	N <sub>3</sub> -C <sub>4</sub> str, N <sub>5</sub> -C <sub>5a</sub> str, CH <sub>3</sub> -C <sub>8</sub> str, N <sub>10</sub> -C <sub>10a</sub> , in D <sub>2</sub> O: N <sub>3</sub> -H wag
1188		1220		f.v.
1188		1197		C <sub>6</sub> -C <sub>7</sub> , C <sub>6</sub> -H wag, C <sub>2</sub> -N <sub>1</sub> str

<sup>a</sup>str=stretch, wag=wagging, bend=bending, comb.=combination, f.v.=framework vibrations.<sup>b</sup>Polarizable Continuum Model (PCM) only.<sup>c</sup>microsolvation by water molecules plus PCM.<sup>d</sup>peaks hidden “under” the corresponding band.



**Figure 6.9:** Calculated resonance Raman spectra of the flavin ground state  $S_0$ . Theory was on the B3LYP/TZVP/IMDHO level, and excited-state broadening of  $\hbar\Gamma_1 = 10 \text{ cm}^{-1}$  has been applied.

The following explanations for the observed changes can be given, based on the calculations.

- (i) The  $1161 \text{ cm}^{-1}$  band in the RF /  $\text{H}_2\text{O}$  spectrum is assigned to framework vibrations of the polar moiety of the isoalloxazine unit, mainly  $\text{N}_1\text{-C}_2$ ,  $\text{N}_1\text{-C}_{10a}$ , and  $\text{C}_{4a}\text{-C}_3$  stretching vibrations. Due to the influence of the hydrogen-bonds on the polar side of the ring system, these vibrations, which are also located at the polar side but not directly involved in H-bonds, are more restricted and hence the frequency is blue shifted when replacing DMSO by water.

- (ii) In the calculated spectrum for RF/DMSO a band in the  $1285\text{ cm}^{-1}$  region is not observed. In water a band is found at  $1293\text{ cm}^{-1}$  that belongs mainly to the  $\text{N}_1\text{-C}_2$ ,  $\text{C}_2\text{-N}_3$ ,  $\text{N}_{10}\text{-C}_{10a}$  stretching vibrations. Due to the hydrogen bonds, these vibrations become more intense. It may be speculated that this has to do with a higher Raman polarizability of the larger, H-bonded system for this transition.
- (iii) The band at  $1252\text{ cm}^{-1}$  of RF/water is assigned to the  $\text{C}_2\text{-N}_3$  stretching vibration. Here also the argument comes into play: due to the hydrogen bond the  $\text{C}_2\text{-N}_3$  bond is more restricted, hence the frequency shifts upward. This assignment is supported by isotope labeling experiments. In ref. 222, exchanging the hydrogen in the  $\text{N}_3\text{-H}$  bond by deuterium, the  $1256\text{ cm}^{-1}$  band of FAD/water was found to shift upward by  $43\text{ cm}^{-1}$  to  $1295\text{ cm}^{-1}$ . When replacing (for RF/DMSO) the  $\text{N}_3\text{-H}$  group by  $\text{N}_3\text{-D}$  (and also the four water molecules by  $\text{D}_2\text{O}$ ), according to our calculations this mode shifts by a comparable  $52\text{ cm}^{-1}$  from  $1252$  to  $1304\text{ cm}^{-1}$ . In the RF/DMSO spectrum this stretching vibration is spread over three (calculated) modes at  $1235$ ,  $1228$ , and  $1191\text{ cm}^{-1}$ . So the  $\text{C}_2\text{-N}_3$  stretching vibration is in the DMSO spectrum part of the  $1235\text{ cm}^{-1}$  mode. Therefore the intensity increases when changing the solvent from water to DMSO.
- (iv) At the moment no suitable explanation can be given why the experimental  $1505\text{ cm}^{-1}$  peak rises and the  $1554\text{ cm}^{-1}$  decreases.

In summary, major changes in the  $S_0$  resonance Raman spectrum are due to hydrogen-bonding which causes either more restricted or more intense vibrations at the polar side of the isoalloxazine moiety. The experimental and theoretical spectra match well, but the latter are slightly blue shifted, probably because of the harmonic approximation. Peak intensities are also well represented, with a few exceptions. For example the intense experimental peak at  $1403\text{ cm}^{-1}$  is weak (and shifted to  $1409\text{ cm}^{-1}$ ) according to theory. One may have to go beyond the IMDHO model to improve on that. — The adenine moiety in FAD has less influence on the resonance Raman spectrum. Indeed, the resonance Raman spectra of RF/water and FAD/ $\text{H}_2\text{O}$  are rather similar, both according to Tables 6.2 and 6.9 (see also Figure 6.8 b). Differences are slightly larger in theory compared to experiment, on the order of a few wavenumbers.

### Excited state vibrations

An analogous band assignment for the  $S_1$  state is presented in Table 6.3. Listed are the main experimental peak positions of RF/DMSO and FAD/water from resonance at  $776\text{ nm}$ , and they are compared to the theoretical values for RF/DMSO and RF/water. It should be mentioned here that the transient Raman spectra of Figure 6.7 provide (to the best of our knowledge) the first direct view of vibrational structure in  $S_1$ , *i.e.* not superimposed by ground-state bleaching effects. — FAD is expected to behave similar to RF. In calculations for water solutions, isotope labeling was considered by replacing  $\text{H}_2\text{O}$  with  $\text{D}_2\text{O}$  and  $\text{N}_3\text{-H}$  with  $\text{N}_3\text{-D}$ . The assignment of excited-state vibrations is based on normal mode analysis of the TD-B3LYP/TZVP geometry-optimized  $S_1$  state as outlined earlier.

Let us focus on the effects of isotope labeling – a more general discussion of excited state vibrations of flavins can be found elsewhere.<sup>[203]</sup> In the experimental spectrum of FAD in (heavy) water as shown in Figure 6.7 and reported in Table 6.3, the most obvious changes in the region around  $1200\text{ cm}^{-1}$  are the blue shift of a broad  $1206\text{ cm}^{-1}$  band to  $1228\text{ cm}^{-1}$ , and the appearance of new peaks at  $1160\text{ cm}^{-1}$  and  $1135\text{ cm}^{-1}$ . According to our calculations for RF/H<sub>2</sub>O, the experimental  $1206\text{ cm}^{-1}$  band corresponds to several modes at  $1208\text{ cm}^{-1}$ ,  $1200\text{ cm}^{-1}$  (C<sub>2</sub>-N<sub>3</sub> stretch),  $1161\text{ cm}^{-1}$ , and  $1144\text{ cm}^{-1}$  (N<sub>10</sub>-C<sub>9a</sub>, CH<sub>3</sub>-C<sub>8</sub>, N<sub>5</sub>-C<sub>5a</sub>, C<sub>4</sub>-C<sub>4a</sub> stretch). In theory upon changing the solvent from water to heavy water the C<sub>2</sub>-N<sub>3</sub> stretching mode ( $1208\text{ cm}^{-1}$ ) splits into  $1203$  and  $1266\text{ cm}^{-1}$ , and the  $1200\text{ cm}^{-1}$  shifts to  $1176\text{ cm}^{-1}$ . So in the D<sub>2</sub>O spectrum the experimental, blue shifted  $1228\text{ cm}^{-1}$  band and parts of the experimental  $1160\text{ cm}^{-1}$  signal are assigned to the C<sub>2</sub>-N<sub>3</sub> stretching vibration. In D<sub>2</sub>O, the theoretical  $1161\text{ cm}^{-1}$  and  $1144\text{ cm}^{-1}$  peaks are downshifted to  $1151$  and  $1136\text{ cm}^{-1}$ , respectively. Moreover, in D<sub>2</sub>O the theoretical modes at  $1176$ ,  $1151$  and  $1136\text{ cm}^{-1}$  are strongly coupled to N<sub>3</sub>-H (N<sub>3</sub>-D) wagging. The appearance of the new  $1160$  and  $1135\text{ cm}^{-1}$  peaks in experiments is explained by the strong coupling of the isoalloxazine framework vibrations to the N<sub>3</sub>-H (N<sub>3</sub>-D) wagging mode, which causes a rise in intensity, and the downshift. In aprotic DMSO the C<sub>2</sub>-N<sub>3</sub> stretching vibration is found at  $1184\text{ cm}^{-1}$  by theory and at  $1188\text{ cm}^{-1}$  by experiment.

In the energetically higher region, the experimental  $1640\text{ cm}^{-1}$  band for FAD/H<sub>2</sub>O is red-shifted by  $29\text{ cm}^{-1}$  to  $1611\text{ cm}^{-1}$  in D<sub>2</sub>O. This band is assigned to the C<sub>2</sub>-O<sub>2</sub> stretching vibration. According to calculations, the band shifts from  $1668\text{ cm}^{-1}$  in water to  $1635\text{ cm}^{-1}$  in heavy water, by  $33\text{ cm}^{-1}$ . In DMSO, the corresponding signal is at  $1658\text{ cm}^{-1}$ , indicating that in the S<sub>1</sub> state H-bridges can cause red- or blue-shifts of the carbonyl stretch, depending on whether H<sub>2</sub>O (blue) or D<sub>2</sub>O (red) is considered. Similar observations are made, from the theory side at least, for the C<sub>4</sub>-O<sub>4</sub> stretching vibration. In contrast, the C<sub>4</sub>-O<sub>4</sub> vibration in the ground state is red-shifted already in H<sub>2</sub>O, due to the weakening of the carbonyl bond by the hydrogen bond. This is seen more clearly in theory than in experiment, because the resonance Raman signals of the C-O stretch modes are weak as mentioned above.

As a final observation for this section it is noted that in the experimental spectrum (Figure 6.7) the intensity of the  $1258\text{ cm}^{-1}$  band of FAD/H<sub>2</sub>O increases in intensity in D<sub>2</sub>O, without changing position. In DMSO this band appears at  $1254\text{ cm}^{-1}$ , and it is assigned it to N<sub>5</sub>-C<sub>5a</sub>, N<sub>3</sub>-C<sub>4</sub> and ring I contraction vibrations. In heavy water this mode is coupled to N<sub>3</sub>-H (N<sub>3</sub>-D) wagging. In theory, it shifts from  $1272\text{ cm}^{-1}$  in DMSO to  $1275\text{ cm}^{-1}$  in water and  $1276\text{ cm}^{-1}$  in D<sub>2</sub>O.

### 6.5.2 Raman Band Shapes

The shape of the femtosecond stimulated Raman signal strongly depends on the resonance conditions. For Raman excitation at  $776\text{ nm}$  only  $S_n \leftarrow S_1$  transitions play a role, and the conditions resemble those encountered for *trans*-stilbene (see Chapter 4). Like in that case, only the blue-shaded pathways in Figure 2.7 have to be considered. The number of contributing optical processes is further reduced, because the higher excited states  $S_n$  are expected to be depopulated in less than  $0.1\text{ ps}$  (see also Section 6.5.4). As

a consequence, only pathways in which an intermediate coherence is generated in the first excited state  $S_1$  give contributions to the signal that are spectrally narrow enough to be distinguished from the background (*cf.* the simulation in Figure 2.8, left). Thus, the transient Raman signal at 776 nm is dominated by the  $R_{3e}^A$  response, but at early delay times, when the system is vibrationally excited, also the pathway  $R_{4e}^B$  may play a role. Hence, the measured signal resembles the spectra that would be obtained in a spontaneous Raman experiment on the  $S_1$  state (although the time resolution in the present experiment is better). The absence of ground-state signals and of band distortion is the reason why the Raman spectra recorded at 776 nm can be compared directly to the quantum-chemical calculations.

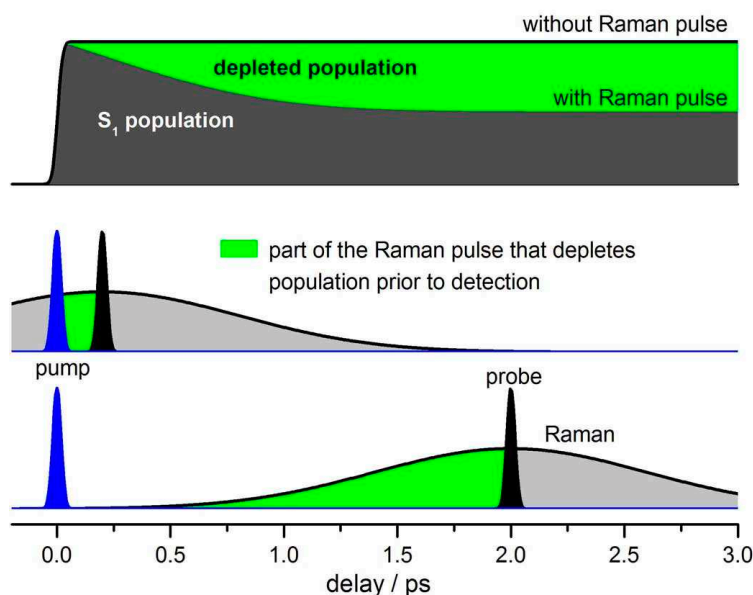
For visible Raman pulses, the signal is more complicated. At 523 nm the Raman pulse is resonant with the  $S_n \leftarrow S_1$  and the  $S_1 \rightarrow S_0$  transition. In contrast to the *cis*-stilbene measurements in Section 4.4.2, where the influence of the stimulated emission was small, its contribution to the early transient absorption signal of flavin amounts to 25% at 523 nm, as deduced from the decomposition of the transient absorption spectra in Section 6.5.5. Simulations suggest, that  $S_1 \rightarrow S_0$  resonance gives rise to negative Raman bands at the positions of the ground state frequencies (Figure 2.8, right, and the associated discussion), in agreement with the strong negative signals observed experimentally.

With 500 nm Raman excitation also the ground state bleach should give additional negative contributions to the signal. However, a difference in signal shape between Raman resonance at 500 and 523 nm is not found. It follows that either the bleach does not play a role or else contributions from  $S_1 \leftarrow S_0$  and  $S_1 \rightarrow S_0$  resonance can not be distinguished. Therefore a quantitative analysis of spectra as in Figure 6.8 a is not reasonable at present; what remains is an empirical view of integrated resonance Raman signal strength in this case. In future work, dominant  $S_1 \rightarrow S_0$  resonance should be sought at 570 nm (see Section 5.6), in addition to the useful spectra generated at 776 nm, Figure 6.6.

### 6.5.3 Vibrational Spectroscopy in Time

*The decay of Raman intensities* was already shown in Figures 6.6 c and 6.8 c; a multiexponential description gave the time constants and relative amplitudes of Table 6.1. After  $\sim 3$  ps the decay of Raman intensity is comparable to that of transient absorption and fluorescence. This is best seen for measurements with 500 nm Raman excitation, which span the same time range as transient absorption. For both FAD/water and RF/DMSO the nanosecond decay is reproduced. Quenching of  $S_1$  FAD via a putative electron-transfer pathway (see below) is found as decay with  $\tau = 7\text{--}8$  ps. A small additional decay with 68 ps (5%) matches, within the experimental error, the 84 ps component seen by transient absorption (Figure 6.4 c).

Differences in decay behavior are found within the first 3 ps. Here the transient Raman signal shows additional fast components which are absent in absorption and fluorescence experiments. They are similar in all investigated cases but depend on Raman conditions. The largest initial loss, 40–70 %, is found with 776 nm excitation. Smaller loss is observed with 500 nm excitation and the evolution is faster. In measurements with 523 nm Raman

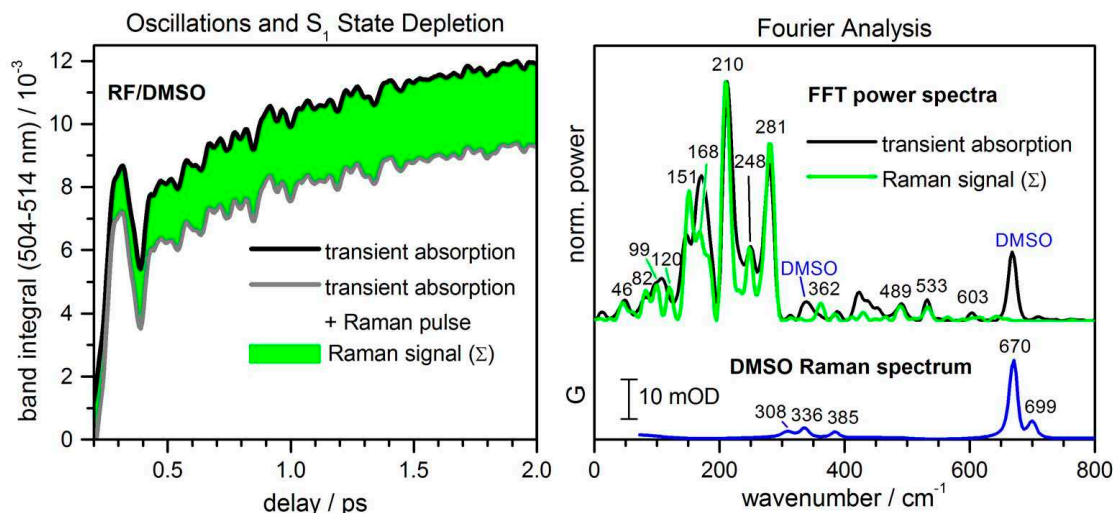


**Figure 6.10:** Explanation of the initial decay of the Raman signal by population depletion.

excitation only 16 % of the signal decays on the sub-picosecond time scale.

An initial drop of Raman intensity was also reported in Chapter 4 for excited stilbene and attributed loosely to IVR and dynamic solvation. In the present work the initial decay does not depend on the solvent. On the other hand, the Raman pulse parameters are varied here as shown in Table 6.1. Strong loss for 776 nm Raman excitation goes together with a high pulse energy of  $3.3 \mu\text{J}$ , suggesting that population depletion may play a role. Comparison shows that the early decay lasts approximately half the Raman pulse duration, which is also given in Table 6.1. On this basis the depletion model of Figure 6.10 is proposed. Population is pumped to the  $S_1$  state by the actinic pulse, and its relaxation and decay is monitored by stimulated Raman scattering with the delayed probe pulse. Let the Raman and probe pulses overlap in their maximum; then the first half of the Raman pulse precedes the scattering event and may deplete population prior to detection, leading to a loss of Raman intensity. At short delay times the depleted population depends on the convolution of the preceding part of the Raman pulse with the unperturbed population dynamics. This effect leaves a temporal signature which is not related to molecular relaxation processes but reflects the Raman pulse characteristics. As in the present work, commonly Raman pulses are applied that have energies comparable to the actinic pump pulse.<sup>[12,14,16,19,21,23]</sup> Under such conditions, depletion should be taken into account. Mixed actinic pump/Raman/probe pathways cause further coherent contributions to the signal when the Raman and actinic pulses overlap.

Spectral shift and narrowing of Raman bands should not be affected by Raman pumping as described above, even though they occur on a similar time scale. For example,

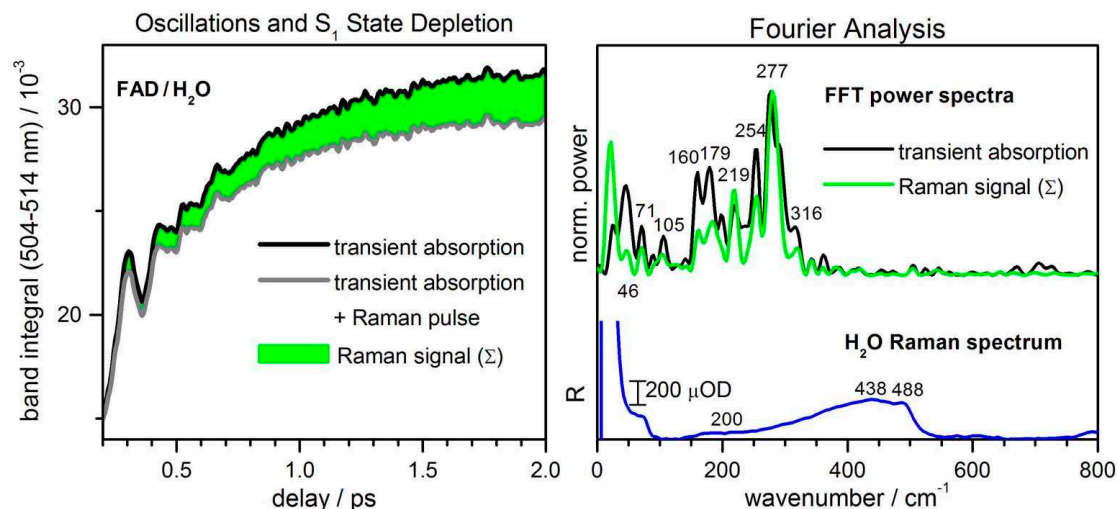


**Figure 6.11:** Marking chromophore wavepackets, with RF/DMSO as example. Left: Transient absorption averaged over the region 504-514 nm, without picosecond Raman pulses (TAS, black) and with the Raman pulses turned on (grey). The difference (green) is the raw Raman signal  $\Sigma$ . Right: Fourier power spectra (top) of the oscillations in TAS (black) and  $\Sigma$  (green) of the left panel. Below, the non-resonant stimulated Raman spectrum of pure DMSO is shown for comparison.

with 776 nm Raman pulses a  $S_n \leftarrow S_1$  transition is pumped. Assuming a short lifetime for  $S_n$ , the newly induced Raman signal must be spectrally broad and therefore does not disturb the well-defined  $S_1$  Raman bands. If the population returns to  $S_1$  before the probe pulse arrives, the transient Raman spectrum will carry bands from population in high-lying  $S_1$  vibrational states. This should lead to broadening of the signal, in contradiction with experiment. It is concluded that the spectral shape is not altered significantly by interaction with the Raman pulse. The observed changes could be related to solvation dynamics instead that are discussed later.

Up to now, population transfer by the picosecond Raman pulse was considered an artefact. In the following two sections it is shown that the effect can be used to mark  $S_1$  wavepackets.

*Oscillations in Transient Absorption.* Femtosecond experiments allow to follow nuclear motion of a molecule in time,<sup>[226–229]</sup> thus providing an approach to vibrational spectroscopy that is complementary to the frequency-resolved Raman approach presented so far. The short actinic pump pulse has inherent spectral width, so that the first two electric field interactions in Figure 2.7 may prepare a coherent superposition of vibrational levels in the ground or excited state. This wavepacket evolves in time with the pertinent normal-mode frequency, thereby modulating the spectral properties of  $S_0$  or  $S_1$ . The transient absorption band integral of RF/water over the region 504–514 nm, shown in Figure 6.11, left (black), exhibits low-frequency oscillations. As



**Figure 6.12:** Wavepackets motion for FAD/H<sub>2</sub>O. Left: Transient absorption averaged over the region 510–519 nm, without picosecond Raman pulses (TAS, black) and with the Raman pulses turned on (grey). The difference (green) is the raw Raman signal  $\Sigma$ . Right: Fourier power spectra (top) of the oscillations in TAS (black) and  $\Sigma$  (green) of the left panel. Below, the non-resonant stimulated Raman spectrum of pure H<sub>2</sub>O is shown for comparison.

shown in Figure 6.12. Similar results are obtained for FAD/water. The signal was fitted by a sum of exponential functions and a Fourier transform was performed on the residual. The power spectrum, given in Figure 6.11, right, comprises several vibrational bands. In this experiment the probe pulse is resonant with flavin excited-state absorption and stimulated emission but does not overlap with ground-state absorption (Figure 6.3). Therefore specifically vibrations on the  $S_1$  electronic state are monitored. Only those flavin vibrations contribute that are excited by Franck-Condon transitions from the ground state. The relative signal intensity then depends on the  $S_n \leftarrow S_1$  (ESA) and  $S_1 \rightarrow S_0$  (SE) Franck-Condon overlap and the system temporal apparatus function (here  $\sim 50$  fs fwhm). Note the difference to the FSRR experiment, where vibrational signals are not limited to impulsively excited modes.

Strongest bands in the power spectrum are found at 170, 210, 280  $\text{cm}^{-1}$ , in agreement with previous experiments.<sup>[180]</sup> Whereas the low-frequency vibrations  $> 120 \text{ cm}^{-1}$  mainly describe in-plane deformation, modes around 100  $\text{cm}^{-1}$  (82, 99, and 120  $\text{cm}^{-1}$ ) were assigned to out-of-plane deformations of the isoalloxazine ring. In pure  $C_S$  symmetry, these modes should not be optically active for the  $S_1 \leftarrow S_0$  transition. Recent calculations, however, demonstrated that flavin is sufficiently distorted by the ribose chain to render these modes Franck-Condon active.<sup>[203]</sup> Wavepacket motion with  $\sim 100 \text{ cm}^{-1}$  is observed clearest for the transient absorption and fluorescence signal of RF/DMSO (see also Figures 6.5 and 6.18), but similar signal modulations are also found in aqueous solution.

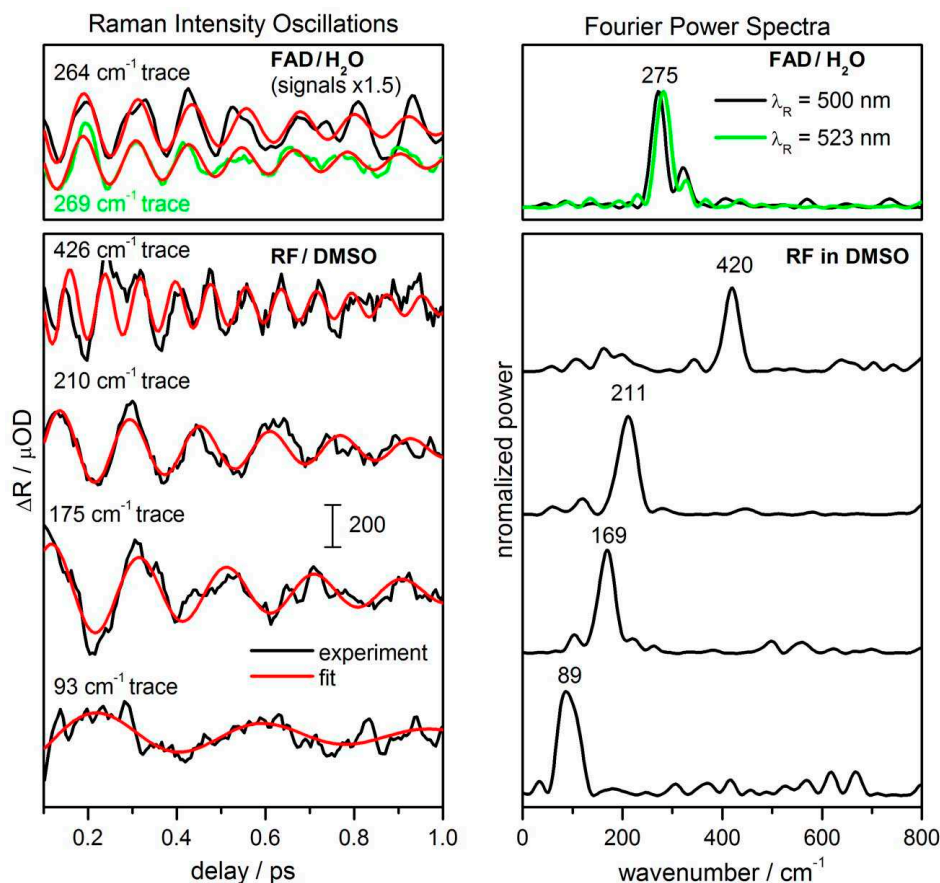


*Marking  $S_1$  wavepackets.* Solvent nuclear oscillations contribute to the transient absorption signal as well. But since the actinic pump does not reach electronic transitions of the solvent, wavepackets are only prepared in the electronic ground state by non-resonant impulsive Raman scattering. Due to the high molecular abundance, a significant solvent contribution may be observed. By comparing the Fourier power spectrum in Figure 6.11 with the Raman spectrum of DMSO bands at 336 and 670  $\text{cm}^{-1}$  are identified as originating from solvent wavepackets.

Population depletion by the picosecond (Raman) pulse was discussed before. It leads to a decreased transient absorption signal shown for 500 nm Raman excitation in Figure 6.11, left (grey). The induced difference  $\Sigma$ , marked green, is dominated by a broad “sequential” background  $B$  and a smaller coherent contribution  $R$ , discussed previously. The population depletion which causes  $B$  depends on the availability of resonant electronic states. Fourier analysis of  $\Sigma$ , shown in Figure 6.11, right (green), reveals the same flavin oscillations as the original transient-absorption signal. However, since solvent electronic transitions are not accessible for population transfer by the picosecond pulse, the Fourier spectrum of  $\Sigma$  completely lacks DMSO bands. Thus, Fourier analysis of the background  $B$  (here for simplicity the complete signal  $\Sigma$  is used) provides an elegant way to obtain excited-state vibrational spectra which are free from solvent contributions. Compared to depletion experiments with femtosecond dump pulses,<sup>[100,226]</sup> the narrow bandwidth of the picosecond “marker” pulse prevents a formation of wavepackets which may contaminate the signal. As a price, information on the ultrafast temporal evolution of vibrations is of course no longer provided.

Also for FAD in water wavepacket motion is seen as oscillations of the transient absorption and Raman background signals, as shown in Figure Figure 6.12 for the band integral over the range 510–519 nm. Water is a poor Raman scatterer; this can be seen from a comparison of the scales for the stimulated Raman signal in Figure 6.12 and Figure 6.11. A water contribution can not be identified clearly in the Fourier power spectrum of the transient absorption oscillations. The deviation of the black spectrum from the green one in the regions  $< 100 \text{ cm}^{-1}$  and around  $200 \text{ cm}^{-1}$  may originate from solvent contributions, but could also result from differences in the subtraction of the non-oscillatory background.

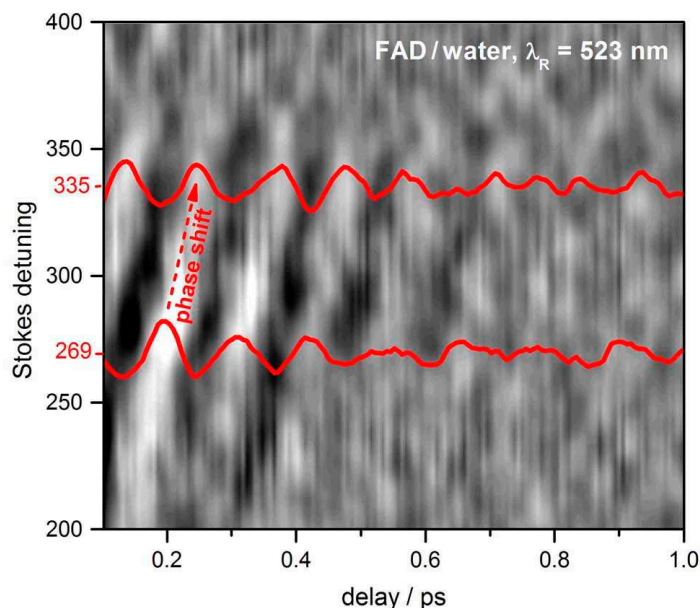
*Oscillations of Raman Bands.* Whereas the previous paragraph focused on an analysis of the Raman background  $B$ , in Figures 6.13 and 6.14 oscillations of Raman bands themselves are presented. In measurements of RF/DMSO with a Raman wavelength of 500 nm, oscillations of the background-corrected signal  $R(\nu)$  are found around 90, 170, 210, and 420  $\text{cm}^{-1}$ . For FAD/water, oscillations of the Raman signal are mainly observed around 300  $\text{cm}^{-1}$  (see also Figure 6.14). As shown in Figure 6.13 for FAD/water, the signal evolution is comparable for 500 (black) and 523 nm (green) Raman excitation. Fourier analysis of the traces reveals that the signal oscillates with the pertinent frequency. Similar low-frequency oscillations were found for Raman bands of stilbene (Chapter 4), but also for green fluorescent protein,<sup>[23]</sup> and were assigned to wavepacket motion along the corresponding normal modes. Note that such oscillations can not be



**Figure 6.13:** Left: Oscillations of background corrected Raman signals of FAD/water and RF/DMSO at the indicated frequencies measured with 500 nm (black) and 523 nm (green) Raman excitation; the traces were smoothed by a Sawitzky-Golay filter. A fit with a single oscillation, exponentially damped with  $\gamma = 0.6$  ps, is shown in red. Right: Fourier power spectra of the traces.

related to depletion artefacts from the narrowband Raman pulse. It is pointed out that wavepacket motion affects transient absorption and Raman signals differently: in transient absorption broad vibronic bands are modulated, resulting in gradual changes of the Fourier components over the spectrum; in contrast, Raman signals show modulation of sharp vibrational bands, and different frequencies are found for adjacent bands.

Figure 6.14 shows a contour plot of the oscillations found in the FAD Raman signal. The oscillatory modulation is clearly restricted to an  $\sim 100$  cm<sup>-1</sup> wide spectral region around 300 cm<sup>-1</sup>, over which the phase shifts by 180°. This behaviour is compatible with the idea that wavepacket motion induces changes in the polynomial prefactor in equation (2.99), thus leading to oscillatory distortion of the corresponding Raman band. Under the current resonance conditions the Raman shapes are too complicated to allow a clear assignment of the oscillations to specific bands. Moreover, the Raman spectra at



**Figure 6.14:** Oscillations of the transient Raman signal from FAD/water, measured with 523 nm Raman excitation: contour plot and selected traces.

500 nm excitation comprise contributions from both the ground and excited state. Thus, from the Raman signal a distinction between wavepackets on the  $S_0$  and  $S_1$  potential energy surface is not possible with the present data. Nonetheless, Figures 6.13 and 6.14 demonstrate the feasibility of simultaneous time- and frequency-domain Raman spectroscopy in the presence of an oscillating background from transient absorption.

#### 6.5.4 Relaxation of Excited Flavin Seen by Transient Absorption and Fluorescence

*Fluorescence spectral evolution and bandshapes.* Different aspects of the relaxation dynamics are seen by fluorescence frequency shifts and transient absorption/emission band integrals (Figure 6.4 and Figure 6.5). All time traces are fitted with exponential functions convoluted with the apparatus function. The results of the exponential analysis are summarized in Tables 6.4 and 6.5. To facilitate the discussion the fluorescence evolution is studied with global analysis: all individual time traces are fitted with a common set of exponential functions. For a consistent picture, decay times are carried over from the previous analysis of band integrals and shifts, *i.e.* the following values are used to describe the evolution up to 3 ps:  $\tau_1 = 0.22$  ps,  $\tau_2 = 2.6$  ps for RF/DMSO with 400 nm excitation;  $\tau_1 = 0.79$  ps,  $\tau_2 = 1.6$  ps for FAD/water with 440 nm excitation. Such a description cannot truly mimic a continuous shift of a band, but here shifts are small

**Table 6.4:** Time constants<sup>a</sup> from a multi-exponential analysis of transient absorption band integrals.

RF/DMSO 400 nm	RF/DMSO 440 nm	RF/H <sub>2</sub> O 400 nm	RF/H <sub>2</sub> O 440 nm	FAD/H <sub>2</sub> O 440 nm
		0.55 (-0.10)	0.6 (-0.12)	0.6 (-0.26)
2.9 (0.18)				6.5 (0.84)
		52 (0.13)	84 (0.14)	84* (0.08)
2000 (0.60)	2000 (0.72)	2900* (0.28)	2900 (0.67)	2900* (0.20)
offset (0.22)	offset (0.28)	offset (0.69)	offset (0.31)	offset (0.13)

<sup>a</sup> Time constants are given in ps; the contribution of the corresponding exponential functions to the total signal is given in brackets; asterisks mark time constants that were fixed during the fit.

**Table 6.5:** Time constants<sup>a</sup> from a multi-exponential analysis of band integrals over the emission cross sections, and of frequency shifts.

Band Integral		Frequency Shift		
RF/DMSO 400 nm	FAD/H <sub>2</sub> O 400, 440 nm	RF/DMSO 400 nm	FAD/H <sub>2</sub> O 400 nm	FAD/H <sub>2</sub> O 440 nm
			0.09 (0.91)	
0.22 (-0.36)		0.22* (0.86)	0.79* (0.30)	0.79 (0.98)
2.6 (-0.11)	1.6 ps (0.32)	2.6* (0.14)		
	8.0 ps (0.46)		5.5 (-0.21)	5.5* (0.02)
offset (1.47)	offset (0.22)			

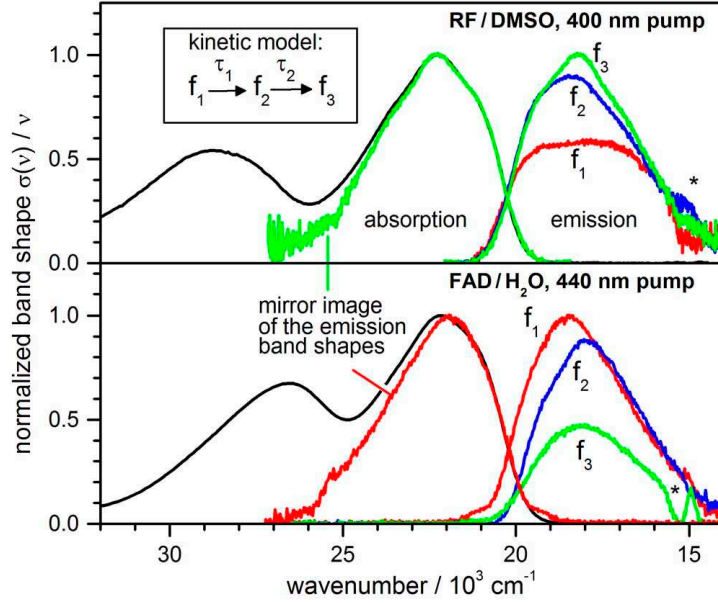
<sup>a</sup> Time constants are given in ps; the contribution of the corresponding exponential functions to the total signal is given in brackets; asterisks mark time constants that were fixed during the fit.

enough so that two exponential functions suffice to describe FAD/water fluorescence, at all observation wavelengths, down to noise level. For RF/DMSO a damped oscillation with  $\nu = 102 \text{ cm}^{-1}$  (damping time 0.5 ps) must be added for a description of the signal.

A formal model with sequential interconversion of three species is then used, shown in the inset to Figure 6.15. The emission cross section is represented by

$$\sigma_{SE}(\nu, t) = a_1(t)f_1(\nu) + a_2(t)f_2(\nu) + a_3(t)f_3(\nu). \quad (6.4)$$

The spectra  $f_i$  of the virtual states and their population dynamics  $a_i$  are derived from the results of the global exponential fit.<sup>[76]</sup>  $f_1$  corresponds to the fluorescence spectrum



**Figure 6.15:** Comparison of bandshape of stationary absorption  $\sigma/\nu$  (black) and fluorescence  $f_1/\nu$  (red),  $f_2/\nu$  (blue), and  $f_3/\nu$  (green) up to  $\sim 3$  ps. The latter were obtained from the time-resolved spontaneous fluorescence spectra with the formal kinetic model shown as inset. Top: RF/DMSO upon excitation with 400 nm;  $\tau_1 = 0.22$  ps,  $\tau_2 = 2.6$  ps. Bottom: FAD/water upon excitation with 440 nm;  $\tau_1 = 0.79$  ps,  $\tau_2 = 1.6$  ps. Asterisks mark contamination by the third harmonic of the gate pulse.

at zero delay time,  $f_2$  is an intermediate spectrum that rises with  $\tau_1$  and decays with  $\tau_2$ , and  $f_3$  is the spectrum at 3 ps. In the figure the corresponding bandshapes  $f(\nu)/\nu$  are shown. The reason for using bandshapes is that they reflect the distribution of Franck-Condon factors, or oscillator strength, for quantitative comparison with the ground-state absorption which is also shown in this form. The corresponding band integrals are frequently used here as measures of electronic change.

Mirror symmetry between the  $S_1 \leftarrow S_0$  absorption and  $S_1 \rightarrow S_0$  fluorescence bandshapes is expected at earliest delay times. This situation is found for FAD/water at  $t = 0$  (red). The fluorescence then shifts and slightly decays with  $\tau_1 = 0.79$  ps to  $f_2$  (blue); thereafter it decays to  $f_3$  (green) without further shift. In contrast, the fluorescence of RF/DMSO upon 400 nm excitation is weaker at earliest delay times (red) and not mirror-symmetric to the first absorption band. This suggests that in addition to  $S_0$  and  $S_1$ , a third state should be taken into account to explain the fluorescence in this case. It is either directly excited by the pump pulse, or it couples to the  $S_1$  state after optical pumping but within the temporal apparatus function.<sup>[180]</sup> With increasing delay time, the fluorescence rises with 0.22 and 2.6 ps and the vibrational structure of the stationary fluorescence appears. Only after this relaxation is complete ( $f_3$ , green) does the mirrored fluorescence bandshape match the first absorption bandshape. Also by transient absorption, the stimulated emission is seen to be rising well resolved when

**Table 6.6:** Energies (in eV) for the lowest  $\pi\pi^*$  and  $n\pi^*$  singlet transitions of RF in different solvent environments, and oscillator strengths f.

Transition	RF (g)[f] <sup>a</sup>	RF (DMSO)[f] <sup>b</sup>	RF (aq)[f] <sup>b</sup>	RF (aq)[f] <sup>c</sup>
$S_1 \leftarrow S_0$	3.04 [0.1749]	2.93 [0.2225]	2.94 [0.2091]	2.86 [0.2037]
$n\pi^* \leftarrow S_0$	3.22 [0.0025]	3.64 [0.0003]	3.64 [0.0003]	3.73 [0.0002]
$S_2 \leftarrow S_0$	3.76 [0.1620]	3.52 [0.2776]	3.53 [0.2681]	3.43 [0.2876]

calculated *a* with TD-B3LYP/TZVP, *b* with TD-B3LYP/TZVP/PCM, *c* for the micro-solvation model with TD-B3LYP/TZVP/PCM.

exciting at 400 nm (Figure 6.4 a), whereas 440 nm excitation causes its immediate appearance (see Supporting Material). This behaviour will be discussed further below.

Let us return to the absorption side of Figure 6.15. Comparing with the “mirrored” fluorescence shapes, no compelling evidence is found for an *absorption* band that could be attributed to the weak  $n\pi^* \leftarrow S_0$  transition. In water the bright  $S_2 \leftarrow S_0$  transition was calculated (Table 6.6) to overlap with the blue tail of the  $S_1 \leftarrow S_0$  band. With excitation at 440 nm the fraction of population pumped to the  $S_2$  state is estimated to be small. In DMSO the  $S_2 \leftarrow S_0$  absorption is predicted further to the blue so that its contribution at 440 nm can be neglected. But when exciting at 400 nm as in the transient fluorescence experiment, some population is expected in  $S_2$  initially.

The intersection of the  $S_1 \leftarrow S_0$  absorption bandshape with the corresponding  $S_1 \rightarrow S_0$  fluorescence shape,  $f_1(\nu)/\nu$  for FAD/water and  $f_3(\nu)/\nu$  for RF/DMSO, provides an estimate for the position of the 0-0 vibronic transition, or electronic origin. (For RF/DMSO this is valid only in the absence of a dynamic Stokes shift, see below.) The electronic origin is located at 20200  $\text{cm}^{-1}$  (495 nm) for FAD/water and 20240  $\text{cm}^{-1}$  (494 nm) for RF/DMSO. Previous quantum-chemical calculations, with the same methods as in this work, explained the bandshapes of RF.<sup>[203]</sup> The relative position of the 0-0 transition determined here agrees with these calculations. The excess energy deposited in the system by “vertical” excitation can now be estimated: 4800  $\text{cm}^{-1}$  for 400 nm, 2500  $\text{cm}^{-1}$  for 440 nm, and 850  $\text{cm}^{-1}$  for 475 nm excitation. In the remainder of this section the processes are discussed that underlie changes of the transient absorption and fluorescence signals.

*Vibrational relaxation* of the excited molecule starts with intramolecular vibrational redistribution (IVR). The pump pulse moves population into the Franck-Condon active modes with excess vibrational energy. Anharmonic coupling then spreads the energy over the full vibrational manifold, resulting in an internally equilibrated hot molecule. This process typically takes place on the sub-picosecond time scale.<sup>[113]</sup> With purely optical means only the relaxation of an average potential energy gap is seen, as shift and narrowing of vibronic band envelopes.<sup>[53]</sup> By transient fluorescence an initial red-shift is observed before 0.2 ps, when increasing the excess vibrational energy from 2500  $\text{cm}^{-1}$  (440 nm excitation) to 4800  $\text{cm}^{-1}$  (400 nm). Exponential analysis finds an associated time constant of 0.09 ps, well within the temporal resolution of 0.16 ps. This shift

is treated as part of the apparatus response and it is assumed that the fluorescence spectrum is created, partly red-shifted already, at zero delay time. Differences between the initial fluorescence spectra, as the pump photon energy is varied, reflect changes in Franck-Condon overlap for the initial  $S_1 \rightarrow S_0$  transition; similar effects were found for *cis*-stilbene.<sup>[64]</sup> The effective non-equilibrium distribution at  $t = 0$  subsequently relaxes by the resolved part of IVR which, however, can not be distinguished from solvation (see below).

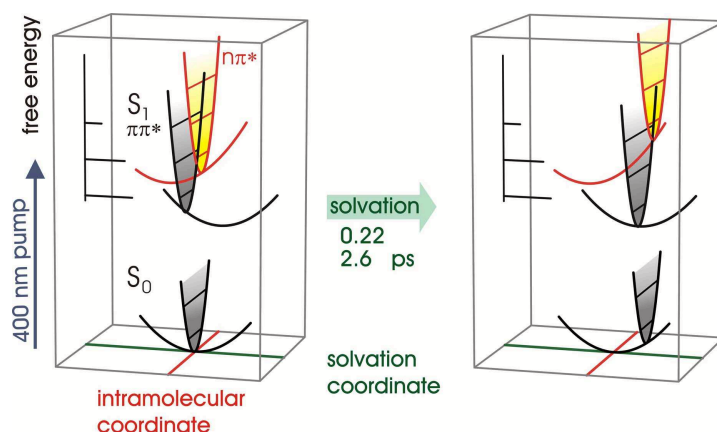
Vibrational cooling, the transfer of excess energy from the chromophore to the solvent shell, was reported to occur on the 5–10 ps time scale for various molecules.<sup>[19,53,104,107,200,230]</sup> In agreement with these findings, after excitation at 400 nm a blue-shift of the fluorescence is observed with 5.5 ps, approaching the position of the stationary spectrum (Figure 6.5 d). Infrared experiments found similar time constants of 4.0–4.8 ps for the cooling of RF in DMSO.<sup>[198]</sup>

In contrast to a band position, the corresponding band integral should be insensitive to IVR and cooling<sup>[53]</sup> if the electronic characters of the initial and final states are maintained. For example, the transient absorption band integral of RF/DMSO upon excitation with 440 nm (Figure 6.4 c) does not change on the pertinent time scale. Note here that our integration (280–690 nm) misses part of the stimulated emission and near-infrared excited state absorption. This is irrelevant for changes during vibrational relaxation but should be remembered when different band integrals are compared. In this context it is instructive to compare the fluorescence band integrals of FAD/water upon excitation with 400 and 440 nm in Figure 6.5: despite the difference of excess vibrational energy, the integrals show the same decay behaviour. The observed decay therefore has to be assigned solely to adenine quenching, not to vibrational relaxation.

*$S_2 \rightarrow S_1$  internal conversion.* By illuminating FAD with 400 nm, some population is placed in the  $S_2$  electronic state according to the previous discussion. Since fluorescence from the  $S_1$  state rises with the temporal apparatus function, the characteristic time for  $S_2 \rightarrow S_1$  internal conversion must be less than 0.1 ps. Equally in transient absorption (Figure 6.4 b, top) the rise of  $S_1$  stimulated emission is not resolved. The time scale for  $S_2 \rightarrow S_1$  conversion agrees with previous transient absorption experiments<sup>[190]</sup> and may be assumed to apply to water as well.

*Dynamic polar solvation* is another process that affects the sub-picosecond evolution.<sup>[128,231]</sup> Directly after excitation the molecule is still surrounded by the equilibrium solvent shell of the ground state. Solvent molecules then rearrange and adapt to the new charge distribution, causing a red-shift of the emission spectrum.<sup>[63,128,232]</sup> Here the 0.8 ps shift of the fluorescence band of FAD/water (440 nm excitation; green in Figure 6.5 d) is assigned to dynamic solvation. In agreement with our results, a decay component of 0.8 ps was previously found by global analysis of fluorescence traces at various frequencies<sup>[195]</sup>, while measurements of the dynamic Stokes shift with different solvatochromic compounds yielded an average solvation time of  $\langle \tau \rangle = 0.6$  ps.<sup>[130,180]</sup>

Of concern are the curves for the total band integral of transient absorption by RF



**Figure 6.16:** Vibronic coupling of the  $\pi\pi^*$  to the  $n\pi^*$  state in DMSO: the  $n\pi^*$  state is only accessible after excitation with 400 nm. Dynamic polar solvation by DMSO shifts the energetic position of the  $n\pi^*$  state.

and FAD in water (Figure 6.4 c, inset) which register a 0.6 ps rise, on the same time scale as the fluorescence shift. This must be contrasted with the corresponding fluorescence band integrals (Figure 6.5 b) and the *amplitude* of the stimulated emission in transient absorption measurements (Figure 6.4 b, top), which all show that the  $S_1$  population and the oscillator strength for the  $S_1 \rightarrow S_0$  transition are *not* influenced by water solvation. We believe that the observed rise of total band integral is an artifact from incomplete integration. The similarity of the initial changes suggests that dynamic solvation of flavin by water is not affected by the adenine moiety and does not depend on excitation wavelength. An additional decay with  $\tau = 52\text{--}84$  ps is identified in the transient absorption band integral of RF in water (Figure 6.4 c). This component depends on the pump wavelength; its assignment requires further experimental work. The evolution may be related to hydrogen-bond interactions, where water and the ribityl chain may act as donors.

*Coupling to the  $n\pi^*$  state.* Excitation conditions affect the emission from excited RF in DMSO. With the pump wavelength at 440 nm, the transient absorption band integral appears constant at least until 50 ps (magenta line in Figure 6.4 c): there is no obvious electronic change in this time range. Excitation at 400 nm, however, causes intensity changes at early times. By fluorescence two rising components with  $\tau_1 = 0.22$  ps and  $\tau_2 = 2.6$  ps are observed which were described here already. By transient absorption the stimulated-emission band rising is seen, reflected by a 2.8 ps decay of the total band integral. Such time constants can not be associated with internal conversion  $S_2 \rightarrow S_1$ , as explained before. They are typical for IVR and cooling, but these processes do not directly affect overall intensities or oscillator strengths. Thus IVR by itself is ruled out as possible cause for early emission rise of RF/DMSO upon 400 nm excitation. The involvement of another electronic state was already concluded. Dynamic solvation, unlike IVR, can influence the electronic structure of the chromophore and thus modify



the oscillator strength of a transition. Polar solvation in DMSO has been characterized by the time-dependent fluorescence Stokes shift of a solvatochromic probe,<sup>[128]</sup> and time constants of 0.21 ps and 2.3 ps were reported. Their similarity to the values above suggests that the early emission intensity of RF/DMSO is coupled to polar solvation.

A possible scenario is shown in Figure 6.16. It is proposed that following 400 nm excitation, coupling between the  $S_1$  ( $\pi\pi^*$ ) state and the  $n\pi^*$  state, above, builds up un-resolvably fast. In the present work, time-resolved fluorescence did not detect a radiative  $n\pi^* \rightarrow S_0$  transition at earliest delay times, in agreement with quantum-chemical calculations which predict a low oscillator strength. State mixing between  $\pi\pi^*$  and  $n\pi^*$  states would dilute the  $S_1 \rightarrow S_0$  transition, reducing its radiative rate. As solvation proceeds, the energy gap between the two electronic states increases, while IVR occurs simultaneously. In the course of both processes, mixing is diminished and the full fluorescence strength is restored. (Note that oscillations of the transient absorption signal around 500 nm, previously assigned to stimulated emission  $n\pi^* \rightarrow S_0$ ,<sup>[180]</sup> are now seen to belong to ESA.)

The calculated term values depending on the environment, Figure 6.6, support this idea. Solvation by either DMSO or water stabilizes  $S_1$  and  $S_2$  but destabilizes the  $n\pi^*$  state. Thus the energy gap between the  $S_1(\pi\pi^*)$  and  $n\pi^*$  states is smallest in the gas phase,  $1500\text{ cm}^{-1}$ , and rises to  $5700\text{ cm}^{-1}$  in DMSO (PCM), and to  $7000\text{ cm}^{-1}$  in water (microsolvation model). As shown in ref. 216, the solvent shifts can be explained by analyzing the dominant orbitals involved in the pertinent excitations of  $S_0$ . For example, a polar solvent shifts the  $S_2 \rightarrow S_0$  transition more to the red than  $S_1 \rightarrow S_0$  because the former has stronger contributions from orbitals below the HOMO (highest occupied molecular orbital). The contributing orbitals are localized at the non-polar end of the flavin and therefore destabilized upon increasing solvent polarization. Further, in ref. 216 it has been found as here (albeit for lumiflavin) that the  $n\pi^* \rightarrow S_1$  gap is smallest in the gas phase and increases in polar solvents. Similar to the water microsolvation model of Figure 6.2, hydrogen bonds to  $O_2$ ,  $O_4$  and  $N_3$  were also introduced in ref. 216, in this case to model a protein environment. In both cases the H-bonds lead to red-shifts of the  $S_1$  and  $S_2$  signals, by stabilizing unoccupied  $\pi^*$  orbitals with significant localization at the polar end of the flavin unit. At variance with our findings in Table 6.6, in ref. 216 the protein environment was shown to produce smaller  $n\pi^* \rightarrow S_1$  gaps again, which are still larger than in the gas phase, though.

In aqueous solution dynamic solvation does not affect the fluorescence band integral. Nonetheless,  $\pi\pi^* \rightarrow n\pi^*$  coupling may also play a role in water, and the smaller amplitude of the transient absorption signal in the stimulated emission region was taken as an indication for this.<sup>[180]</sup> On the other hand, the formation of hydrogen bonds should facilitate a location of electron density at the oxygen atoms and thus destabilize the  $n\pi^*$  state, in agreement with the calculated energies in Table 6.6. Hence, in water the  $n\pi^*$  state may be energetically too high for coupling.

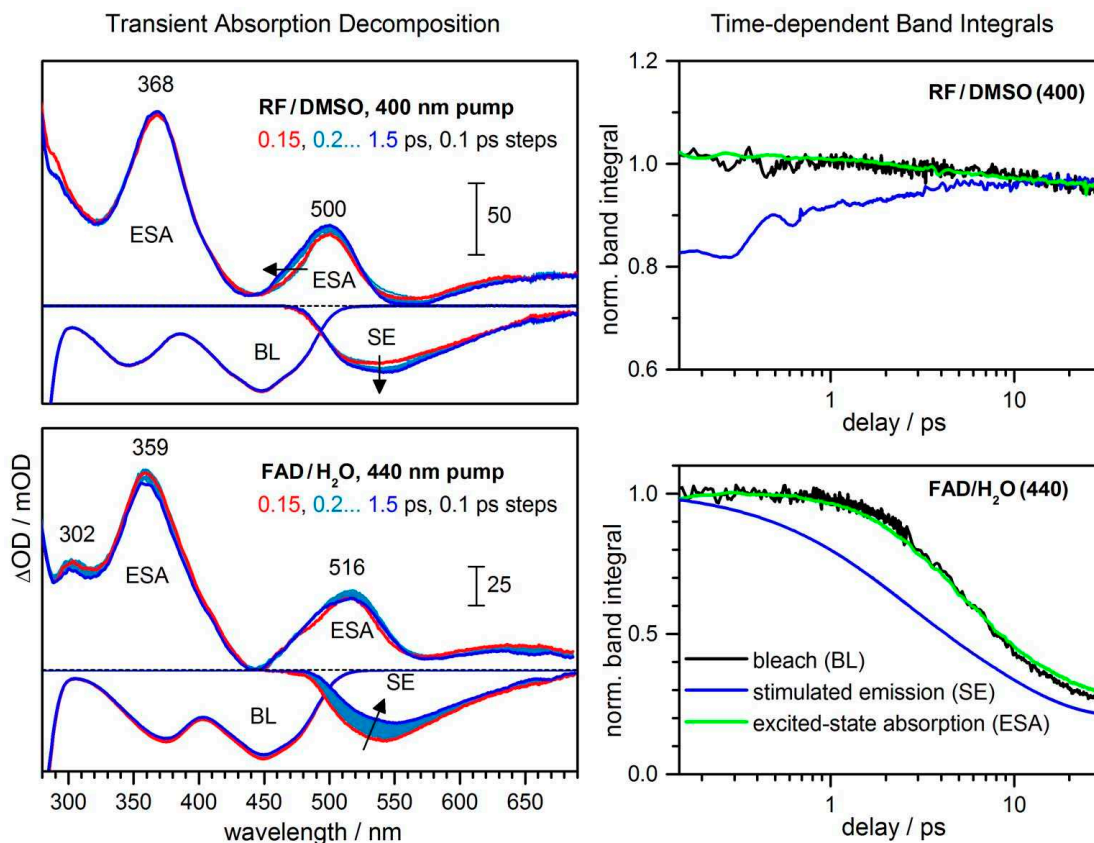
*Deactivation by adenine.* The adenine moiety provides an additional reaction channel for excited flavin: transient fluorescence and absorption band integrals of FAD show a

decay with 6.5–8 ps, which is absent for RF in aqueous solution. This result agrees with previous publications.<sup>[159,190,195–197]</sup> Compared to transient absorption, results of the fluorescence band integral analysis on FAD/water are less clear. In transient fluorescence, a bi-exponential function with 1.6 ps (32 %) and 8.0 ps (46 %) is needed to describe the intensity decay. The origin of the 1.6 ps time constant is not evident; additional solvation effects or reaction with the adenine moiety could be involved. Note that in none of the experiments in this work a spectral change was observed that could be associated with a formation of the charge-transfer state. It is concluded that flavin→adenine electron transfer is the rate-limiting step, and that back-transfer is faster so that the intermediate does not accumulate.

### 6.5.5 Decomposition of Transient Absorption Spectra

A decomposition of the transient absorption signal for RF in DMSO and FAD in water (400 and 440 nm excitation, respectively) into excited-state absorption (ESA), bleach (BL), and stimulated emission (SE) is achieved with the information from fluorescence upconversion measurements; results are shown in Figure 6.17. The following assumptions are made:

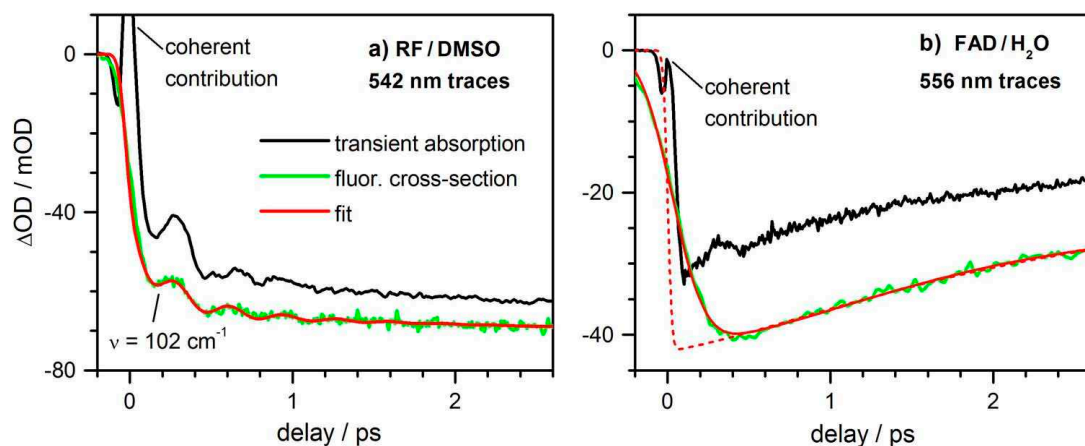
- (i) The evolution of the bleach can be monitored best at the transient absorption minimum (446 nm for FAD in water and 440 nm for RF in DMSO); normalized time traces are shown in Figure 6.17, right. Smooth curves without oscillations and coherent contribution are obtained from multi-exponential fits. Bleach spectra are modelled by multiplying the ground-state absorption spectrum with the amplitude of the previously fitted trace at each delay time.
- (ii) Stimulated emission spectra  $\sigma_{SE}$  are taken from corresponding fluorescence upconversion measurements. Figure 6.18 demonstrates that the fluorescence upconversion traces reproduce the changes of induced absorption at wavelengths where ESA is small. Note that for RF in DMSO even the wavepacket oscillation with  $\sim 100 \text{ cm}^{-1}$  is observed in both experiments. The evolution of the stimulated emission signal is approximated by a global exponential fit of all individual time traces. For RF in DMSO a damped oscillation with  $\nu = 102 \text{ cm}^{-1}$  and a damping time  $\gamma = 0.5 \text{ ps}$  is included, see Figure 6.18. For FAD in water, the apparatus function was significantly longer for fluorescence upconversion (0.35 ps fwhm) compared to transient absorption (0.05 ps). In order to correct for this difference, the obtained fit was re-convoluted with a Gaussian of 0.05 ps width (fwhm), Figure 6.18, dashed red. The fit of the evolution was then used to correct transient absorption for the contribution of stimulated emission, appropriately scaled according to the assumptions in (iv).
- (iii) Coupling of the  $n\pi^*$  state to the  $S_1(\pi\pi^*)$  state is assumed to be negligible for FAD in water (see Figure 6.15 and the associated discussion). Then, the oscillator strengths for  $S_1 \leftarrow S_0$  absorption and  $S_1 \rightarrow S_0$  emission are the same at zero delay time. The total transition probability is proportional to the band integral, *i.e.*



**Figure 6.17:** Left: Decomposition of the transient absorption spectra of Figure 6.4 into bleach BL, stimulated emission SE, and excited-state absorption ESA. Right: Band integrals separated for BL (black), SE (blue), and ESA (green) (normalized) from the panels to the left.

the integrated band-shape function  $\sigma(\nu)/\nu$ . Since  $S_0$ - $S_1$  absorption and initial fluorescence have approximately mirror symmetry, bleach and stimulated emission are scaled to equal peak band-shape values at zero delay time. For RF in DMSO, the influence of solvation on the oscillator strength should be taken into account. According to the model suggested in Figure 6.16,  $\pi\pi^*$ - $n\pi^*$  coupling occurs at early delay times but diminishes due to solvation with  $\tau_1 = 0.22$  and  $\tau_2 = 2.7$  ps. It is proposed that the excited-state population does not change during this evolution. This assumption is supported by the bleach trace in Figure 6.17. Consequently, for RF in DMSO, the fluorescence evolution is scaled such that stimulated emission and bleach bandshapes are of equal amplitude after solvation has completed. The relative scaling of bleach and stimulated emission thus corresponds to the situation shown in Figure 6.15.

- (iv) The pure ESA at each delay time is obtained by subtracting the set of bleach and stimulated-emission spectra from the previous steps, scaled by a constant factor.



**Figure 6.18:** a and b: time-dependent transient absorption (black) and scaled fluorescence cross-section (green) signals at single wavelengths. In red: multi-exponential fit to the fluorescence. Dashed red in b: the exponential sum from the fit of the FAD fluorescence, convoluted with a Gaussian of 0.05 ps width to match the apparatus function of the transient absorption experiment.

The absolute scaling is adjusted to the minimum factor that assures non-negative ESA signals at all delay times.

Comparing the transient absorption spectra of RF and FAD in water, it is found that the adenine moiety only causes an overall red-shift of  $200\text{ cm}^{-1}$ . When the solvent is changed from water to DMSO, the UV absorption band shifts to the red from 359 to 368 nm, whereas the visible band blue-shifts from 516 to 500 nm. A hump at 302 nm is only observed with RF/DMSO. Additional measurements with excitation at 475 nm show an ESA band around 780 nm, Figure 6.3. For future use the excited-state absorption spectra at 1 ps delay are fitted with lognormal functions, equation (6.1); the optimal parameters are collected in Table 6.7. Spectra are scaled so that the bleach contribution has -0.058 optical density at the first absorption peak (this information may be used to estimate the ESA extinction scale).

*Band integrals revisited.* Now integration over the range 290–690 can be performed separately for the ESA, bleach and stimulated emission spectra; normalized results are compared in 6.17 c. For RF/DMSO the bleach integral (black) shows only minor change during the first 30 ps. Therefore, the decay of the transient absorption band integral in 6.4 (black) should not be associated with population return to the electronic ground state (in contrast to FAD). The ESA band integral, green in Figure 6.17, reproduces the temporal evolution of the bleach trace. This supports the previous view that the population in the excited state does not change on the time scale of solvation. Moreover it is concluded that dynamic solvation and initial  $\pi\pi^*$ - $n\pi^*$  coupling do not affect the total oscillator strength of the excited state absorption in the range investigated, although bands may shift. The decay of the transient absorption band integral with 2.9 ps is

**Table 6.7:** Log-Normal fit to the ESA spectra from the decomposition of the transient absorption spectra of RF/DMSO (400 nm) and FAD/water (440 nm) at 1 ps delay.<sup>a,b</sup>

(a) RF/DMSO, 400 nm pump				(b) FAD/water, 440 nm pump			
$\nu_p$	$\gamma$	$\Delta$	h	$\nu_p$	$\gamma$	$\Delta$	h
(36768	0.275	2109	99.98)	(36575	-0.066	2870	117.38)
34983	-0.626	6000	86.16	32613	-0.706	4927	66.35
27189	0.042	3798	109.16	27599	-0.191	4313	103.71
20028	0.221	2041	50.16	20564	0.994	3718	-23.5
				19880	0.093	2689	62.88
15383	-0.659	3678	13.87	15353	-0.724	4379	12.62
12991	-0.056	3220	17.2	12881	0.064	2635	27.33

<sup>a</sup>  $\nu_p$  – peak frequency in  $\text{cm}^{-1}$ ,  $\gamma$  – asymmetry,  $\Delta$  – width in  $\text{cm}^{-1}$ , h – amplitude in mOD. <sup>b</sup> Spectra were scaled to equal amplitude of the bleach contribution (-58.3 mOD for the maximum of the first absorption band). The log-normal descriptions are valid strictly only in the spectral region 287–690 nm, corresponding to the spectra in Figure 6.17. The range was extended to 1000 nm with excitation at 475 nm. Brackets indicate bands which are centered outside the observation window.

therefore ascribed solely to the solvent-induced rise of the stimulated emission (blue), as discussed in the previous section.

For FAD/water, Figure 6.17 c shows a drop of the stimulated-emission band integral below the traces for bleach and ESA on the 1 ps timescale; this evolution is associated with the 1.6 ps time constant from the previous analysis. Stimulated emission is sensitive to the drain of population from the  $S_1$  state and to electronic changes, whereas the bleach reports on the return of population to the room-temperature equilibrium in  $S_0$ . A difference between the two band integral traces suggests the existence of additional evolution after actinic excitation. The following processes should be considered: dynamic solvation, electron-transfer, back-electron-transfer with recovery of the ground state, and vibrational cooling of the hot ground state. The signal may also be affected by intersystem crossing to the triplet state. Previous infrared experiments found a 1 ps rise and 9 ps decay in the spectral region of adenine ring vibrations, which were assigned to electron-transfer and back-transfer.<sup>[196]</sup> Characteristic changes of excited-state absorption are not observed; an involvement of electronic transitions like electron transfer or triplet formation is therefore not apparent. This suggests that states may be involved that are dark with respect to the  $S_1 \rightarrow S_0$  transition. Evidence for  $\pi\pi^*$ - $n\pi^*$  vibronic coupling is not found in water, but dynamic changes of the adenine moiety may also influence the electronic structure of the isoalloxazine ring.

## 6.6 Outlook

The optimum Raman wavelength for monitoring the first excited singlet state  $S_1$  of flavin should be sought around 780 nm, in pure resonance with the  $S_n \leftarrow S_1$  transition. Here well-defined emissive bands are observed by femtosecond stimulated Raman scattering (FSRS), as  $S_1 \rightarrow S_0$  resonance and ground-state bleaching are avoided. Modes  $> 1000\text{ cm}^{-1}$ , more intense compared to the low-frequency region, were all assigned with the help of quantum-chemical calculations, including shifts upon exchanging the solvent water for heavy water. Thus a first direct view of vibrational structure in  $S_1$  was obtained, not superimposed by ground-state bleaching effects. This will be useful when photochemical change in a protein environment is followed. For quantitative analysis of the temporal evolution, depletion by the Raman pulse should be avoided by decreasing the pulse energy to the order of the probe pulse ( $\sim 0.1\text{ }\mu\text{J}$ ). Here methodical development is needed to increase the sensitivity of FSRS.

Resonance conditions strongly affect the shape of the Raman signal. Experimentally, absorptive contributions from ground state vibrations are observed, if the Raman pulses overlap with the bleach or stimulated emission band. Typical band shapes are modelled with the third-order response-function formalism, and a compact formula is given.

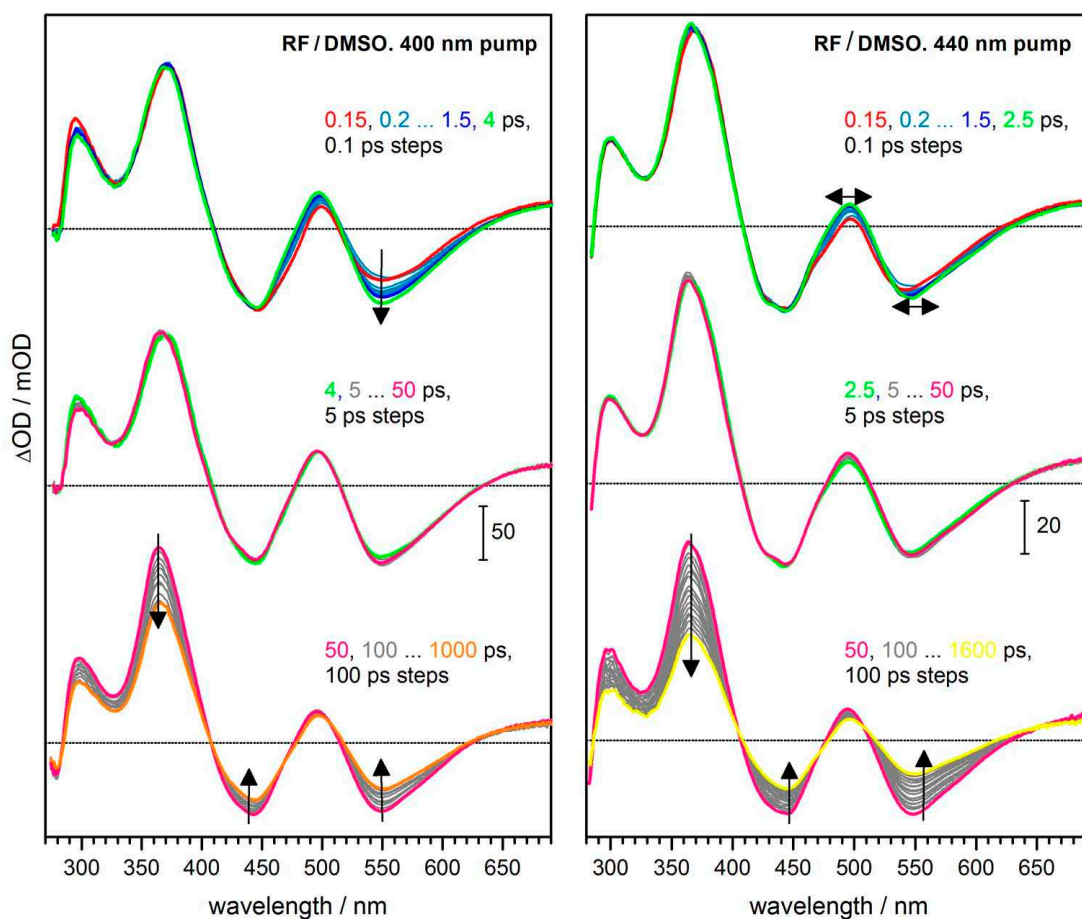
Vibrational spectra can be recorded in time domain as well, and contributions from wavepackets in the excited state can be marked by population depletion with the picosecond (Raman) pulse. It is further demonstrate that oscillations of the Raman signal can be separated from the sequential background; this provides the perspective for future development of 2D Raman spectroscopy. In the current experiments, the oscillation frequency appears to be identical to that of the detection band. How wavepackets in any of the participating electronic states affect the FSRS signal needs further experimental and theoretical work.

Excited-state absorption spectra are obtained from a quantitative comparison of broadband transient fluorescence and absorption. This approach could help to disentangle the complex photochemistry of flavin in biological environments. Early emission spectra depend on excitation wavelength and solvent. This is explained as evidence for the  $n\pi^*$  state, which may influence the emission oscillator strength during solvation.  $S_1$  quenching by adenine is seen with all methods in terms of dynamics, not by spectral intermediates.

## 6.7 Supporting Material

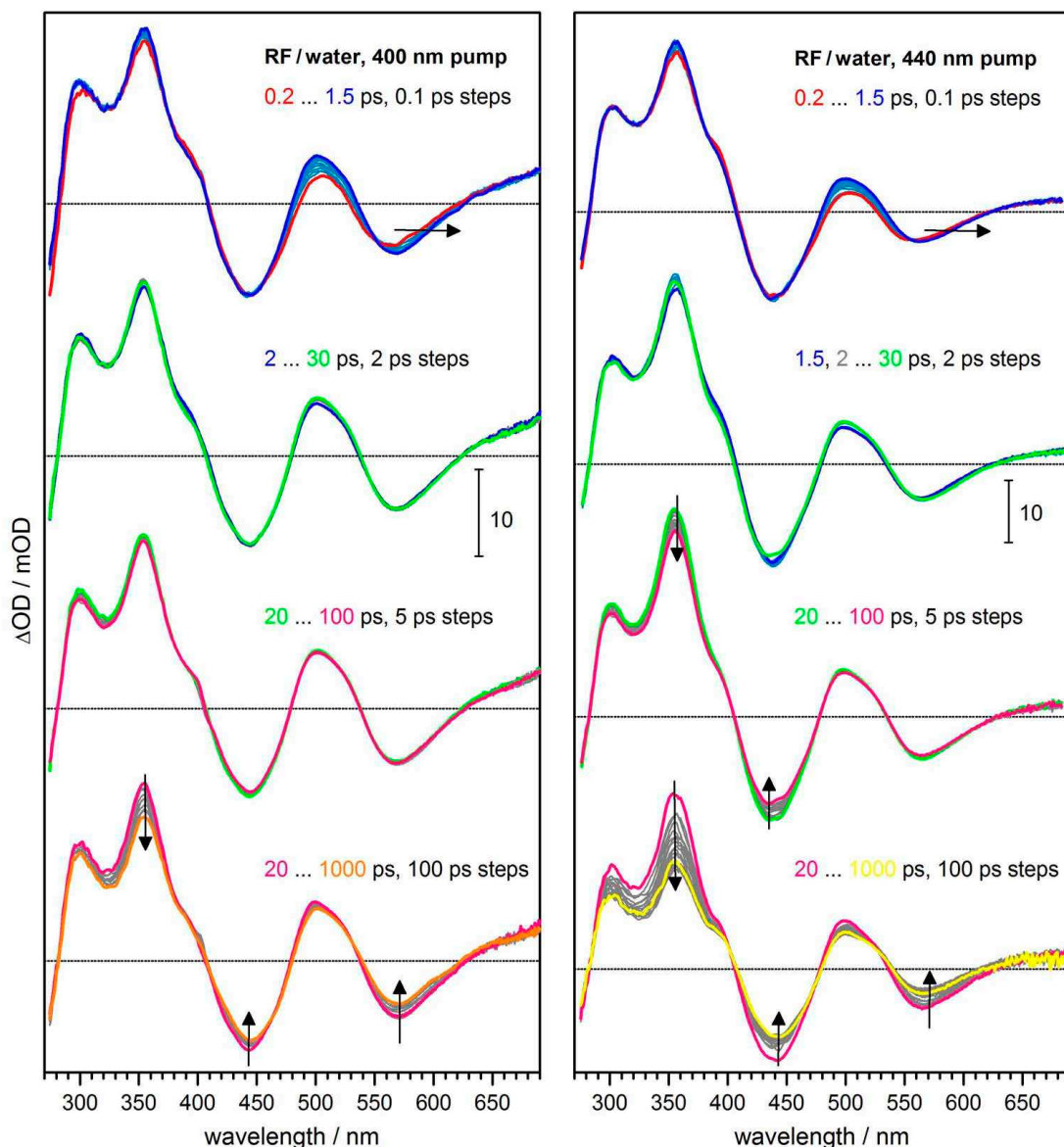
### 6.7.1 Transient Absorption

*RF/DMSO*: Transient absorption spectra of *RF/DMSO* upon excitation with 400 and 440 nm are compared in Figure 6.19. (Note that the results for 440 nm excitation cover delay times up to 1600 ps (yellow), whereas measurements at 400 nm were recorded only up to 1000 ps (orange).) The spectral evolution is similar at longer delay times, as also seen in Figure 6.4 of the main article. Within the first picoseconds the rise of the stimulation emission band (SE) upon excitation with 400 nm is clearly visible, accompanied by a small blue shift of the excited state absorption (ESA) around 500 nm, which is apparent also after spectral decomposition (Figure 6.24). Meanwhile, the rest of the spectrum stays unchanged. When exciting *RF/DMSO* with less excess energy, at 440 nm, a stimulated emission rise is absent. Spectral changes in the SE and ESA region



**Figure 6.19:** Transient-absorption spectra of *RF/DMSO* upon excitation with 400 nm (left) and 440 nm (right) under magic-angle conditions. Note that for 440 nm excitation spectra are shown up to 1600 ps, but for 400 nm only up to 1000 ps.



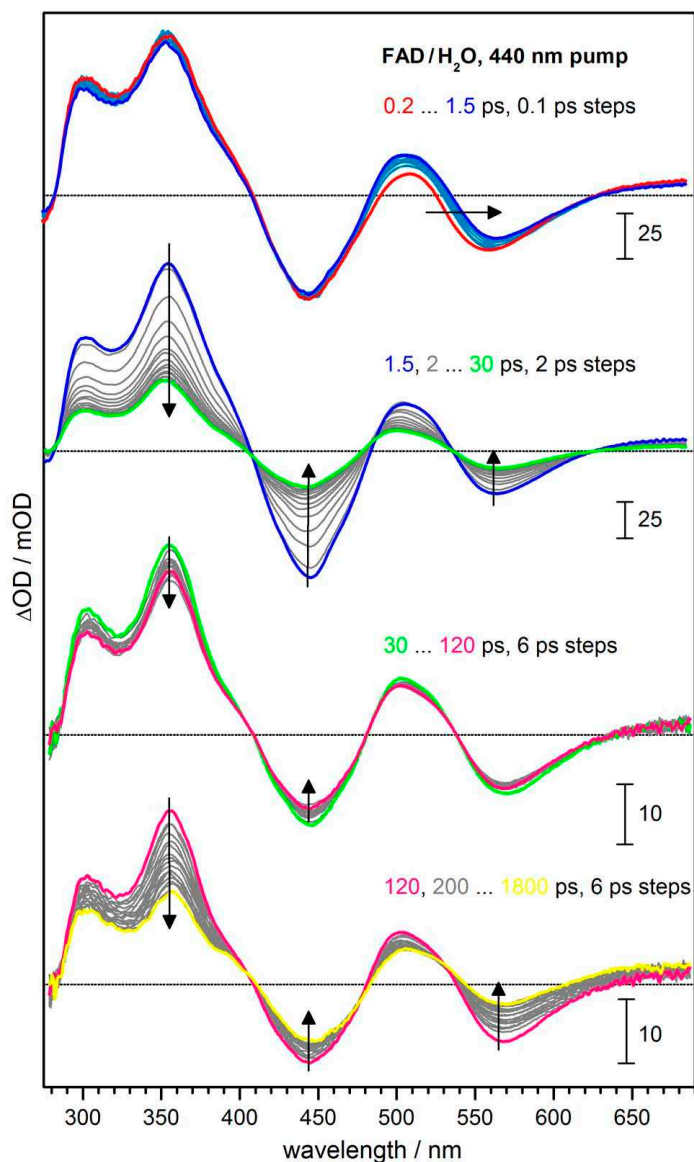


**Figure 6.20:** Transient-absorption spectra of RF/H<sub>2</sub>O upon excitation with 400 nm (left) and 440 nm (right) under magic-angle conditions. Note that for 440 nm excitation spectra are shown up to 1800 ps, but for 400 nm only up to 1000 ps.

in Figure 6.19 mainly originate from wavepacket oscillations (see also Figure 6.18).

*RF/H<sub>2</sub>O*: Transient absorption spectra of RF/H<sub>2</sub>O upon excitation with 400 and 440 nm are compared in Figure 6.20. (Note again the difference in the maximum delay time between the orange (1000 ps) and the yellow (1600 ps) spectrum.) Within the first picosecond the stimulated emission shifts to the red without strong changes of the

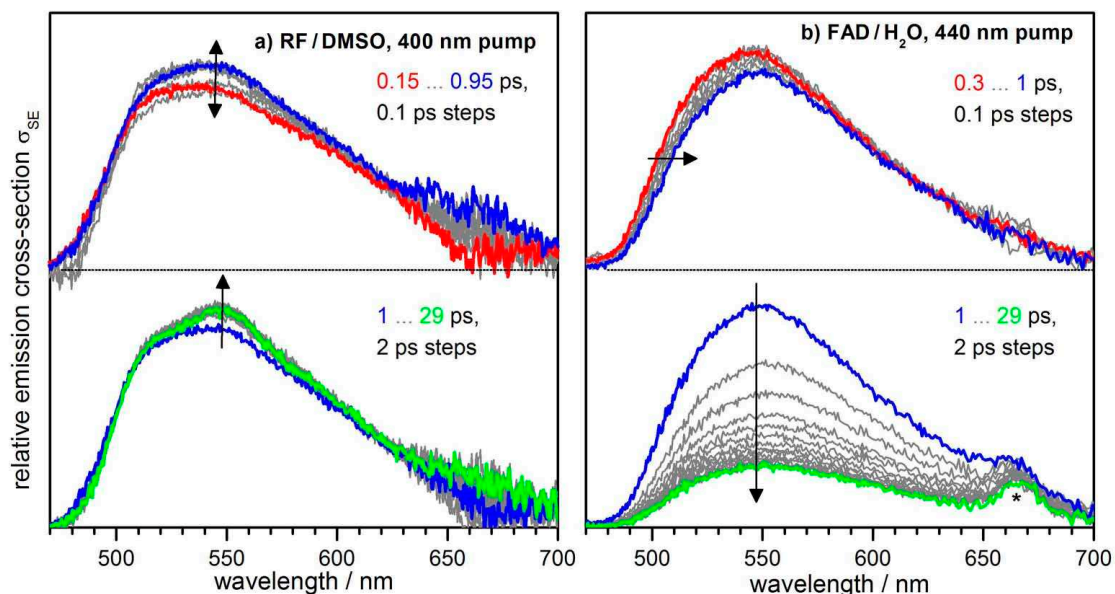




**Figure 6.21:** Transient-absorption spectra of FAD/H<sub>2</sub>O upon excitation with 440 nm under magic-angle conditions.

oscillator strength. The spectra decay within several nanoseconds. In between, small changes are seen in the spectral range  $< 480$  nm on the 100 ps time scale. A quantification of the effect is difficult, but a dependence on the excitation wavelength appears to be present.

*FAD/H<sub>2</sub>O:* The transient absorption results of FAD/H<sub>2</sub>O upon excitation with 440 nm was already described in the main article. In Figure 6.21 transient spectra up to



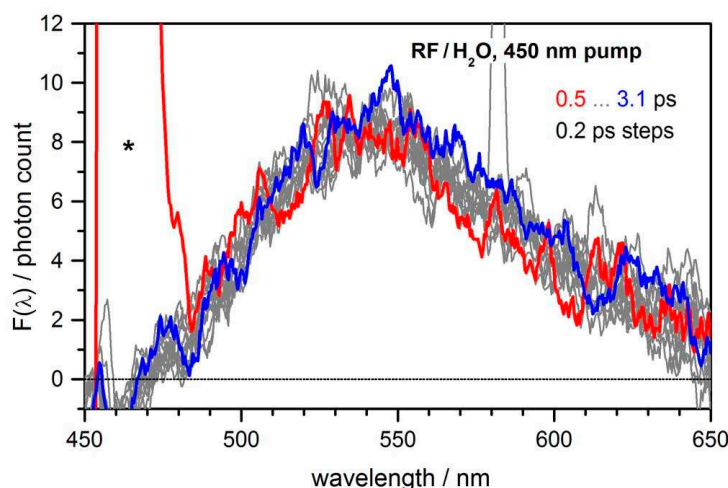
**Figure 6.22:** Transient fluorescence spectra of a: RF/DMSO upon excitation with 400 nm; b: FAD/H<sub>2</sub>O upon excitation with 440 nm. All spectra were measured under magic-angle polarization conditions and are presented as cross-section spectra for stimulated emission,  $\sigma_{SE}$ . The asterisk marks contamination by the third harmonic of the gate pulse.

1800 ps are given to show also the nanosecond decay. Apart from the adenine-induced decay with  $\tau = 6.5$  ps the evolution resembles strongly the behaviour of RF/H<sub>2</sub>O. In particular, the initial SE shift is reproduced, proving that this is a solvation effect by H<sub>2</sub>O and not the influence of the adenine moiety.

### 6.7.2 Fluorescence Upconversion

*RF/DMSO:* The full fluorescence spectral evolution of RF/DMSO upon excitation with 400 nm is shown in Figure 6.22 a. The rise of the fluorescence structure around 550 nm within the first picoseconds is apparent. At early delay times also an oscillation of the fluorescence band with  $102\text{ cm}^{-1}$  is observed. This frequency corresponds to an out-of-plane vibration of the isoalloxazine ring.<sup>[203]</sup> Figure 6.18 a demonstrates that the fluorescence changes reproduce the evolution of the SE band seen by transient absorption spectroscopy.

*RF/H<sub>2</sub>O:* Transient fluorescence spectra of RF/H<sub>2</sub>O upon excitation with 450 nm are shown in Figure 6.23. Note that due the noise level, spectra were not converted to cross sections; instead the corrected photon count distributions are presented directly. At delay times up to 0.5 ps a coherent contribution from spontaneous Raman scattering (marked by the asterisk) overwhelms the weak signal.



**Figure 6.23:** Transient fluorescence spectra of RF/H<sub>2</sub>O upon excitation with 450 nm under parallel polarization conditions. For presentation the evolution was smoothed by a 60 fs temporal and 4.6 nm spectral moving average. The asterisk indicates spectral distortion by the coherent contribution. The spectra are represented as the corrected photon count distribution  $F(\lambda)$ .

*FAD/H<sub>2</sub>O*: Transient fluorescence spectra of FAD/H<sub>2</sub>O upon 440 nm excitation are shown in Figure 6.22 b. The evolution resembles that of FAD/H<sub>2</sub>O pumped at 400 nm, which was already presented in the in Figure 6.5. Briefly, the fluorescence spectrum shifts to the red within the first picoseconds and decays with 1.6 and 8 ps as a result from adenine-induced return to the ground state and eventual intermediate steps (see main text).

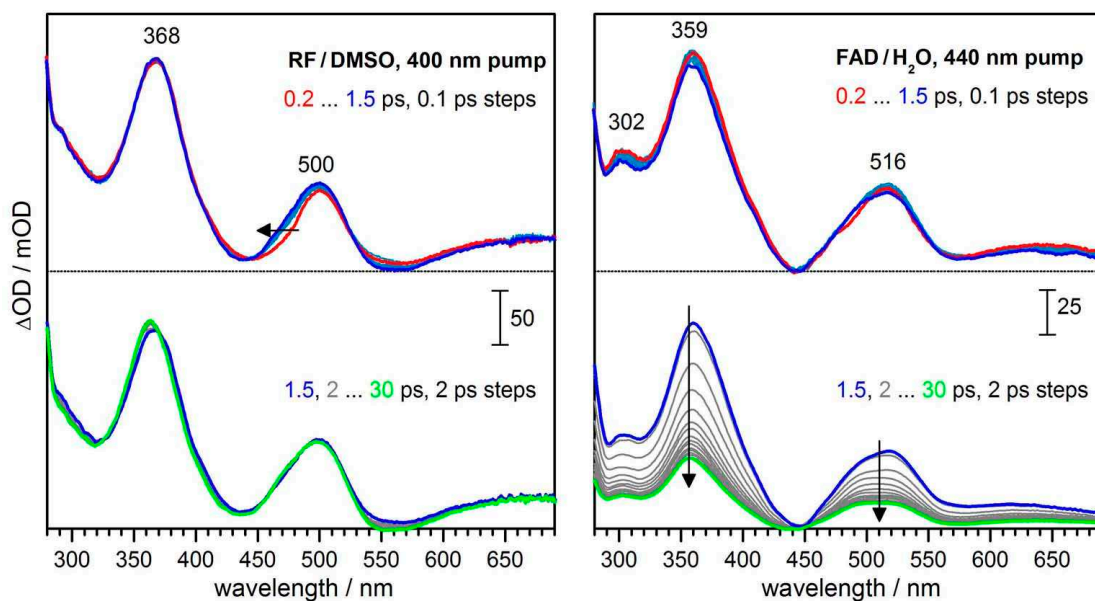
### 6.7.3 Excited State Absorption Spectra

*RF/DMSO*: The evolution of the RF excited state absorption spectrum upon excitation at 400 nm is shown in Figure 6.24, left, up to 30 ps; decomposition of the transient absorption was accomplished with the procedure outlined in Section 6.5.5. After a small initial blue shift of the 500 nm band, the spectrum stays unchanged from 1.5 to 30 ps, demonstrating that the population remains in the excited state.

*FAD/H<sub>2</sub>O*: The evolution of the excited state absorption of FAD/H<sub>2</sub>O upon excitation at 440 nm is shown in Figure 6.24, right, up to 30 ps. On the longer time scale the adenine-induced decay is apparent. This is accompanied by a small change in the shape of the 516 nm ESA band; otherwise the spectrum decays uniformly.

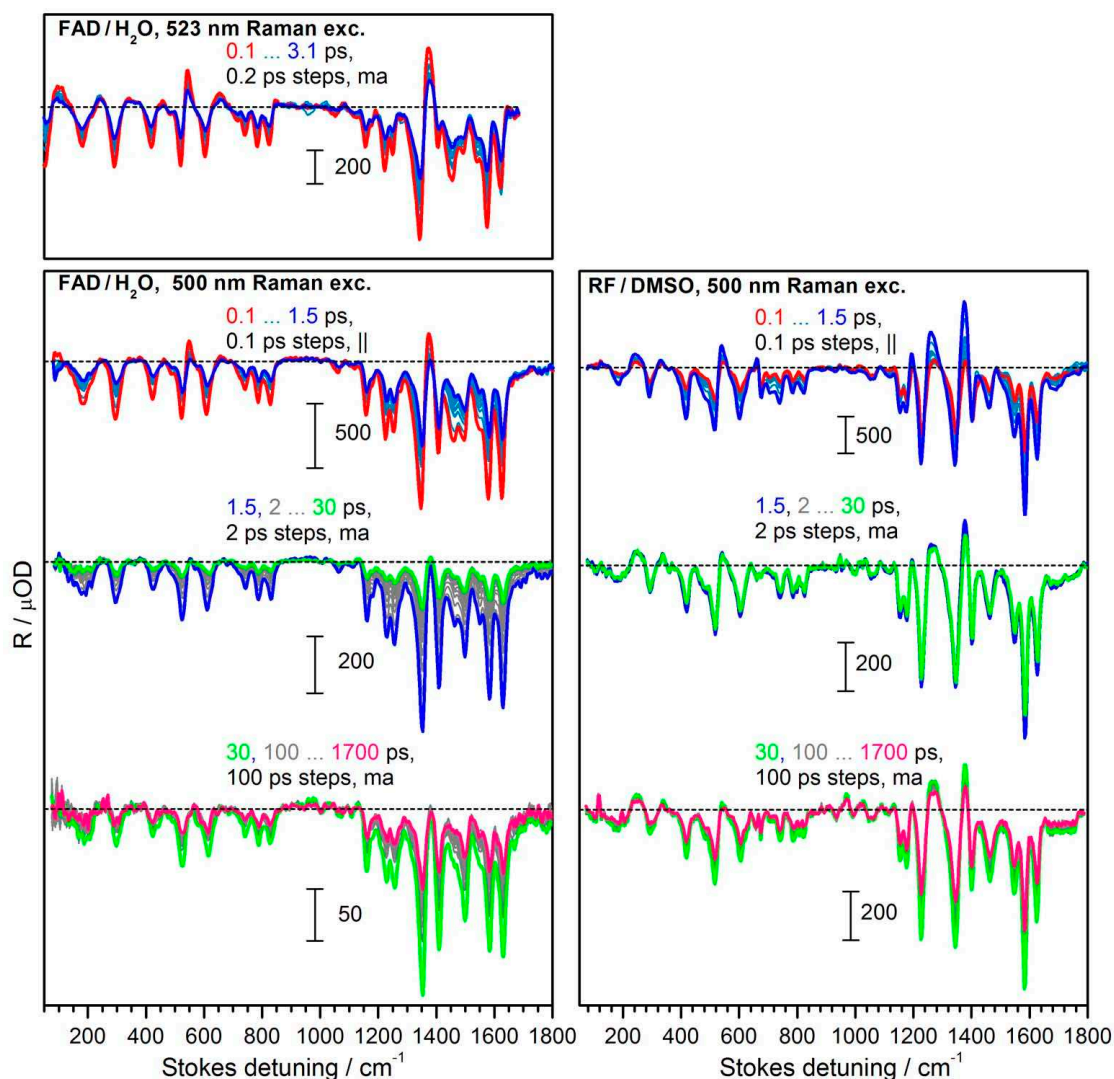
### 6.7.4 Femtosecond Stimulated Resonance Raman Spectroscopy

Transient stimulated Raman spectra of FAD/H<sub>2</sub>O and RF/DMSO with Raman wavelengths of 500 nm and 523 nm (only FAD) are compared in Figure 6.25. The spectral



**Figure 6.24:** Excited-state absorption spectra of RF/DMSO excited at 400 nm (left) and FAD in water excited at 440 nm (right) under magic-angle conditions. The spectra are the result of a decomposition of the transient-absorption spectra as described in Section 6.5.5.

shape was already described before. It is similar for all measurements with visible Raman pulses, and is dominated by negative features at the positions of the ground-state bands. At longer delay times the spectra decay according to the population dynamics, which were observed already in transient absorption and fluorescence upconversion. Within the first picoseconds additional decay is seen, which probably originates from population depletion by the Raman pulse (see Figure 6.10 and the associated discussion). The spectral shape stays similar throughout the evolution although the relative intensities may exhibit minor changes. On account of the complicated signal I refrain from a deeper discussion.



**Figure 6.25:** Transient stimulated resonance Raman spectra of FAD/H<sub>2</sub>O (left) and RF in DMSO (right) recorded with 500 nm and 523 nm Raman pulses. The sample was actinically excited at 475 nm; polarization conditions are indicated as || (parallel) and *ma* (magic angle). Transient spectra at different time scales were acquired in separate measurements in time steps of 6 fs, 100 or 150 fs, and 3 or 6 ps, respectively. For presentation, the transient evolution with 500 nm Raman excitation was smoothed by a 5 point moving-average in time.

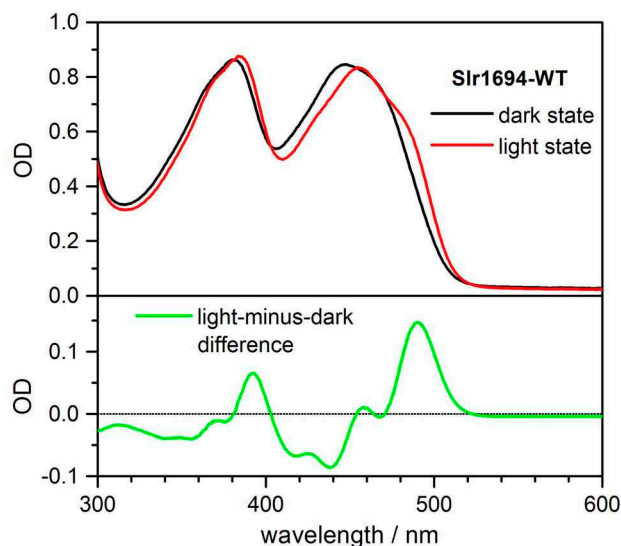


## 7 Light-Induced Changes in BLUF Photoreceptors

### 7.1 Introduction

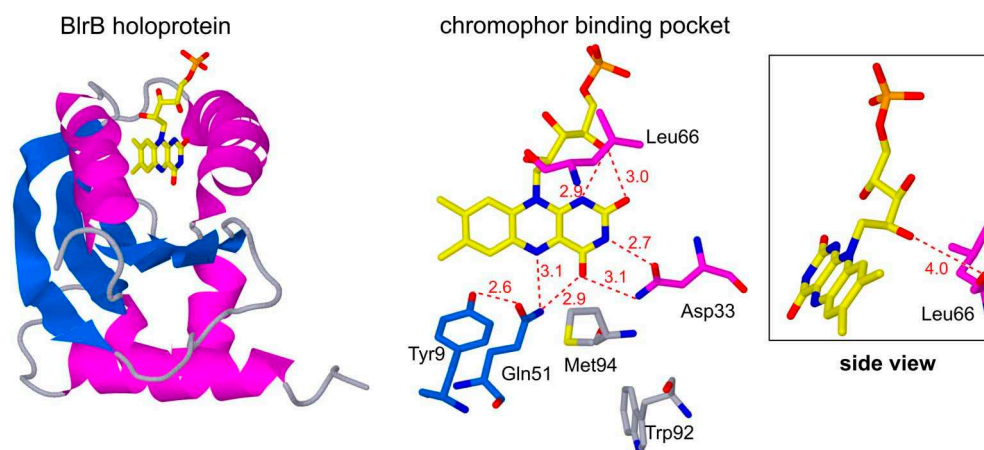
Among the various flavoproteins, photoreceptors that contain BLUF (*Blue Light Using FAD*) domains have attracted considerable attention in recent years.<sup>[83,168,233–235]</sup> This is because upon blue-light excitation, the signaling state is formed already within several hundreds of picoseconds. Spectroscopically only a small (10–15 nm) shift of the absorption spectrum is observed (Figure 7.1), accompanied by subtle changes in vibrational spectra.<sup>[161–163,171,236]</sup> Surprisingly, the formed light-adapted state which carries these spectra is stable for seconds to minutes.

The biological function of such receptors is highly diverse and still not completely understood. It is known that the protein AppA from the phototropic bacteria *Rhodobacter sphaeroides* regulates the photosynthetic gene expression.<sup>[237,238]</sup> Another example for this class of receptors are the PAC (*photoactivated adenylyl cyclase*) proteins from the flag-



**Figure 7.1:** Dark- and light-adapted state of the wild-type BLUF photoreceptor Slr1694 (top), and light-minus-dark difference spectrum (bottom). The spectra were kindly provided by Tilo Mathes (see footnote on page 161 for contact details).





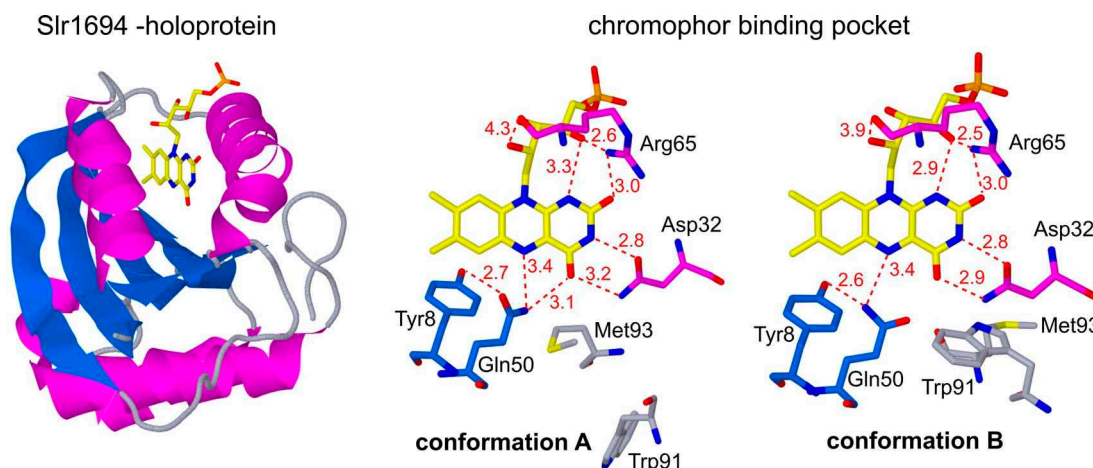
**Figure 7.2:** The wild-type BlrB photoreceptor. Left: holoprotein. Middle and right: chromophore binding site with the residues around the isoalloxazine ring and leucine 66. Structure from the RCSB protein database, ref. 241.

ella *Euglena gracilis*, which are responsible for a photophobic reaction upon blue-light illumination.<sup>[239]</sup> Recently also a photoactivated adenylyl cyclase from the bacterium *Beggiatoa* was characterized. Another protein, BlrP1 from *Klebsiella pneumoniae* controls motility, virulence and antibiotic resistance in the bacteria.<sup>[240]</sup>

The protein structure of several BLUF domains was solved by X-ray crystallography.<sup>[162,171,240–244]</sup> Figures 7.2 and 7.3 show the structures of the holoprotein and the flavin binding site for BlrB from *Rhodobacter sphaeroides* and Slr1694 from the cyanobacterium *Synechocystis* sp. PCC6803.<sup>[171,241]</sup> These short proteins consist mainly of the BLUF domain itself with a C-terminal extension of unknown function.<sup>[171,241]</sup> The tertiary structure shows a ferredoxin-like fold, consisting of a five-stranded mixed  $\beta$ -sheet with two parallel  $\alpha$ -helices on one side and a helix-turn-helix motif on the other. The flavin is bound noncovalently to two of the  $\alpha$ -helices, while the ribityl chain extends to the surface of the protein and the adenosine diphosphate moiety is exposed to the solvent. The adenine is not crucial for the formation of the light-adapted state,<sup>[163,241]</sup> but it may serve as a recognition site for effector domains of other proteins.<sup>[241]</sup> The binding site of the isoalloxazine ring is heterogeneous: whereas the dimethyl benzene ring is in a non-polar environment, the pyrimidine part interacts with polar residues and forms several hydrogen bonds with the protein backbone. Involvement in the formation of the signaling state was discussed for the conserved amino acids tyrosine-8, glutamine-50, and the semi-conserved tryptophan-91 (from here on, the notation for Slr1694 is adopted).

The arrangement of the binding site in the dark- and light-adapted state is subject to controversial discussion. For glutamine-50 different orientations were suggested by X-ray and NMR experiments:<sup>[243–245]</sup> the amino group may be either hydrogen-bonded to tyrosine-8, or else to the FAD C(4)=O. On this basis it was suggested that





**Figure 7.3:** The wild-type Slr1694 photoreceptor. Left: holoprotein. Right: two different conformations of the chromophore binding site. The structure was taken from the RCSB protein database, ref. 171.

signaling state formation involves a  $\sim 180^\circ$  rotation of the conserved glutamine with a concomitant change of the hydrogen-bond network.<sup>[165,243,244]</sup> This agrees with results from infrared- and Raman-difference spectroscopy, which found a weakening of the C(4)=O and C(2)=O bonds and a strengthening of the N(1)C(10a) and/or C(4a)N(5) bonds upon formation of the light-adapted state.<sup>[161,162,236]</sup> Mutation of the glutamine abolishes the ability of the protein to undergo the photocycle.<sup>[246]</sup>

Tyrosine-8 is another conserved amino acid that is crucial for the formation of the signaling state.<sup>[166,236,242,246–249]</sup> Early NMR studies on the AppA BLUF domain suggested that an increase of  $\pi$  stacking between the isoalloxazine ring and the conserved tyrosine is responsible for the absorption red-shift.<sup>[248]</sup> The geometry of the binding site, however, is unfavorable for such interaction,<sup>[241,244]</sup> and more recent publications explain the role of the tyrosine by its influence on the orientation of glutamine-50 and its ability to act as an electron donor for an intermediate reduction of the flavin chromophore.<sup>[165,166,246]</sup>

Tryptophan-91 is found in the majority of BLUF domains, but it not essential for signaling state formation. Mutatants, in which Trp-91 is replaced by alanine or phenylalanine, still show the characteristic  $\sim 10$  nm red-shift of the absorption spectrum upon illumination, but with a slightly increased rate for the dark-state recovery.<sup>[171,246]</sup> As an aromatic amino acid, tryptophan-91 can act as an alternative electron donor for a light-induced reduction of flavin.<sup>[166,246]</sup> In crystal structures, tryptophan-91 was found in different orientations, see Figure 7.3 (middle and right).<sup>[171]</sup> It adopted either a solvent-exposed conformation (conformation A), or was buried inside the protein and in contact with FAD (conformation B). The orientation of tryptophan-91 is connected to the position of Methionin-93, which is highly conserved.<sup>[249]</sup> It was suggested that tryptophan-91 changes its orientation upon formation of the signaling state and acts as a switch that couples the initial glutamine motion to the protein backbone.<sup>[166,171,243,250]</sup>

## 7 Light-Induced Changes in BLUF Photoreceptors

Amino acid residues around the ribityl and phosphate chain are not essential for the photocycle. A mutation of leucine-66 in BlrB to phenylalanine (see Figure 7.2), for example, does not impede the formation of the signaling state.<sup>[249]</sup> Nonetheless, subtle changes of the protein backbone upon mutation lead to a  $6\times$  faster return to the dark-adapted form, already within 1.3 s.<sup>[249]</sup> In this work, the acceleration of dark-state recovery for BlrB-L66F is utilized to avoid accumulation of the light-adapted state during transient absorption measurements. Femtosecond absorption spectroscopy is only sensitive to fast changes around the isoalloxazine ring, so that the measured evolution should not observe effects from the L66 mutation. The discussed leucine is not conserved among the BLUF domains of different proteins; in Slr1694, for instance, an arginine is found in this position (Arg-65, see Figure 7.3).

The ultrafast evolution upon excitation has been studied for several BLUF photoreceptors by transient absorption, fluorescence, and infrared spectroscopy,<sup>[165–169,246,251,252]</sup> leading to the following idea about the formation of the BLUF signaling state: The excited chromophore is reduced by electron transfer from the conserved tyrosine within several picoseconds. The generated flavin radical anion ( $\text{FAD}^{\bullet-}$ ) is then protonated by tyrosine-8 to the neutral flavin radical ( $\text{FADH}^{\bullet}$ ). The concomitant changes in the charge distribution may induce a rotation of glutamine-50. Subsequent hydrogen back-transfer on the 100 ps time scale recovers the oxidized flavin, but embedded into a modified hydrogen-bond network with an  $\sim 180^\circ$  rotated glutamine. Evidence for the radical intermediates was found for the slr1694 protein<sup>[165,167]</sup> and several mutants of BLUF domains,<sup>[166,246]</sup> but they did not accumulate in transient absorption experiments on the AppA protein.<sup>[251]</sup> A general observation in transient experiments on BLUF domains is the multi-exponential character of the measured dynamics, which has been assigned to a conformational heterogeneity of the chromophore binding site.

The interpretation of previous transient absorption measurements was hampered by two limitations: (i) the sample was excited at 400 nm, thereby populating a mixture of  $S_1$  and the  $S_2$  states. By pumping specifically the  $S_1 \leftarrow S_0$  transition, one should be able to reduce the complexity of the measured dynamics. (ii) The spectral window for probing was limited to wavelengths  $> 400$  nm, so that generated intermediates were identified solely by their absorption in the visible, overlapping at early delay times with the stimulated emission. To increase the available information, it is desirable to extend the spectral range to the UV region.

In this work, broadband transient absorption is applied to investigate light-induced changes in the BlrB-L66F mutant over a spectral range from 330 to 1000 nm. Information on the role of the tryptophane-91 as a potential electron donor is obtained from measurements on the Slr1694-Y8F mutant. Selective excitation is assured by using pump pulses at 440–480 nm.

## 7.2 Experimental Details

### 7.2.1 Transient Absorption

The transient spectral evolution, presented here, is the average of several measurement runs. Within precision, the transient absorption did not change between consecutive measurements, and product accumulation was not observed.

*Transient absorption spectra of BlrB-L66F* were recorded with the pump-supercontinuum probe (PSCP) setup based on the CLARK MXR CPA 2001 laser system. The photoreceptor was excited with 30 fs NOPA pulses at 480 nm (0.5  $\mu$ J). Measurements were carried out under parallel polarization conditions. From NMR spectroscopy a rotational correlation time of 8.2 ns was deduced for the monomeric protein,<sup>[249]</sup> so that the effect of rotational diffusion is considered to be negligible for the present experiment. Replacement of the sample after each shot was assured by circulating the sample through a flow cell. For the presentation in Figure 7.5, spectra up to 5 ps and > 50 ps were smoothed by 50 fs and 11 ps moving averages in time, respectively.

*Transient absorption spectra of Slr1694-Y8F* were recorded with the pump-supercontinuum probe setup based on the FEMTOLASERS sPro laser system. Pump pulses at 440 nm (50 fs fwhm, 0.5  $\mu$ J) were obtained by mixing the 800 nm fundamental with the frequency-doubled output of the TOPAS parametric amplifier. Measurements were carried under parallel and perpendicular polarization conditions, and the magic angle signal was calculated as  $\Delta OD_{ma} = (\Delta OD_{||} + 2\Delta OD_{\perp})/3$ . The sample was replaced between consecutive laser shots by laterally moving the sample cell by  $\sim 0.5$  cm with an oscillation frequency of 10 Hz, and slowly varying the vertical position of the cell.

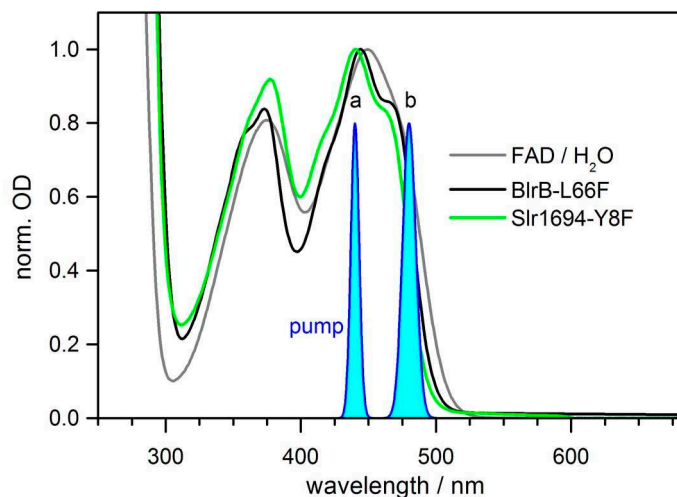
### 7.2.2 Biological Samples

The photoreceptors were provided by Tilo Mathes from the group of Peter Hegemann.<sup>1</sup> BlrB-L66F was dissolved in 10 mM phosphate buffer at pH 8 with additional 10 mM NaCl. Slr1694-Y8F was obtained as a solution of 10 mM Tris buffer at pH 8 with 50 mM NaCl. The samples were measured at optical densities of 0.5–0.8 on a pathlength of 0.5 mm.

### 7.2.3 Resonance Conditions

In Figure 7.4 ground-state absorption spectra of dark-adapted BlrB-L66F (black) and Slr1694-Y8F (green) in sodium phosphate buffer are compared to FAD in pure water (grey). The first two absorption bands are similar in all samples, but vibrational structure is resolved for the chromophore in protein environment. This suggests that the protein cleft is well-defined, so that inhomogeneous broadening is smaller than in water. The absorption spectrum is slightly blue-shifted for flavin bound to the protein: for

<sup>1</sup>Institute of Biology, Humboldt-Universität zu Berlin, Invalidenstr. 42, 10115 Berlin, Germany.



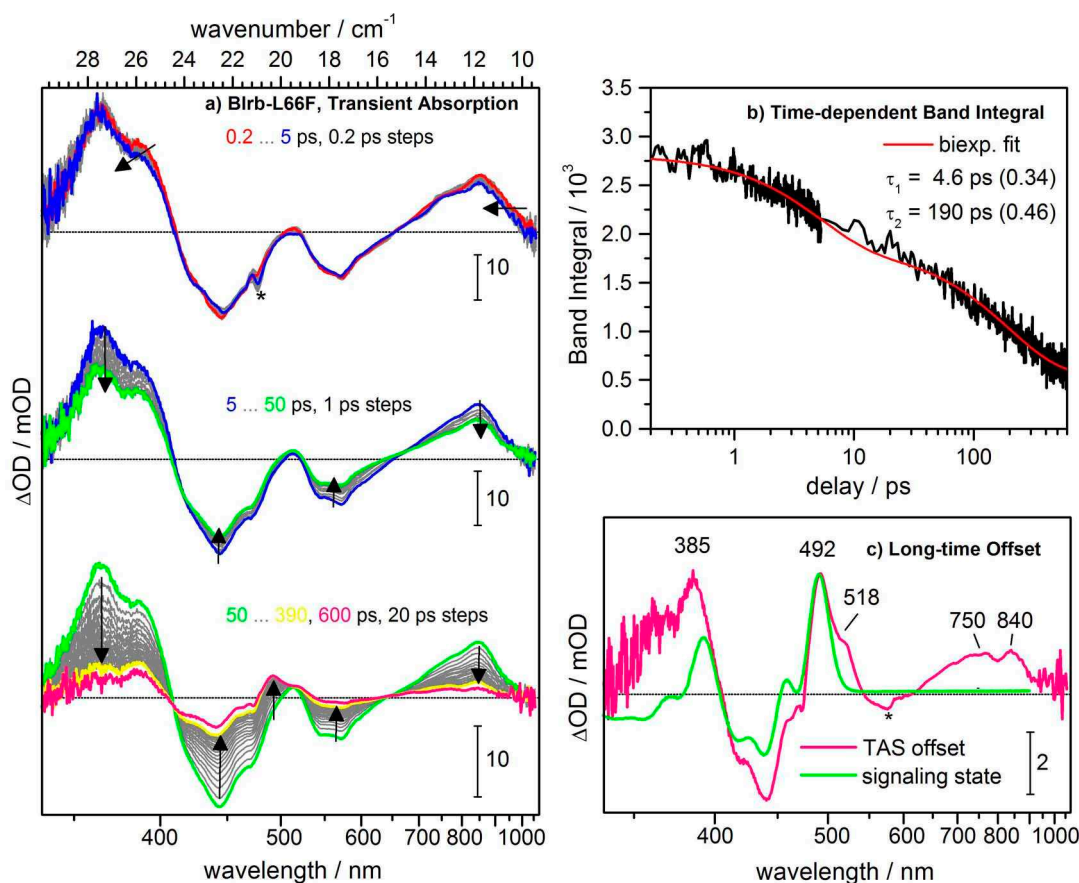
**Figure 7.4:** Stationary absorption spectra of the BLUF photoreceptors BlrB-L66F (black) and Slr1694-Y8F (green) in phosphate buffer, and of the pure chromophore FAD in de-ionized water. The power spectra of the actinic pump pulses are also shown in blue (a – Slr1694-Y8F measurement, b – BlrB-L66F measurement).

BlrB the first absorption band peaks at 444 nm, for Slr1694-Y8F at 440 nm. The pump pulse spectra are also sketched in blue. BlrB-L66F is excited at 480 nm (b) to the red side of the  $S_1 \leftarrow S_0$  absorption band, and Slr1694-Y8F at 440 nm (a), directly in the maximum of the first absorption band. The contribution of  $S_2 \leftarrow S_0$  may be considered to be negligible at the chosen pump wavelengths.

## 7.3 BlrB-L66F: Formation of the Signaling State without Detectable Intermediates

### 7.3.1 Results

*Transient absorption spectra* of the BlrB-L66F mutant at different delay times are shown in Figure 7.5 a. Directly after excitation, at 0.2 ps delay (red), the spectrum shows the typical shape of flavin in the  $S_1$  state. Excited state absorption (ESA) is observed at 365 nm with a shoulder at 386 nm, at 512 nm, and at 850 nm. Band positions in the protein resemble those in solution; only the near-infrared band is considerably red-shifted (this band peaks at 780 nm for FAD/ $H_2O$ ). Below 360 nm the transient absorption signal of BlrB-L66F tails off. This effect is an artefact from reduced UV-light transmission through the prism spectrograph. The bleach of the biological sample reproduces the vibrational structure of the ground-state absorption, indicating that predominantly protein-bound flavin is excited in the measurement. Moreover, the bleach reproduces the spectral position of the first absorption band of the photoreceptor in the dark. This demonstrates that the sample is replaced between successive laser shots, and that the current measurements monitor the photochemical behavior of the dark state alone.



**Figure 7.5:** Transient absorption on the L66F mutant of the BlrB photoreceptor, upon excitation with 480 nm. a) Transient absorption spectra. The asterisk in the top panel marks spectral residues from pump-pulse stray light. b) Time-dependent band integral over the full transient absorption spectra and bi-exponential fit. c) Long-time offset from a global exponential fit with  $\tau_1 = 4 \text{ ps}$ ,  $\tau_2 = 25 \text{ ps}$ , and  $\tau_3 = 190 \text{ ps}$ . The light-minus-dark difference spectrum of the Slr1694 photoreceptor is shown in green for comparison.

Within the first 5 ps, only small variations of the transient spectra are observed. In particular, bleach and stimulated emission do not change on this time scale, suggesting that the population resides in the  $S_1$  state. Nonetheless, a small blue-shift of the near-infrared ESA and subtle changes of the ESA around 400 nm take place. Thereafter, with increasing delay, the transient absorption spectrum decays, and a new band around 492 nm rises, whereas the stimulated emission vanishes almost completely. Most of this evolution takes place until 390 ps (yellow). No intermediate species are apparent in the transient absorption spectra. The stimulated emission band reports on the population in the  $S_1$  state; it would be extinguished by processes like electron transfer. Instead, stimulated emission decays gradually together with the rest of the transient absorption spectrum, evidencing population in the  $S_1$  state over the full time span. The long-

time offset, obtained from global analysis (see Discussion), is shown in Figure 7.5 c. For comparison, the light-minus-dark difference spectrum of the photoreceptor Slr1694 (wild type) is shown in green.<sup>2</sup> The similarity of the features up to 550 nm indicate formation of the signaling state. The spectrum of the light-adapted state is overlaid by a second spectrum with a broad absorption band around 800 nm and a shoulder at 518 nm, which resembles the triplet spectrum.

*The band integral* over the full transient-absorption spectra is shown Figure 7.5 b. Decay within the first picoseconds reflects the small spectral changes on this time scale. The positive and negative bands of the transient-absorption spectrum partly compensate upon formation of the band integral. Since the short-time evolution mainly affects ESA bands, it has a pronounced effect on the band integral, leading to a decay of  $\sim 30\%$ . The subsequent evolution up to 600 ps is seen as a gradual change of the band integral. As the spectral evolution, also the temporal profile does not indicate the formation of intermediate species.

### 7.3.2 Discussion

#### Temporal Characterization

The bandintegral trace in Figure 7.5 b is fitted by a bi-exponential decay and a non-decaying offset; the fit is shown as the smooth red line. Time constants of  $\tau_1 = 4.6$  ps (34 %) and  $\tau_2 = 190$  ps (46 %) were obtained.

The 4.6 ps decay captures the initial blue-shift of the near-infrared ESA and the changes of the ESA around 400 nm. Meanwhile, the bleach stays constant, indicating that population does not return to the ground state. This observation excludes that the evolution is caused by adenine, through quenching of free flavin in solution, which also occurs on the 5–10 ps time scale. It further implicates that the concentration of unbound flavin molecules is negligible in the current measurements. The bleach only indicates the absence of ground state recovery, but does not specify, in which other electronic state the population resides. Such information is given by the stimulated emission: it stays unchanged during initial evolution, thereby showing that the population does not leave the  $S_1$  potential energy surface. It is therefore concluded that the 4.6 ps evolution characterizes a relaxation process of the molecule in the  $S_1$  excited state.

Relaxation in an electronic state can be divided into two categories: vibrational relaxation and dynamic solvation. Intramolecular vibrational redistribution mostly completes already within the first picosecond (see section 4 and refs. 53,113). Vibrational cooling, i.e. the distribution of excess energy to the environment, was shown to take place with 5.5 ps for FAD in solution (see section 6), close to the time constant found here. The band integral, however, should not be sensitive to vibrational relaxation (see Section 2.2.2). In addition, the 475 nm pump beam prepares the system with only little excess

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<sup>2</sup>Spectra of the light-adapted state of Slr1694 were provided by Tilo Mathes, see footnote on page 161 for contact details.

### 7.3 BlrB-L66F: Formation of the Signaling State without Detectable Intermediates

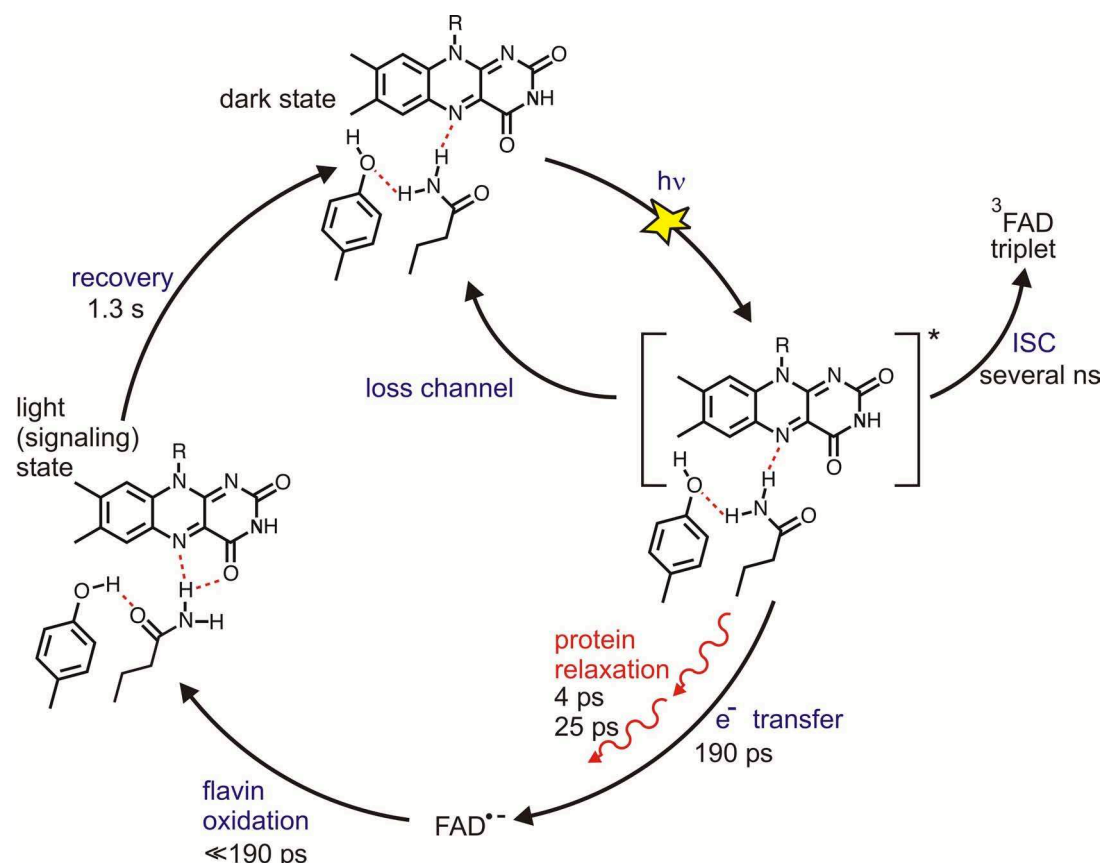
energy; considering the results of flavin in solution, one expects that the chromophore is excited only  $\sim 600 \text{ cm}^{-1}$  above the 0-0 transition.

Dynamic solvation is another process that may induce shifts of electronic bands.<sup>[128,130]</sup> In the case of a photoreceptor, the solvent shell is defined by the chromophore binding site of the protein. Dynamic reorientation upon electronic excitation then corresponds directly to a conformational change of the protein on the way to the signaling state. Depending on the induced motion, the process may take between tens of femtoseconds (O-H rotation) and microseconds (partial protein refolding). Therefore, changes with 4.6 ps are compatible with a reorientation of the protein environment.

The 190 ps decay of the band integral characterizes the formation of the signaling state. Additional exponential terms are not needed to describe the band integral trace, and the spectral evolution does not show any intermediates. Nonetheless, formation of the signaling state requires a return of the population to the ground state with a new conformation of the protein binding site. Electron transfer from aromatic residues was already observed in femtosecond experiments on the Slr1694 BLUF protein,<sup>[165,167]</sup> and was also used to explain the quenching of free FAD in solution.<sup>[184–189]</sup> Following this mechanism, the lack of detectable intermediates in the current experiment suggests that for BlrB-L66F, electron transfer to the isoalloxazine ring is the rate-limiting step and takes  $\sim 190 \text{ ps}$ . For the corresponding process in Slr1694 a similar time constant of 180 ps was proposed.<sup>[167]</sup> In contrast to the results presented here, *Gauden et al.* found for Slr1695 additional evolution on the shorter time scale, which they assigned to different conformations of the protein binding site. For the BlrB-L66F mutant, it is not necessary to assume an effect of conformational heterogeneity. A different situation will be encountered for the Slr1694-Y8F mutant.

A global exponential fit is now performed on time traces at all detection wavelengths. The time constants of 4.6 ps and 190 ps from the band integral analysis were fixed during optimization. For the complete description a third exponential with  $\tau = 25 \text{ ps}$  had to be included. As already discussed, a formation of intermediates is neither observed in the transient absorption spectra nor the evolution of the band integral. Instead, the 25 ps time constant describes subtle spectral changes on the way to signaling state. It appears reasonable to assign these effects to a dynamic reorientation of the flavin binding site. Further insights require the application of structure-sensitive methods like femtosecond stimulated Raman spectroscopy.

The long-time offset obtained from the global fit is presented in Figure 7.5 c. It shows the transient signature of the light-adapted state and additional absorption around 800 nm. Together with the shoulder at 518 nm the latter part of the spectrum resembles that of the triplet state, which was reported, for example, by *Kottke et al.* for flavin in the LOV1 domain.<sup>[152]</sup> There, however the near-infrared band appears more to the blue, with two humps at 648 and 715 nm. Similar differences in band position were found already for  $S_1$  state spectra of free and bound FAD.



**Figure 7.6:** Proposed mechanism for the photocycle of BlrB-L66F, as deduced from transient absorption.

### Mechanism of the BlrB-L66F Photocycle

A mechanistic picture of the photocycle of the BlrB-L66F mutant is given in Figure 7.6, based on the previous discussion. Illumination at 480 nm promotes the flavin chromophore to the  $S_1$  excited state with  $\sim 600 \text{ cm}^{-1}$  excess energy. The rate-limiting step on the way to the signaling state is electron transfer from an aromatic residue, most probably tyrosine-9, to the isoalloxazine ring. This process occurs with an effective time constant of 190 ps. Additional pathways are the internal conversion to the ground state, which may occur directly or by charge recombination after electron transfer, and inter-system crossing to the triplet state. At this point, a clear separation of these competing processes is not possible. For flavin in solution and in the LOV1 domain,  $S_1 \rightarrow S_0$  conversion and formation of the triplet state takes 10–20 ns.<sup>[183]</sup>

Re-oxidation of  $\text{FAD}^{\bullet-}$  leads to the formation of the signaling state. The involved reaction steps are faster than the electron transfer, so that intermediates do not accumulate. On the way to the signaling state, additional evolution with 4 and 25 ps describes reorientation of the chromophore binding site. The red-shifted absorption band of the



### 7.3 *BlrB-L66F: Formation of the Signaling State without Detectable Intermediates*

light state can be explained by changes of the hydrogen bond network, involving, for example, a flip of Gln51. Whereas the largest rearrangements should follow electron transfer, an evolution with 4 and 25 ps may describe the initial response of the protein environment to flavin excitation.

Return of the system from the light to the dark state takes  $\sim 1.3$  s. This process does not require light activation, and the involved reaction steps are still obscure.

## 7.4 Slr1694-Y8F: Reduction of Flavin by a Tryptophan Residue

### 7.4.1 Results

*Transient Absorption Spectra.* Figure 7.7 a shows transient absorption spectra of the BLUF mutant Slr1694-Y8F. Directly after excitation (red) the spectrum has again the typical shape observed for flavin in the  $S_1$  excited state: characteristic excited state absorption bands are seen at 300, 365, and 509 nm, and in the near infrared, peaking outside the spectral range. The bleach and stimulated emission have minima at 440 and 545 nm.

Within the first picoseconds the bleach stays approximately constant (similar to the previous case), indicating that the population does not return to the equilibrated ground state. In contrary, the stimulated emission decays partly on this time scale, accompanied by small changes in the UV < 410 nm. The initial relaxation has only little effect on the positions of the bands. In particular, a dynamic Stokes shift of the stimulated emission band is not apparent.

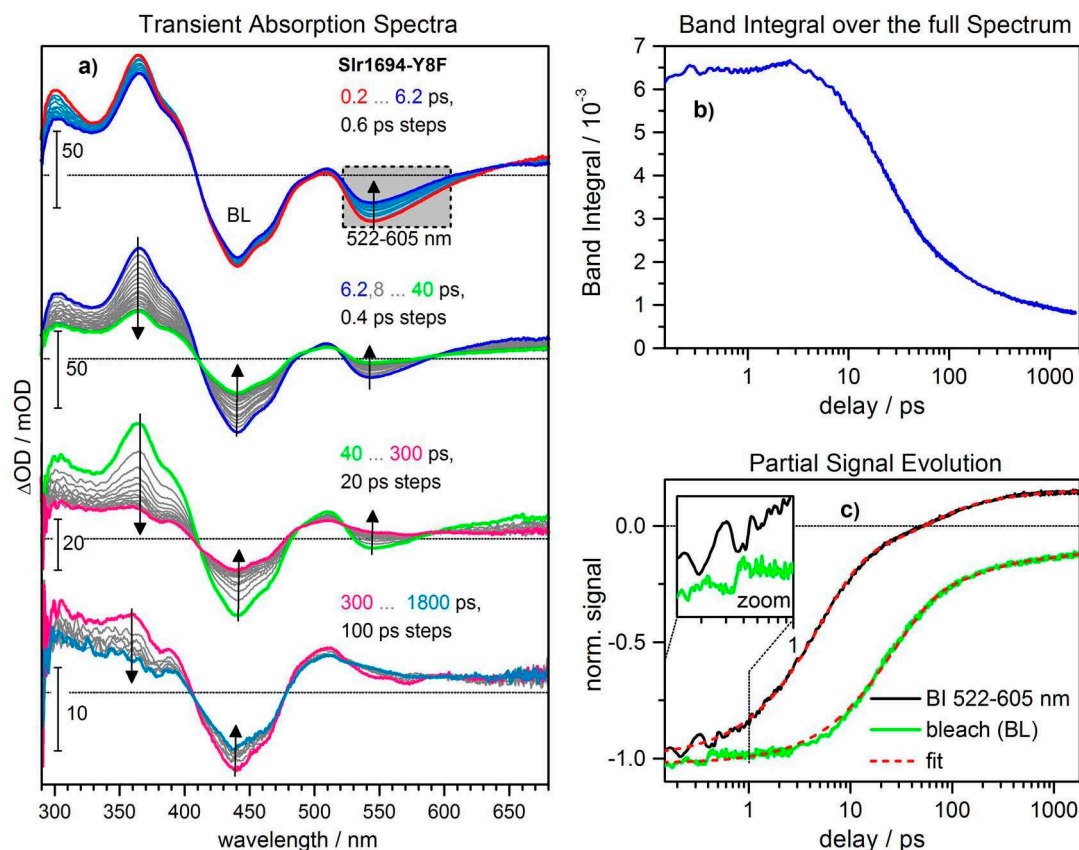
Following the evolution up to 20 ps, decay of the spectrum over the full detection window is found. From the first view, the evolution appears to be spectrally uniform, but a global analysis in the discussion section will reveal details. Here the attention is directed to the bleach band, which decays as well, a sign for the return of population to the ground electronic state.

Within the next several hundred picoseconds, the decay proceeds, but the spectrum does not approach the baseline. In contrast, in the former stimulated emission region an absorption band rises. Meanwhile, in the UV, the relative contribution of the 365 nm band decreases, so that a band around 388 nm can be distinguished.

On the nanosecond time scale further decay is observed, mainly in the bleach and UV excited state absorption. In the visible, in the region of the  $S_1$  state stimulated emission, only minor changes take place. This shows that the return to the ground state does not occur from the first excited singlet state, but from another state that does not fluoresce. A long-time offset remains with a broad absorption in the visible. The narrow absorption around 490 nm that would indicate signaling state formation (see Figure 7.5, green) is completely absent, in agreement with the inability of the Y8F mutant to undergo the biological photocycle.

*The temporal evolution* is studied first by performing the band integral over the full transient absorption spectra (295–680 nm); the resulting time trace is shown in Figure 7.7 b. Most clearly visible is a strong signal loss on the 20 ps time scale, followed by a slower decay within the next several hundred picoseconds. Spectral changes within the first picoseconds are not captured by this analysis, because both the UV excited state absorption and the stimulated emission band decrease in amplitude, so that the changes cancel upon integration.

More details are revealed by analyzing different parts of the spectrum separately. Figure 7.7 c compares the evolution of the bleach, represented by the time trace at 440 nm with the band integral over the stimulated emission region, marked by the grey box



**Figure 7.7:** Transient absorption of the BLUF mutant Slr1694-Y8F after excitation at 440 nm under magic-angle conditions. a: transient absorption spectra. b: time-dependent band integral over the full spectrum (295–680 nm). c: comparison of the bleach trace at 440 nm and the band integral over the stimulated emission region 522–605 nm (marked grey in panel a).

in Figure 7.7 a (522–605 nm). The difference in the short time behaviour is apparent: whereas the bleach stays initially constant and only decays on the time scale of 20 ps, the stimulated emission band integral changes already within the first picoseconds. After 50 ps, the band integral becomes positive, indicating the rise of excited state absorption in this spectral region. The inset demonstrates also that wavepacket motion is observed in the photoreceptor. Bleach and stimulated emission oscillate  $180^\circ$  out of phase.

## 7.4.2 Discussion

The temporal evolution is quantified by multi-exponential analysis, and the analysis follows a path towards increasing complexity.

**Table 7.1:** Results from a multiexponential analysis of transient absorption traces from Slr1694-Y8F,<sup>a</sup> and comparison to results for other photoreceptors.

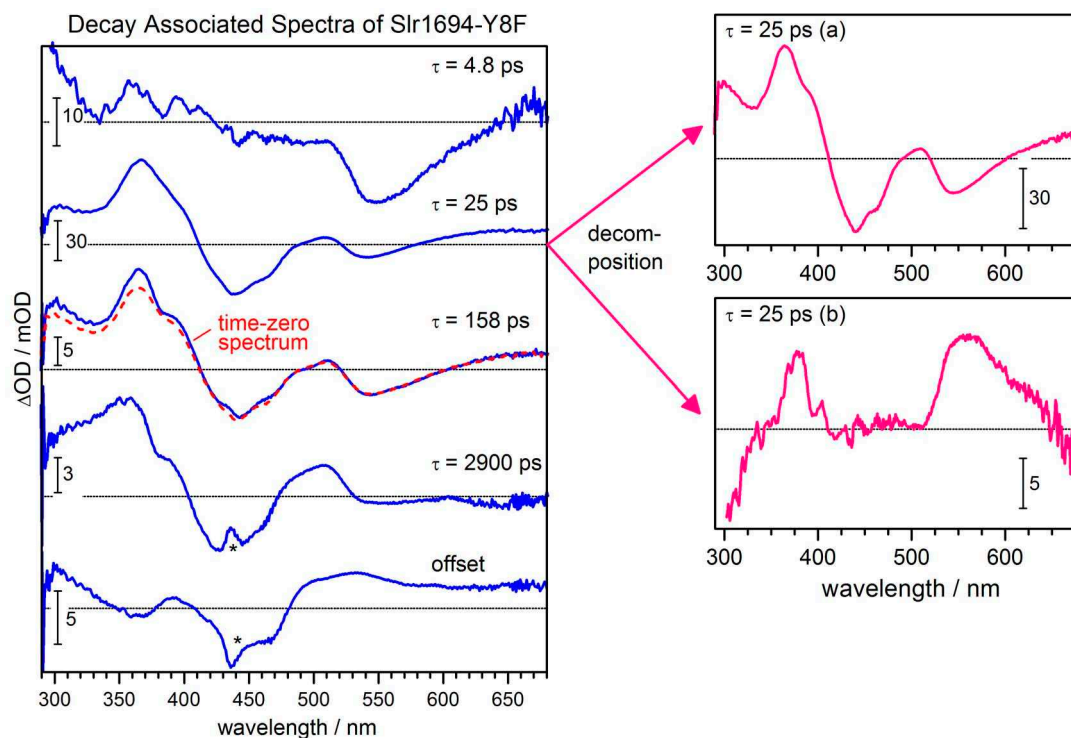
Slr1694-Y8F		Slr1694-WT <sup>b</sup>	Slr1694-Y8W <sup>c</sup>	Blrb-L66F <sup>d</sup>
bleach	BI 522–605 nm			
	4.8 (0.78)	0.9	1	4.6
		7	11	
25 (0.69)	25 (0.20)	22		25
160 (0.16)	160 (0.16)	123	76	190
2900 (0.08)	2900 (0.01)			
offset (0.07)	offset (-0.15)	offset	offset	offset

<sup>a</sup> time constants are given in ps, with the contribution of corresponding exponential in brackets, <sup>b</sup> from ref. 167, <sup>c</sup> from ref. 246, <sup>d</sup> see Section 7.3.1.

### Temporal Characterization

The bleach monitors only the population that returns to the ground state, but it is insensitive to changes in other electronic states. The trace in Figure 7.7 c can be described by the sum of three exponential functions and an offset (dashed red line); time constants of 25, 160, and 2900 ps are obtained. Since the maximum delay is limited by the length of the delay line to 1800 ps, the nanosecond decay is only partly captured, and the associated decay time should be considered an estimate. Multi-exponential photo-dynamics were reported for the Slr1694 photoreceptor before<sup>[165,167,246]</sup> and assigned to conformational heterogeneity of the flavin binding site. Also here, it appears reasonable to ascribe the three time constants for ground state recovery to subpopulations of excited flavin in slightly different protein environments.

The evolution of the band integral over the stimulated emission region (black in Figure 7.7 c) can only be described by including another exponential. When keeping the time constants from the bleach analysis, a decay time of 4.8 ps is obtained for the additional component. Optimized parameters are summarized in Table 7.1 and compared to results from transient absorption on other photoreceptors.<sup>[167,246]</sup> Note that multi-exponential analysis is not unique, so that —depending on the noise level— two exponentials may describe in one experiment the same evolution that is characterized in another experiment by a single exponential with an intermediate decay time. In addition, measurements on the Slr1694 wild type (WT) and on the mutant Slr1694-Y8W were recorded with 400 nm excitation. Internal conversion from the S<sub>2</sub> state, which should also be partly excited under these conditions, and vibrational relaxation of the excess energy should contribute to the fast  $\sim 1$  ps time constant in these measurements. With this in mind, the time constants are strikingly similar, suggesting that the different conformations of the flavin binding site are well defined and reflect an inherent ambiguity in the orientation of residues in the chromophore cleft. Note that the spectral evolution associated with the time constants is not unique. In the Blrb-L66F measurements, for example,



**Figure 7.8:** Decay associated spectra (DAS) from a multi-exponential global fit of transient absorption on the BLUF mutant Slr1694-Y8F with the time constants from table 7.1. For comparison, the extrapolated time-zero spectrum is shown in panel 3 (dashed red), scaled by a factor of 0.15. The DAS for  $\tau = 25$  ps can be divided into the magenta spectra to the right. Artefacts from the scattering of the pump beam are indicated by asterisks.

the stimulated emission does not decay at early delay times. Instead, the 4.6 ps time constant describes subtle spectral changes, probably by solvation through the protein. One may conclude that reaction rates for the photoexcited chromophore are limited by protein dynamics.

### Global Analysis and Mechanism

*Decay Associated Spectra.* A global fit is now performed on the full data set with the parameters from the previous analysis. The obtained decay associated spectra (DAS) are shown in Figure 7.8. As detailed in section 3.6, DAS do not necessarily represent spectra of photochemical intermediates, but report the spectral changes for a given exponential decay. The sum of all DAS is the transient spectrum, extrapolated to zero delay time. When scaling this time-zero spectrum by a factor of 0.15 (dashed red in panel three), it matches almost completely the DAS for  $\tau = 160$  ps. This indicates that 15% of the initially excited flavin decays with 160 ps directly to the ground, without forming

detectable intermediates. This part of the population is assigned to one conformer of the photoreceptor (A).

Another extreme is the DAS for  $\tau = 2900$  ps: It does not bear any sign of stimulated emission from  $S_1$ , but shows nonzero bleach amplitude. This indicates decay to the ground state from an electronic state, which is different from the initially excited  $S_1$  state, *i.e.* which corresponds to a photochemical intermediate. Product formation requires the existence of a second, reactive conformer (B).

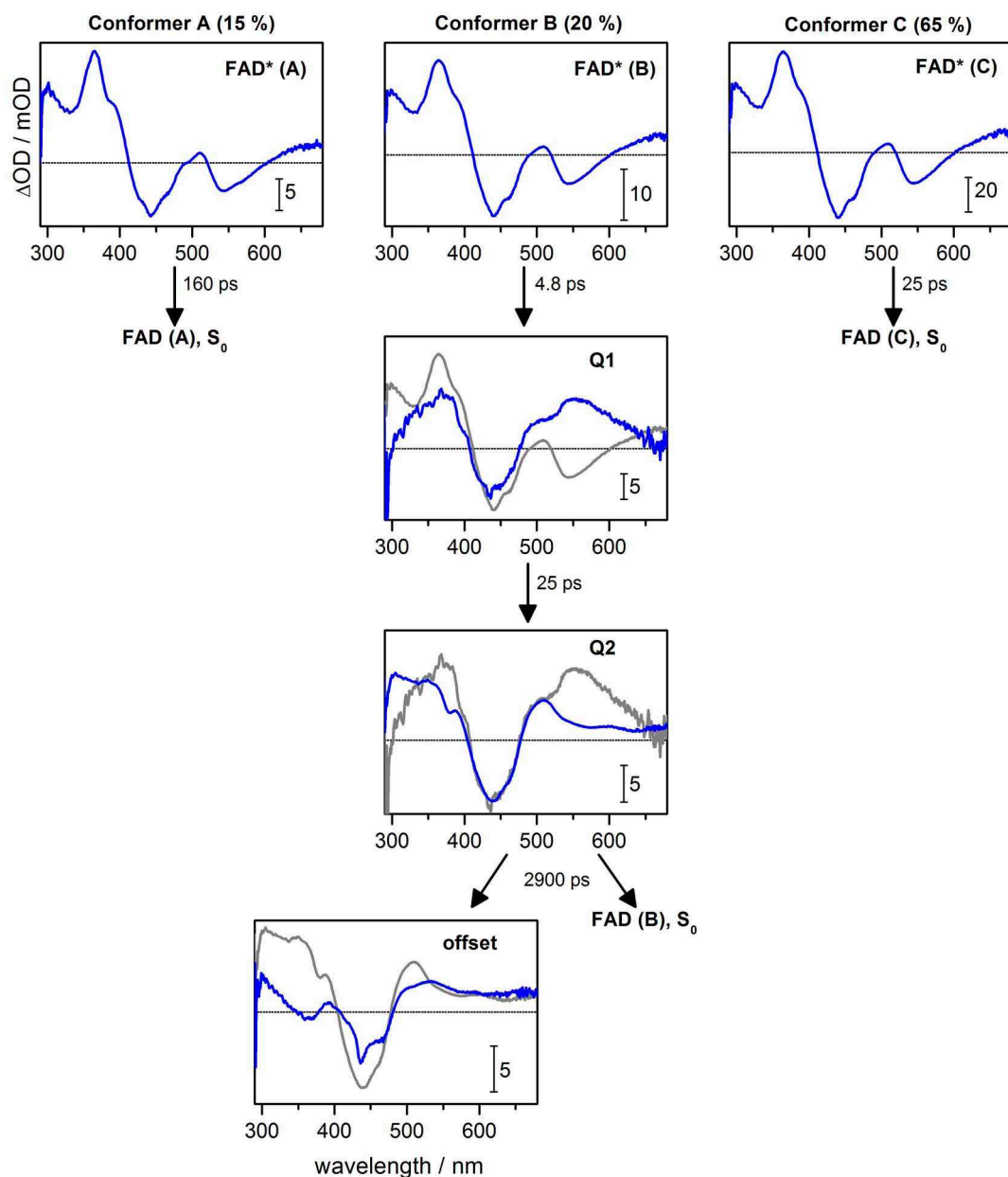
The early evolution is characterized by the DAS for  $\tau = 4.8$  ps. This spectrum has no significant bleach contribution, thus excluding that population flows back to the initial ground state at early times. The rise in the stimulated emission region in Figure 7.7 a is reproduced in the DAS as a negative band around 550 nm. Note that at this point it is not possible to distinguish between the decay of stimulated emission and the rise of a new absorption band. As shown in Section 6, solvation can induce a change of the stimulated emission intensity. However, since the presence of a photochemical intermediate was already confirmed, it appears reasonable to assign the 4.8 ps evolution to the population of a different electronic state of conformer B.

The interpretation of the DAS for  $\tau = 25$  ps is more complicated. The shape resembles the spectrum of flavin in the first excited state, but with a decreased amplitude in the stimulated emission region. The analysis of the bleach signal in Figure 7.7 c already lead to the conclusion that a third conformer (C) exists that re-populates the ground state with 25 ps. The spectral appearance obtained here can be understood if one assumes that the 25 ps time constant describes two different processes: the return of excited flavin from  $S_1$  to the ground state  $S_0$ , assigned to a conformer (C), and another process that has to be specified in the subsequent analysis. On this basis, the DAS can be decomposed into the two spectra shown in Figure 7.8, right. The new DAS labeled by  $\tau = 25$  ps (b), is free from bleach signal, *i.e.* describes a process in the excited state manifold (or in a ground state, which differs from the initial  $S_0$  electronic state). The broad absorption band around 560 nm links this process to the component in conformer B that rises with 4.8 ps. This analysis describes two processes with the same time constant. The real values may, of course, be slightly different, but close enough that they are not distinguished by the fit.

#### *Kinetic Model and Species Associated Spectra*

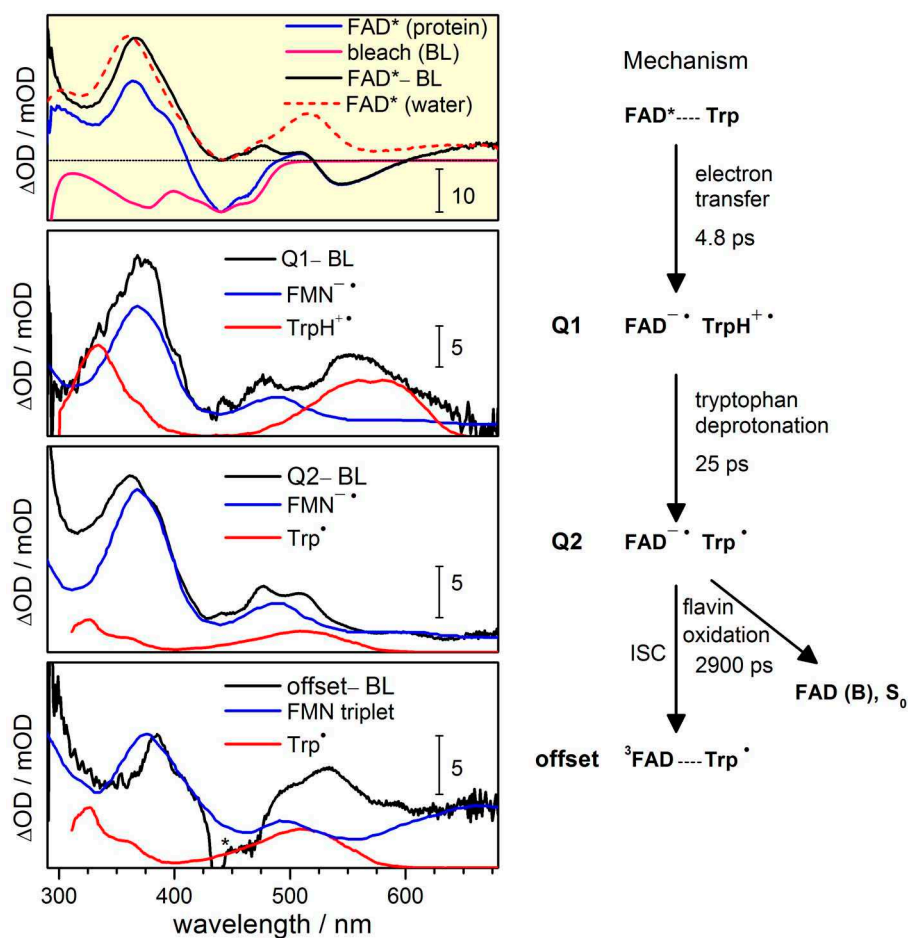
The information from the previous analysis can now be combined in the kinetic model for Slr1694-Y8F photochemistry shown in Figure 7.9. Within this model, for each species a transient spectrum is obtained. For the excited chromophore FAD\* three conformations can be distinguished, which are populated with the ratios 15:20:65 (A:B:C). Only B can react further to the intermediate Q1, whereas A and C directly return the ground state  $S_0$  with 160 and 25 ps, respectively. Conversion of B occurs with 4.8 ps and is characterized by a loss of structure in the UV absorption bands and the rise of a broad absorption around 555 nm. With 25 ps this absorption decays, accompanied by spectral changes in the UV. The resulting spectrum for Q2 shows a hump at 386 nm, and a broad shoulder around 352 nm; in the visible a band is seen around 505 nm, which tails

#### 7.4 Slr1694-Y8F: Reduction of Flavin by a Tryptophan Residue



**Figure 7.9:** Photochemistry of Slr1694-Y8F: mechanism and species associated spectra. Blue spectra correspond to the spectrum of the actual species, the previous spectrum is shown in grey. Conversion times are indicated.

towards the red. The species Q2 decays with 2900 ps. Part of the population returns to the ground state, whereas the rest converts to another species to account for the long-time offset; a similar spectrum was found for the Slr1694-Y8W mutant.<sup>[246]</sup> The assignment of the offset to the B conformer alone is to some extent arbitrary, since also



**Figure 7.10:** Photochemistry of Slr1694-Y8F: mechanism and species associated spectra. Blue spectra correspond to the spectrum of the actual species, the previous spectrum is shown black dashed. Conversion times are indicated.

conformers A and C could form the underlying species in a side reaction. However, it is noted already here that the broad absorption throughout the visible region indicates a contribution of the flavin triplet state to this spectrum; this assignment will be further substantiated below. For flavins, inter-system crossing takes typically several nanoseconds, both in the protein and in solution.<sup>[183]</sup> This agrees with an effective time constant of 2900 ps found in the current analysis. Since the excited conformers A and C decay significantly faster, their contribution should be small.

*Mechanistic conclusions* from the proposed model require an assignment of the spectral signatures in the spectra of Figure 7.9. Note that the term “species” collects all absorbing products that contribute to the associated spectrum.

The interpretation of transient absorption spectra is hampered by the superposition of



bleach, excited state absorption, and stimulated emission. In Chapter 6 a procedure was developed to decompose the spectrum into the components. Also here the bleach can be modeled by the scaled ground state absorption spectrum. The decomposition is shown for the FAD\* spectrum in the top panel of Figure 7.10. The vibrational structure of the first absorption band is clearly reproduced in the transient absorption signal. The ground state spectrum is scaled to match the minimum at 440 nm (BL, magenta) and subtracted, resulting in the black spectrum (FAD\*-BL). It resembles the previously obtained ESA spectrum of unbound FAD in water, extrapolated to zero delay time (dashed red). In the chromophore, the UV absorption band is 6 nm red-shifted, peaking at 367 nm. Also the blue shoulder of the ESA around 515 nm is revealed; the stimulated emission is not subtracted here.

According to the model in Figure 7.9 the intermediates Q1 and Q2 are formed from the initial FAD\*(B) without loss of population to the ground state. Hence, the bleach stays constant in this model and can be taken from the decomposition of FAD\*(B). Results are shown by the black lines in panels two and three. Since for the step Q1→offset conversion to the ground state appears as a side reaction, the bleach spectrum had to be adjusted again to obtain the corrected offset-spectrum in panel four (black).

The excited absorption of Q1 shows absorption bands at 373 and 480 nm, which match the spectrum of the flavin radical anion  $\text{FAD}^{\bullet-}$ . For comparison the spectrum of the flavin mononucleotide radical anion,  $\text{FMN}^{\bullet-}$ , from the flash photolysis experiments of ref. 253 is shown in blue. The broad absorption around 555 nm could have different origins. The neutral radical  $\text{FADH}^{\bullet}$ , for example, absorbs also in this region. Reduction of flavin to its semiquinone form, however, should leave a residue in the vicinity with electron deficiency, which should show up in the absorption spectrum as well. Indeed, the tryptophan radical cation  $\text{TrpH}^{+\bullet}$  (red) has a matching absorption spectrum.<sup>[254]</sup> In the Slr1694 binding pocket, tryptophan-91 could act as a suitable electron donor. Crystal structures indicated different conformers of this amino acid: either buried in the protein, in close proximity to the chromophore, or oriented towards surface of the protein and solvent exposed. The latter arrangement would correspond to conformers A and C and would explain their lack of reactivity.

Upon formation of Q2, mainly the putative  $\text{TrpH}^{+\bullet}$  band decays (panel three). The resulting spectrum resembles strongly the  $\text{FMN}^{\bullet-}$  spectrum, confirming the previous assignment to the radical anion. The humps around 500 nm are assigned to vibrational band structure, which becomes stronger in the protein environment.<sup>[255]</sup> A typical reaction for a tryptophane radical cation is the release of a proton, generating the neutral radical  $\text{Trp}^{\bullet}$ . This species absorbs around 500 nm and below 400 nm, red line in panel three. The excited state absorption of Q2 shows in these regions upward deviation from the semiquinone spectrum, so that a formation of  $\text{Trp}^{\bullet}$  appears reasonable. However, the effects are small, and additional spectroscopic information should be gathered in future to support this idea.

For the long-time offset, already a contribution of the flavin triplet spectrum was suggested. The corresponding spectrum of FMN in solution<sup>[253]</sup> agrees with the offset

spectrum below 475 nm<sup>3</sup> and above 600 nm. In between an additional absorption band is present in the species associated spectrum. As before, it could be assigned to a persistent fraction of Trp<sup>•</sup>. On the other hand, both return of FAD<sup>-•</sup> to the ground state and formation of the triplet state require oxidation, and the question after the electron acceptor arises. This question should be addressed in future experiments.

### 7.5 Conclusions

The BLUF mutants BlrB-L66F and Slr1694-Y8F were studied by broadband transient absorption spectroscopy with femtosecond resolution. Recorded transient absorption spectra extend from the ultraviolet to the near-infrared spectral region without missing parts around the pump wavelength. Excitation at 440–480 nm assured that the sample is only excited in the first absorption band.

For BlrB-L66F the initial transient spectrum of the S<sub>1</sub> state interconverts without apparent intermediates with 190 ps to the spectrum of the signaling state. On the way, subtle spectral changes occur with 4.6 and 25 ps; they are assigned to a reorientation of the protein binding site.

For the Slr1694-Y8F mutant spectral intermediates are observed. Spectra obtained from a global analysis with a model of sequential interconversion suggest that flavin is reduced to the semiquinone FAD<sup>-•</sup> by electron transfer from tryptophan-91. Broad visible absorption is assigned to the tryptophan radical cation TrpH<sup>+•</sup>, and its decay with 25 ps indicates deprotonation of TrpH<sup>+•</sup> on this time scale; meanwhile flavin stays in the radical anion form. The signaling state is not formed by the Y8F mutant of Slr1694. Instead the semiquinone returns to the ground state with an effective time constant of 2900 ps, leaving an offset spectrum, which contains contributions from the triplet and another species, probably the neutral tryptophan radical Trp<sup>•</sup>. Additional exponential evolution with 25 and 160 ps was assigned to direct ground-state recovery in two non-reactive conformations of the protein.

The current results suggest that not only tyrosine-8 but also tryptophan-91 can act as electron donors for flavin reduction. Although not essential for signaling state formation, tryptophan-91 may contribute to the light-induced reactions of the wild-type photoreceptor. The similarity of time constants found in several BLUF domains for different processes could implicate that the rates of photoinduced reactions are controlled by response of the binding site.

The current experiments also demonstrate the limitations of transient absorption spectroscopy for the identification of spectral intermediates. Despite the large spectral range that is covered, assignments are based on only few broad absorption bands. In future, transient Raman spectra would provide a significantly higher information density. Then

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<sup>3</sup>Note that the feature marked by an asterisk originates from stray light from the pump beam.

intermediates could be identified with high confidence by their vibrational fingerprint. In addition, Raman spectroscopy will also provide details about the structural evolution during signaling state formation.

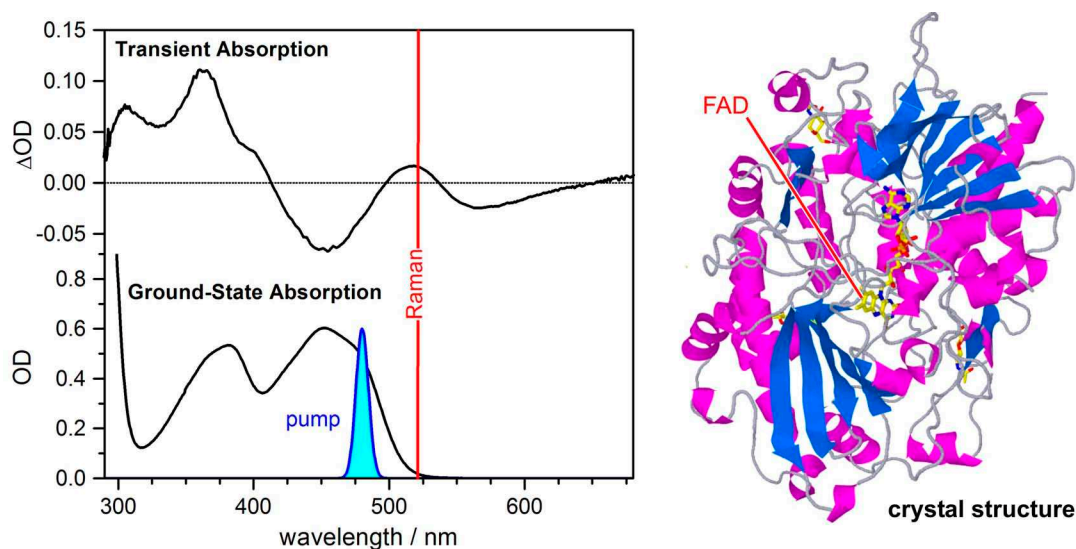


## 8 Outlook: Femtosecond Stimulated Raman Spectroscopy of Glucose Oxidase

### 8.1 Introduction

The previous chapter demonstrated the potential of femtosecond spectroscopy to reveal light-induced reactions in biological photoreceptors. Already in transient absorption measurements the response of the protein environment was seen as subtle spectral changes. Detailed information about the involved processes, like the controversial orientation of glutamine-50 requires structure-sensitive methods. Femtosecond stimulated Raman spectroscopy has not been used to study flavoproteins before, and its principle application will be shown here. The basis for such measurements was developed with the experiments on stilbene, cyanine, and the biologically relevant flavin chromophore, free in solution. Here first experiments on flavin in a protein environment are reported.

As a model compound, the glucose oxidase was chosen, a commercially available glycoprotein that contains noncovalently bound FAD as a cofactor; the crystal structure is



**Figure 8.1:** Left: Transient absorption (top) of glucose oxidase in citric acid/NaOH buffer (pH 5), 0.2 ps after excitation, and ground-state absorption (bottom) of the sample in a 1 mm cuvette. The actinic pump pulse is shown in blue, the Raman wavelength is marked by the red line. Right: crystal structure of glucose oxidase of *Aspergillus niger* from the RCSB protein database, ref. 256.

shown in Figure 8.1, right.<sup>[256]</sup> This enzyme binds to  $\beta$ -D-glucose and catalyzes the oxidation by molecular oxygen to glucono- $\delta$ -lactone.<sup>[257]</sup> Although glucose oxidase is naturally not photoactive, its illumination leads to the intermediate reduction of FAD, similar to the processes in flavin-based photoreceptors.<sup>[258]</sup> Since a proof-of-principle experiment is presented here, the details of the light-induced dynamics are not discussed.

## 8.2 Experimental Details

*Glucose Oxidase* from *Aspergillus niger* was purchased as a lyophilized powder from Sigma (type VII-S, 100000–250000 units/g) and used as received. The sample was dissolved in a citric acid/sodium hydroxide buffer at pH 5 (Merck *CertiPur*). The solution had an optical density of 0.3 on an optical path length of 0.5 mm.

*Transient Raman Spectroscopy.* The sample was excited with 0.4  $\mu$ J of 480 nm (30 fs fwhm) pulses from a NOPA. Narrowband Raman pulses around 521 nm (0.5  $\mu$ J) were provided by the home-built optical parametric amplifier (see Section 3.5.1); the spectral resolution was 11  $\text{cm}^{-1}$ . Experiments were carried out under parallel polarization conditions. To replace the sample after each shot, the containing cell was shaken laterally with an oscillation frequency of 10 Hz and an amplitude of 5 cm; at the same time the cell was slowly moved in vertical direction. For presentation in Figure 8.2, the spectral evolution up to 0.5 ps was filtered by a 66 fs wide moving average in time, and the evolution up to 2.9 ps by a 114 fs moving average.

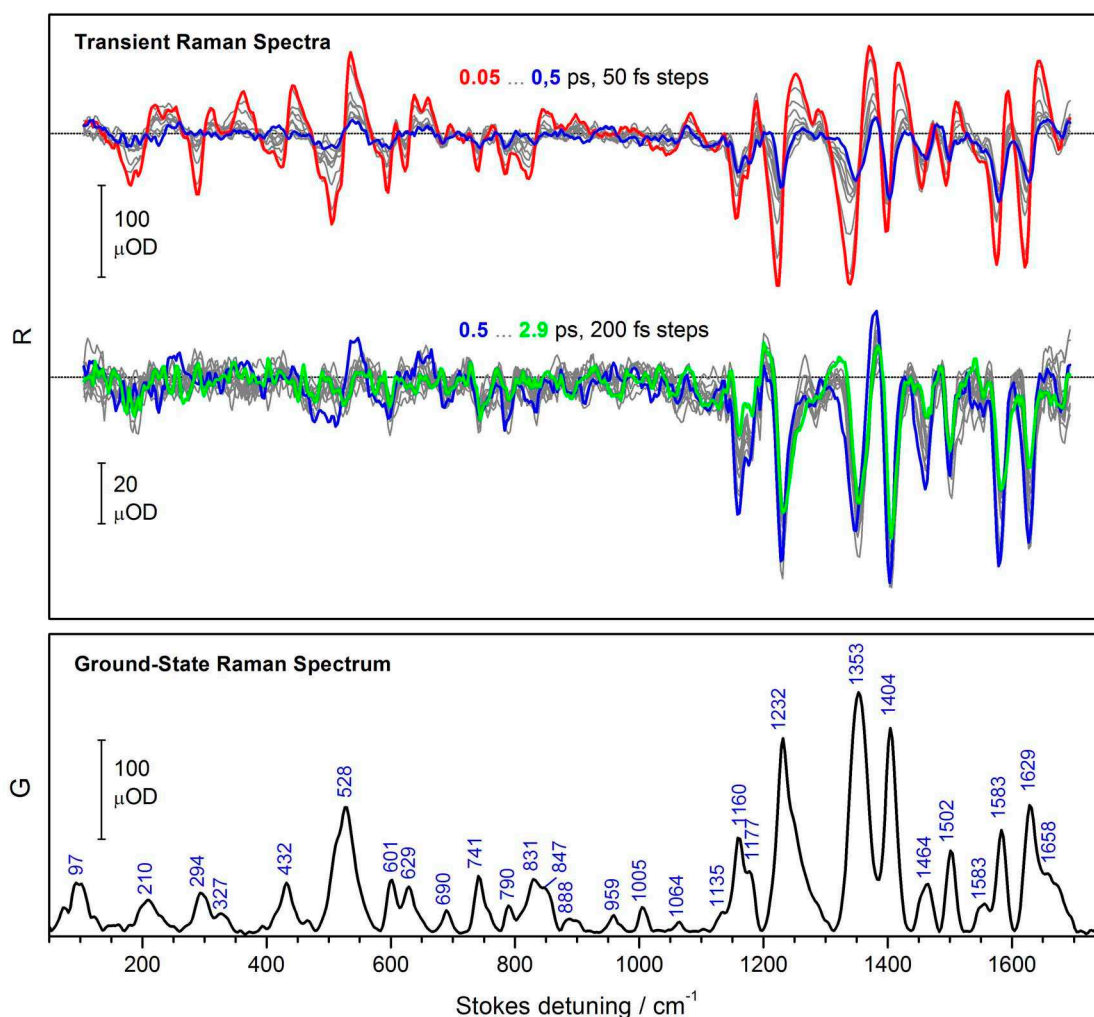
*Transient Absorption Spectra* were recorded with the pump-supercontinuum probe setup based on the FEMTOLASERS *sPro* laser system. The sample was excited with 440 nm pulses (50 fs fwhm, 0.5  $\mu$ J), which were obtained by mixing the 800 nm fundamental with the frequency-doubled output of the *TOPAS* parametric amplifier. The measurement was carried out under parallel polarization conditions. Sample replacement was achieved by the same moving cell as in the transient Raman experiment.

## 8.3 Results

*The resonance conditions* were already shown in Figure 8.1. The Raman beam overlaps at 521 nm with excited state absorption and stimulated emission, but is also preresonant with the ground-state absorption. Under similar conditions, induced Raman spectra were studied for the unbound chromophore in solution, see Chapter 6. There a complicated signal was observed, which was dominated by absorptive bands at the ground state frequencies, but contained also emissive and dispersive contributions.

*Femtosecond stimulated Raman spectra* from glucose oxidase are presented in Figure 8.2, top. At delay times  $> 0.5$  ps the spectral shape resembles that of flavin in solution. A comparison with the ground-state stimulated Raman spectrum in Figure 8.2 shows that also here negative Raman signals occur at the ground state frequencies.

At earliest delay times (red spectrum), the signal is stronger and has a dispersive shape, *i.e.* inflection points are seen at the positions of the subsequently evolving Raman bands.



**Figure 8.2:** Stimulated Raman spectroscopy on Glucose Oxidase from *Aspergillus Niger*. Top: transient Raman spectra. Bottom: ground-state Raman spectrum. The sample was actinically excited at 480 nm, and the Raman pulse was tuned to 521 nm.

Within 500 fs the shape of the later spectra develops; this change is accompanied by a decrease in signal amplitude. The ultrafast evolution could reflect molecular relaxation at earliest times. But it is also possible that it originates from a fifth-order coherent contribution, when all three pulses (pump, Raman, and probe) overlap in time. Finally also population depletion by the Raman pulse should be considered, even though one would expect a signal change induced by this process rather on the 0.5–1 ps time scale. On account of the complicated signal, the discussion is not detailed further at this point.

*In summary*, the current results demonstrate that the Raman spectrometer developed in this work can be used to investigate biological samples. Resolved spectra were obtained

for the bound flavin chromophore in the ground and excited state, and the temporal evolution of the spectra could be traced. Following the conclusions from Chapter 6, future measurements with Raman pulses around 780 nm should yield simpler spectra, which can be directly compared to quantum-chemical calculations. Measurements on BLUF photoreceptors should be possible without further complications. In fact, the Slr1694-Y8F sample was more stable during transient measurements than glucose oxidase under the current conditions.



## 9 Conclusions

The key achievement of this work was the development of a wavelength-tunable stimulated Raman spectrometer with femtosecond temporal resolution. The technique was used to study photoinduced relaxation processes in real time, by monitoring vibrational modes in the electronic excited state. In this way the method was advanced towards its application to biological photoreceptors.

Raman pulses were generated with high efficiency in a new narrowband optical parametric amplifier (*nb*-OPA). Output pulses are tunable throughout the visible. Although not shown here, it should also be possible to generate near-infrared pulses down to  $\sim 1200$  nm with this device. Raman spectra in the ground and excited state were recorded with  $7.5\text{--}15\text{ cm}^{-1}$  spectral and  $50\text{--}100$  fs temporal resolution. In each individual measurement, transient spectra were obtained at up to 660 different delay times. To allow a detailed analysis of the measured evolution, an algorithm was developed to remove the background for all transient spectra of a dataset consistently.

A strong dependence of the stimulated Raman spectrum on the resonance conditions was found. To understand experimentally observed band shapes, the Raman signal was described by third-order perturbation theory and simulated for typical conditions.<sup>1</sup> The results indicate that the spectrum is best defined if the Raman pulse is only resonant with excited-state absorption. Then a direct comparison to calculated spectra is possible. A bleach signal from overlap with the  $S_1 \leftarrow S_0$  transition can be subtracted and analyzed separately as shown in measurements on 1,1'-diethyl-2,2'-pyrido cyanine iodide, see below. Overlap with the stimulated emission, however, should be avoided, since it causes complex bandshapes that could not be decomposed in the current work.

Relaxation processes in the excited state were first studied for the photoswitch stilbene, starting from both the *cis* and the *trans* isomers. Initial shift and decay of the Raman bands with  $0.2\text{--}0.7$  ps was interpreted in terms of intramolecular vibrational redistribution (IVR) and dynamic polar solvation. The subsequent dissipation of excess energy to the solvent was also seen as a frequency up-shift and narrowing of characteristic bands. Oscillations of Raman frequencies and amplitudes directly reported on the motion of wavepackets in the excited state. Anharmonic coupling was seen as a modulation of high-frequency bands with  $< 100\text{ cm}^{-1}$  by phenyl/ethylene torsion modes.

Transition over the isomerization barrier and internal conversion to the hot ground state was observed for a “parent” cyanine, 1,1'-diethyl-2,2'-pyrido cyanine iodide (PC).

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<sup>1</sup>this part was supported Alexander Dobryakov.

## 9 Conclusions

From global analysis, Raman spectra were obtained for the Franck-Condon region, the intermediately populated hot ground state, and the two isomerization products *cis*- and *trans*-PC. Transient absorption and fluorescence upconversion experiments<sup>2</sup> in combination with quantum-chemical calculations<sup>3</sup> suggests a stepwise distortion of the excited chromophore.

Flavin is a cofactor in a number of photoreceptors. Flavin derivatives were first studied in solution, and the influence of different solvent environments was investigated by transient absorption and fluorescence upconversion measurements. A decomposition procedure for the transient absorption signal was shown. The experiments find a rise of the stimulated emission band in DMSO for excitation with excess energy. As an explanation, coupling of the  $\pi\pi^*$  state to a nearby  $n\pi^*$  state is suggested.

Raman spectra are reported for the ground and excited states; they are assigned with the help of quantum-chemical calculations<sup>4</sup>. The long-time evolution of the Raman signal reproduces the results from transient absorption and fluorescence. At short delay times, also for flavin wavepacket motion can be seen as oscillation of the transient Raman signal. Within the first picoseconds additional signal decay is observed, which is attributed to population depletion by the Raman pulse. This effect should be taken into account in future resonance Raman experiments.

As an application for Raman depletion, a new method is presented to mark vibrational wavepackets in the excited state.

BLUF photoreceptors contain the flavin chromophore in a non-covalently bound form. Here the mutants BlrB-L66F and Slr1694-Y8F were studied by transient absorption spectroscopy. BlrB-L66F forms the signaling state without detectable intermediates. Small spectral changes suggest a reorientation of the protein. Slr1694-Y8F does not undergo the photocycle, but —based on a global analysis— an intermediate reduction of FAD by a semi-conserved tryptophan is proposed.

Finally it is demonstrated that the developed femtosecond stimulated Raman spectrometer can also be used to study biological samples. Glucose oxidase served as a model compound, for which an ultrafast evolution of the transient Raman spectra could be resolved. In future, I expect that with this technique detailed insights can be obtained in light-induced conformational changes of proteins, for example BLUF domains.

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<sup>2</sup>recorded by Mohsen Sajadi.

<sup>3</sup>provided by Fabrizio Santoro, Roberto Improta, and Vincenzo Barone.

<sup>4</sup>provided by Bastian Klaumünzer.

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# Selbständigkeitserklärung

Ich erkläre, dass ich die vorliegende Arbeit selbständig und nur unter Verwendung der angegebenen Literatur und Hilfsmittel angefertigt habe.

Ich besitze keinen entsprechenden Doktorgrad und habe mich anderwärts nicht um einen Doktorgrad beworben.

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Berlin, den 23.12.2010

Alexander Weigel